

## Zahlentheorie II

## Exercise sheet 3

**Exercise 1** (2 Points). Let  $K$  be a finite extension of  $\mathbb{Q}$ , and  $\mathcal{O}_K$  the integral closure of  $\mathbb{Z}$  in  $K$ . Show that the Dedekind domain  $\mathcal{O}_K$  has infinitely many prime ideals.

**Exercise 2** (Trace maps, 4 points). Let  $K$  be a field and  $R$  a commutative  $K$ -algebra with unit, which is finite dimensional as  $K$ -vector space. For  $x \in R$ , multiplication by  $x$  induces a homomorphism of  $K$ -vector spaces  $R \rightarrow R$ ,  $r \mapsto xr$ , and we write  $\text{Tr}_{R/K}(x) \in K$  for its trace. (Recall that for an endomorphism  $\phi : V \rightarrow V$  of a finite dimensional  $K$ -vector space  $V$ , the trace  $\text{Tr}(\phi)$  is the sum of the diagonal elements of any matrix representing  $\phi$ .)

Prove the following statements:

- (1)  $\text{Tr}_{R/K}$  defines a  $K$ -linear map  $R \rightarrow K$ .
- (2) (*Transitivity of the trace*) If  $L$  is a finite field extension of  $K$ , and  $R$  a finite dimensional  $L$ -algebra, then

$$\text{Tr}_{R/K} = \text{Tr}_{L/K} \text{Tr}_{R/L}.$$

- (3) If  $R, S$  are finite dimensional  $K$ -algebras, then the cartesian product  $R \times S$  is a finite dimensional  $K$ -algebra, and  $\text{Tr}_{(R \times S)/K}((x, y)) = \text{Tr}_{R/K}(x) + \text{Tr}_{S/K}(y)$  for all  $(x, y) \in R \times S$ .
- (4) (*Base change formula*) If  $R$  is a finite dimensional  $K$ -algebra and  $L/K$  an algebraic extension (not necessarily finite), then  $\text{Tr}_{(R \otimes_K L)/L} = \text{Tr}_{R/K} \otimes_K L$ , i.e.

$$\text{Tr}_{(R \otimes_K L)/L}(x \otimes y) = y \text{Tr}_{R/K}(x)$$

for all  $x \otimes y \in R \otimes_K L$ .

**Exercise 3** (6 Points). Let  $K$  be a field. A polynomial  $f(x) \in K[x]$  is called *separable* if  $f(x)$  does not have multiple zeroes in an algebraic extension of  $K$ . Let  $L/K$  be an algebraic field extension. An element  $\alpha \in L$  is called *separable over  $K$*  if the minimal polynomial  $m_\alpha(x) \in K[x]$  of  $\alpha$  is separable. An algebraic extension  $L/K$  is called *separable* if every element of  $L$  is separable over  $K$ .

Let  $K$  be a field. For a polynomial  $f(x) = \sum_{i=0}^n a_i x^i \in K[x]$  we write  $f'(x) := \sum_{i=1}^n i \cdot a_i x^{i-1}$  for its *formal derivative*.

- (1) If  $f(x) \in K[x]$  is a polynomial, then  $f(x)$  is separable if and only if  $f(x)$  is coprime to  $f'(x)$ , i.e. if the ideal spanned by  $f(x)$  and  $f'(x)$  is  $K[x]$ .
- (2) If  $K$  is of characteristic 0, every algebraic extension  $L$  of  $K$  is separable over  $K$ .
- (3) If  $K$  has characteristic  $p > 0$ , and if  $f(x) \in K[x]$  is an irreducible polynomial, show that there exists a unique integer  $k \geq 0$  and a unique *separable* irreducible polynomial  $f_{\text{sep}}(x) \in K[x]$ , such that  $f(x) = f_{\text{sep}}(x^{p^k})$ .
- (4) If  $K$  is a field of characteristic  $p > 0$ , show that the map  $(K, +) \rightarrow (K, +)$ ,  $\lambda \mapsto \lambda^p$  is an injective morphism of abelian groups. The field  $K$  is called *perfect* if the above map is bijective.
- (5) If  $K$  is a perfect field of characteristic  $p > 0$ , then every algebraic extension  $L$  of  $K$  is separable.
- (6) Give an example of an inseparable finite extension. (Hint: Think about the field of rational functions  $\mathbb{F}_p(x)$ ).

**Exercise 4** (Primitive Element Theorem, 3 points). Let  $L/K$  be a finite extension and assume that it only has finitely many subextensions, i.e. that there are only finitely many fields  $M$  with  $K \subsetneq M \subsetneq L$ . Show that there exists an  $\alpha \in L$  such that  $L = K(\alpha)$ . (Hint: There are two cases:  $K$  is a finite field, and  $K$  is an infinite field. The first case follows from the general structure of finite fields. For the second case: Reduce the problem to  $L = K(\beta_1, \beta_2)$  and find  $\lambda \in K$  such that  $L = K(\beta_1 + \lambda\beta_2)$ ).

It is a consequence of Galois theory that a finite *separable* extension  $L/K$  only has finitely many subextensions (you do not need to prove this).

**Exercise 5** (Separable extensions, 4 Points). Show that the following statements about a finite extension  $K \subset L$  of fields are equivalent (Hint: Exercise 3, (3) can be helpful.)

- (1)  $L/K$  is separable.
- (2) The trace map  $\text{Tr}_{L/K} : L \rightarrow K$  is not constant 0.
- (3) The map  $T : L \times L \rightarrow K$ ,  $(x, y) \mapsto \text{Tr}_{L/K}(xy)$  is a nondegenerate symmetric  $K$ -bilinear form. (Recall:  $K$ -bilinear means that the maps  $T(-, x)$  and  $T(x, -)$  are  $K$ -linear for every  $x \in L$ , and nondegenerate means that for every  $x \in L \setminus \{0\}$ , there is  $y \in L$  such that  $T(x, y) \neq 0$ .)
- (4) The extension  $L/K$  is generated by a separable element, i.e.  $L = K(\alpha)$ , with  $\alpha$  separable over  $K$ .

**Hints.**

(1)  $\Rightarrow$  (2): Reduce to the case  $L = K(\alpha)$  by using the transitivity of the trace (Exercise 2), and the fact that  $\text{Tr}_{L/K}$  is either 0 or surjective because it is a  $K$ -linear map to the 1-dimensional  $K$ -vector space  $K$ .

Next let  $\overline{K}$  be an algebraic closure of  $K$ , and consider the finite dimensional  $\overline{K}$ -algebra  $K(\alpha) \otimes_K \overline{K}$ . Show that it is a finite cartesian product of fields which are isomorphic to  $\overline{K}$ , by using that  $K(\alpha) = K[x]/(m_\alpha(x))$  and that  $\alpha$  is separable. Here  $m_\alpha(x)$  is the minimal polynomial of  $\alpha$ . Now use Exercise 2, (3) to conclude the argument.

(2)  $\Rightarrow$  (1): If  $L/K$  is not separable, there exists  $\alpha \in L$  which is not separable over  $K$ . Again use the transitivity of the trace to reduce to the case  $L = K(\alpha)$  with  $\alpha$  inseparable. Now we use the same trick as in the previous direction: Think about the  $\overline{K}$ -algebra  $K(\alpha) \otimes_K \overline{K}$  and show that  $\text{Tr}_{K(\alpha) \otimes_K \overline{K}/\overline{K}} = 0$ . Conclude that  $\text{Tr}_{K(\alpha)/K} = 0$  by using the base-change formula and the fact that a linear map  $\phi : V \rightarrow W$  of  $K$ -vector spaces is 0 if and only if the base changed map  $\phi \otimes \text{id}_{\overline{K}} : V \otimes_K \overline{K} \rightarrow W \otimes_K \overline{K}$  is 0.

(2)  $\Leftrightarrow$  (3): This is entirely formal.

(1)  $\Rightarrow$  (4): This is Exercise 4.

(4)  $\Rightarrow$  (2): See the hints for (1)  $\Rightarrow$  (2).

**Exercise 6** (6 Points). Let  $k$  be an algebraically closed field of characteristic  $p > 0$ . Consider the discrete valuation ring  $R := k[[t]]$  and its fraction field  $K := k((t))$ . Let  $L = K((t))[u]/(u^p - u - 1/t)$ , and define  $S$  to be the integral closure of  $R$  in  $L$ .

(1) Show that  $L/K$  is a finite, separable extension.

(2) Show that  $u \notin S$ , but  $u^{-1} \in S$ . Let  $\mathfrak{P}$  a prime ideal of  $S$  containing  $u^{-1}$ , and write  $t = (u^{-1})^n v$  for some  $v \in S \setminus \mathfrak{P}$  and some  $n \in \mathbb{N}$ . Conclude that  $S$  is a discrete valuation ring, i.e. a local Dedekind domain. (Hint: Use the formula

$$[L : K] = \sum_{\mathfrak{P} | (t)} e_{\mathfrak{P}} f_{\mathfrak{P}}$$

from Proposition 10 of Serre's *Local fields*.)

- (3) From your computation in the previous part of the exercise, find an uniformizer for  $S$ , and read off the ramification index of the maximal ideal of  $S$  over the maximal ideal of  $R$ .

At the latest, hand in your solutions on **May 8**. For questions, feel free to send an email to [kindler@math.fu-berlin.de](mailto:kindler@math.fu-berlin.de) or come to A3.112A.