

Zahlentheorie II

Exercise sheet 3

Exercise 1 (2 Points). Let K be a finite extension of \mathbb{Q} , and \mathcal{O}_K the integral closure of \mathbb{Z} in K . Show that the Dedekind domain \mathcal{O}_K has infinitely many prime ideals.

Exercise 2 (Trace maps, 4 points). Let K be a field and R a commutative K -algebra with unit, which is finite dimensional as K -vector space. For $x \in R$, multiplication by x induces a homomorphism of K -vector spaces $R \rightarrow R$, $r \mapsto xr$, and we write $\text{Tr}_{R/K}(x) \in K$ for its trace. (Recall that for an endomorphism $\phi : V \rightarrow V$ of a finite dimensional K -vector space V , the trace $\text{Tr}(\phi)$ is the sum of the diagonal elements of any matrix representing ϕ .)

Prove the following statements:

- (1) $\text{Tr}_{R/K}$ defines a K -linear map $R \rightarrow K$.
- (2) (*Transitivity of the trace*) If L is a finite field extension of K , and R a finite dimensional L -algebra, then

$$\text{Tr}_{R/K} = \text{Tr}_{L/K} \text{Tr}_{R/L}.$$

- (3) If R, S are finite dimensional K -algebras, then the cartesian product $R \times S$ is a finite dimensional K -algebra, and $\text{Tr}_{(R \times S)/K}((x, y)) = \text{Tr}_{R/K}(x) + \text{Tr}_{S/K}(y)$ for all $(x, y) \in R \times S$.
- (4) (*Base change formula*) If R is a finite dimensional K -algebra and L/K an algebraic extension (not necessarily finite), then $\text{Tr}_{(R \otimes_K L)/L} = \text{Tr}_{R/K} \otimes_K L$, i.e.

$$\text{Tr}_{(R \otimes_K L)/L}(x \otimes y) = y \text{Tr}_{R/K}(x)$$

for all $x \otimes y \in R \otimes_K L$.

Exercise 3 (6 Points). Let K be a field. A polynomial $f(x) \in K[x]$ is called *separable* if $f(x)$ does not have multiple zeroes in an algebraic extension of K . Let L/K be an algebraic field extension. An element $\alpha \in L$ is called *separable over K* if the minimal polynomial $m_\alpha(x) \in K[x]$ of α is separable. An algebraic extension L/K is called *separable* if every element of L is separable over K .

Let K be a field. For a polynomial $f(x) = \sum_{i=0}^n a_i x^i \in K[x]$ we write $f'(x) := \sum_{i=1}^n i \cdot a_i x^{i-1}$ for its *formal derivative*.

- (1) If $f(x) \in K[x]$ is a polynomial, then $f(x)$ is separable if and only if $f(x)$ is coprime to $f'(x)$, i.e. if the ideal spanned by $f(x)$ and $f'(x)$ is $K[x]$.
- (2) If K is of characteristic 0, every algebraic extension L of K is separable over K .
- (3) If K has characteristic $p > 0$, and if $f(x) \in K[x]$ is an irreducible polynomial, show that there exists a unique integer $k \geq 0$ and a unique *separable* irreducible polynomial $f_{\text{sep}}(x) \in K[x]$, such that $f(x) = f_{\text{sep}}(x^{p^k})$.
- (4) If K is a field of characteristic $p > 0$, show that the map $(K, +) \rightarrow (K, +)$, $\lambda \mapsto \lambda^p$ is an injective morphism of abelian groups. The field K is called *perfect* if the above map is bijective.
- (5) If K is a perfect field of characteristic $p > 0$, then every algebraic extension L of K is separable.
- (6) Give an example of an inseparable finite extension. (Hint: Think about the field of rational functions $\mathbb{F}_p(x)$).

Exercise 4 (Primitive Element Theorem, 3 points). Let L/K be a finite extension and assume that it only has finitely many subextensions, i.e. that there are only finitely many fields M with $K \subsetneq M \subsetneq L$. Show that there exists an $\alpha \in L$ such that $L = K(\alpha)$. (Hint: There are two cases: K is a finite field, and K is an infinite field. The first case follows from the general structure of finite fields. For the second case: Reduce the problem to $L = K(\beta_1, \beta_2)$ and find $\lambda \in K$ such that $L = K(\beta_1 + \lambda\beta_2)$).

It is a consequence of Galois theory that a finite *separable* extension L/K only has finitely many subextensions (you do not need to prove this).

Exercise 5 (Separable extensions, 4 Points). Show that the following statements about a finite extension $K \subset L$ of fields are equivalent (Hint: Exercise 3, (3) can be helpful.)

- (1) L/K is separable.
- (2) The trace map $\text{Tr}_{L/K} : L \rightarrow K$ is not constant 0.
- (3) The map $T : L \times L \rightarrow K$, $(x, y) \mapsto \text{Tr}_{L/K}(xy)$ is a nondegenerate symmetric K -bilinear form. (Recall: K -bilinear means that the maps $T(-, x)$ and $T(x, -)$ are K -linear for every $x \in L$, and nondegenerate means that for every $x \in L \setminus \{0\}$, there is $y \in L$ such that $T(x, y) \neq 0$.)
- (4) The extension L/K is generated by a separable element, i.e. $L = K(\alpha)$, with α separable over K .

Hints.

(1) \Rightarrow (2): Reduce to the case $L = K(\alpha)$ by using the transitivity of the trace (Exercise 2), and the fact that $\text{Tr}_{L/K}$ is either 0 or surjective because it is a K -linear map to the 1-dimensional K -vector space K .

Next let \overline{K} be an algebraic closure of K , and consider the finite dimensional \overline{K} -algebra $K(\alpha) \otimes_K \overline{K}$. Show that it is a finite cartesian product of fields which are isomorphic to \overline{K} , by using that $K(\alpha) = K[x]/(m_\alpha(x))$ and that α is separable. Here $m_\alpha(x)$ is the minimal polynomial of α . Now use Exercise 2, (3) to conclude the argument.

(2) \Rightarrow (1): If L/K is not separable, there exists $\alpha \in L$ which is not separable over K . Again use the transitivity of the trace to reduce to the case $L = K(\alpha)$ with α inseparable. Now we use the same trick as in the previous direction: Think about the \overline{K} -algebra $K(\alpha) \otimes_K \overline{K}$ and show that $\text{Tr}_{K(\alpha) \otimes_K \overline{K}/\overline{K}} = 0$. Conclude that $\text{Tr}_{K(\alpha)/K} = 0$ by using the base-change formula and the fact that a linear map $\phi : V \rightarrow W$ of K -vector spaces is 0 if and only if the base changed map $\phi \otimes \text{id}_{\overline{K}} : V \otimes_K \overline{K} \rightarrow W \otimes_K \overline{K}$ is 0.

(2) \Leftrightarrow (3): This is entirely formal.

(1) \Rightarrow (4): This is Exercise 4.

(4) \Rightarrow (2): See the hints for (1) \Rightarrow (2).

Exercise 6 (6 Points). Let k be an algebraically closed field of characteristic $p > 0$. Consider the discrete valuation ring $R := k[[t]]$ and its fraction field $K := k((t))$. Let $L = K((t))[u]/(u^p - u - 1/t)$, and define S to be the integral closure of R in L .

(1) Show that L/K is a finite, separable extension.

(2) Show that $u \notin S$, but $u^{-1} \in S$. Let \mathfrak{P} a prime ideal of S containing u^{-1} , and write $t = (u^{-1})^n v$ for some $v \in S \setminus \mathfrak{P}$ and some $n \in \mathbb{N}$. Conclude that S is a discrete valuation ring, i.e. a local Dedekind domain. (Hint: Use the formula

$$[L : K] = \sum_{\mathfrak{P} | (t)} e_{\mathfrak{P}} f_{\mathfrak{P}}$$

from Proposition 10 of Serre's *Local fields*.)

- (3) From your computation in the previous part of the exercise, find an uniformizer for S , and read off the ramification index of the maximal ideal of S over the maximal ideal of R .

At the latest, hand in your solutions on **May 8**. For questions, feel free to send an email to kindler@math.fu-berlin.de or come to A3.112A.