

## Recursive languages

- A language  $L \subseteq \Sigma^*$  is *recursively enumerable* if  $L = L(M)$ , for some Turing machine  $M$ .

$$w \longrightarrow \boxed{M} \longrightarrow \begin{cases} \text{yes,} & \text{if } w \in L \\ \text{no,} & \text{if } w \notin L \\ M \text{ does not halt,} & \text{if } w \notin L \end{cases}$$

- A language  $L \subseteq \Sigma^*$  is *recursive* if  $L = L(M)$  for some Turing machine  $M$  that halts on all inputs  $w \in \Sigma^*$ .

$$w \longrightarrow \boxed{M} \longrightarrow \begin{cases} \text{yes,} & \text{if } w \in L \\ \text{no,} & \text{if } w \notin L \end{cases}$$

- **Lemma.**  $L$  is recursive iff both  $L$  and  $\bar{L} = \Sigma^* \setminus L$  are recursively enumerable.

## Enumerating languages

- An *enumerator* is a Turing machine  $M$  with extra output tape  $T$ , where symbols, once written, are never changed.
- $M$  writes to  $T$  words from  $\Sigma^*$ , separated by \$.
- Let  $G(M) = \{w \in \Sigma^* \mid w \text{ is written to } T\}$ .

## Some results

- **Lemma.** For any finite alphabet  $\Sigma$ , there exists a Turing machine that generates the words  $w \in \Sigma^*$  in *canonical ordering* (i.e.,  $w \prec w' \Leftrightarrow |w| < |w'|$  or  $|w| = |w'|$  and  $w \prec_{lex} w'$ ).
- **Lemma.** There exists a Turing machine that generates all pairs of natural numbers (in binary encoding).  
*Proof:* Use the ordering  $(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (0, 2), \dots$
- **Proposition.**  $L$  is recursively enumerable iff  $L = G(M)$ , for some Turing machine  $M$ .

## Computing functions

- Unary encoding of natural numbers:  $i \in \mathbb{N} \mapsto \underbrace{|| \dots ||}_{i \text{ times}} = |^i$

(binary encoding would also be possible)

- $M$  computes  $f : \mathbb{N}^k \rightarrow \mathbb{N}$  with  $f(i_1, \dots, i_k) = m$ :

– Start:  $|^{i_1} 0 |^{i_2} 0 \dots |^{i_k}$

– End:  $|^m$

- $f$  *partially recursive*:

$$i_1, \dots, i_k \longrightarrow \boxed{M} \longrightarrow \begin{cases} \text{halts with } f(i_1, \dots, i_k) = m, \\ \text{does not halt, i.e., } f \text{ undefined.} \end{cases}$$

- $f$  *recursive*:

$$i_1, \dots, i_k \longrightarrow \boxed{M} \longrightarrow \text{halts with } f(i_1, \dots, i_k) = m.$$

### Turing machines codes

- May assume

$$M = (Q, \{0, 1\}, \{0, 1, \#\}, \delta, q_1, \#, \{q_2\})$$

- Unary encoding

$$0 \mapsto 0, 1 \mapsto 00, \# \mapsto 000, L \mapsto 0, R \mapsto 00$$

- $\delta(q_i, X) = (q_j, Y, Z)$  encoded by

$$0^i 1 \underbrace{0 \dots 0}_X 1 0^j 1 \underbrace{0 \dots 0}_Y 1 0 \dots 0 \underbrace{0 \dots 0}_Z$$

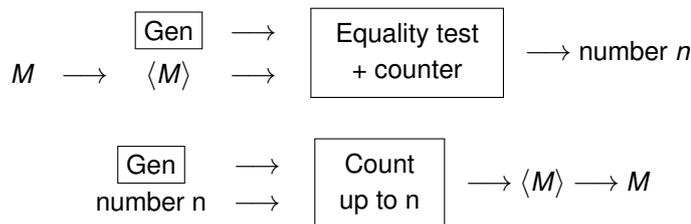
- $\delta$  encoded by

$$111 \text{ code}_1 11 \text{ code}_2 11 \dots 11 \text{ code}_r 111$$

- Encoding of Turing machine  $M$  denoted by  $\langle M \rangle$ .

### Numbering of Turing machines

- **Lemma.** There exists a Turing machine that generates the natural numbers in binary encoding.
- **Lemma.** The language of Turing machine codes is recursive.
- **Proposition.** There exists a Turing machine  $Gen$  that generates the binary encodings of all Turing machines.
- **Theorem.** There exist a bijection between the set of natural numbers, Turing machine codes and Turing machines.



### Diagonalization

- Let  $w_i$  be the  $i$ -th word in  $\{0, 1\}^*$  and  $M_j$  the  $j$ -th Turing machine.
- Table  $T$  with  $t_{ij} = \begin{cases} 1, & \text{if } w_i \in L(M_j) \\ 0, & \text{if } w_i \notin L(M_j) \end{cases}$

		$j \rightarrow$				
		1	2	3	4	...
1	0	1	1	0	...	
$i$ 2	1	1	0	1	...	
$\downarrow$ 3	0	0	1	0	...	
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	

- **Diagonal language**  $L_d = \{w_i \in \{0, 1\}^* \mid w_i \notin L(M_i)\}$ .
- **Theorem.**  $L_d$  is not recursively enumerable.
- **Proof:** Suppose  $L_d = L(M_k)$ , for some  $k \in \mathbb{N}$ . Then

$$w_k \in L_d \Leftrightarrow w_k \notin L(M_k),$$

contradicting  $L_d = L(M_k)$ .

## Universal language

- $\langle M, w \rangle$ : encoding  $\langle M \rangle$  of  $M$  concatenated with  $w \in \{0, 1\}^*$ .
- *Universal language*

$$L_U = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$$

- **Theorem.**  $L_U$  is recursively enumerable.
- A Turing machine  $U$  accepting  $L_U$  is called *universal Turing machine*.
- **Theorem** (Turing 1936).  $L_U$  is not recursive.  
*Proof:* Assume  $L_U$  is recursive and show that this would imply  $\bar{L}_d$  (and thus  $L_d$ ) is recursive.

## Decision problems

- Decision problems are problems with answer either yes or no.
- Associate with a language  $L \subseteq \Sigma^*$  the decision problem  $D_L$

Input:  $w \in \Sigma^*$

$$\text{Output: } \begin{cases} \text{yes,} & \text{if } w \in L \\ \text{no,} & \text{if } w \notin L \end{cases}$$

and vice versa.

- $D_L$  is *decidable* (resp. *semi-decidable*) if  $L$  is recursive (resp. recursively enumerable).
- $D_L$  is *undecidable* if  $L$  is not recursive.

## Reductions

- A *many-one reduction* of  $L_1 \subseteq \Sigma_1^*$  to  $L_2 \subseteq \Sigma_2^*$  is a computable function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  with  $w \in L_1 \Leftrightarrow f(w) \in L_2$ .
- **Proposition.** If  $L_1$  is many-one reducible to  $L_2$ , then
  1.  $L_1$  is decidable if  $L_2$  is decidable.
  2.  $L_2$  is undecidable if  $L_1$  is undecidable.

## Post's correspondence problem

- Given pairs of words

$$(v_1, w_1), (v_2, w_2), \dots, (v_k, w_k)$$

over an alphabet  $\Sigma$ , does there exist a sequence of integers  $i_1, \dots, i_m, m \geq 1$ , such that

$$v_{i_1} \dots v_{i_m} = w_{i_1} \dots w_{i_m}.$$

- *Example*

$i$	$v_i$	$w_i$
1	1	111
2	10111	10
3	10	0

 $\Rightarrow v_2 v_1 v_1 v_3 = w_2 w_1 w_1 w_3 = 101111110$

- **Theorem** (Post 1946). Post's correspondence problem is undecidable.