

Liquid Democracy with Ranked Delegations

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TU Berlin



Digital Democracy

and the need to upgrade democratic processes.

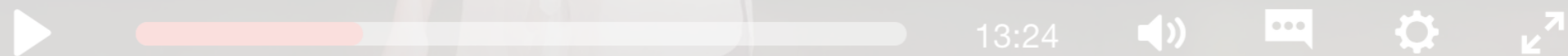
TED Ideas worth spreading



“How to upgrade democracy for the Internet era”
(Pia Mancini, 2014)

TED Ideas worth spreading

“We are 21st-century citizens, doing our very best to interact with 19th century-designed institutions that are based on an information technology of the 15th century.”



“How to upgrade democracy for the Internet era”
(Pia Mancini, 2014)

“We are 21st-century citizens, doing our very best to interact with 19th century-designed institutions that are based on an information technology of the 15th century.”

“If Internet is the new printing press, then what is democracy for the Internet era?”

“How to upgrade democracy for the Internet era”
(Pia Mancini, 2014)

Democracy.Earth 



 **LiquidFeedback**

 allourideas

 Google Votes

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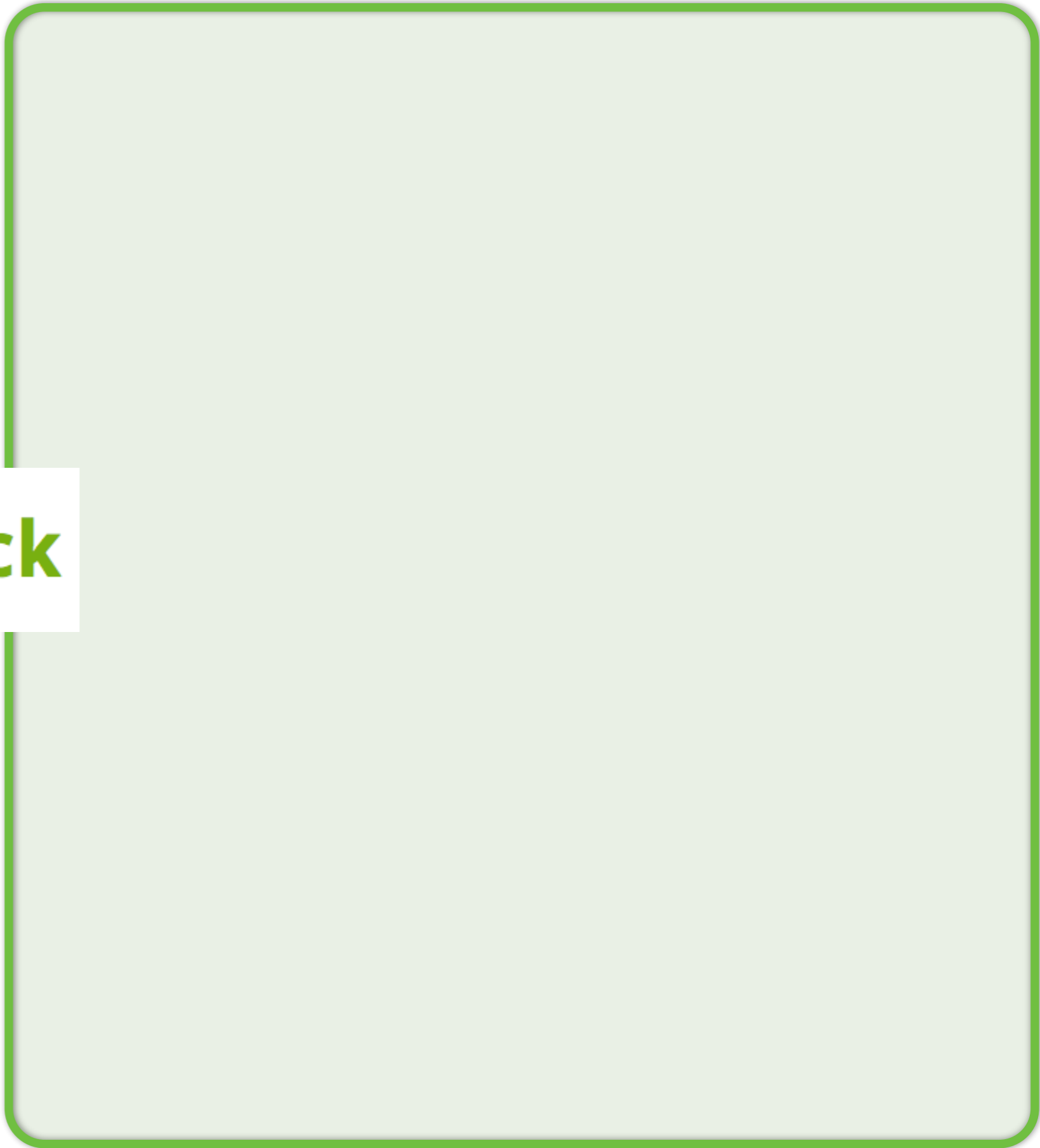


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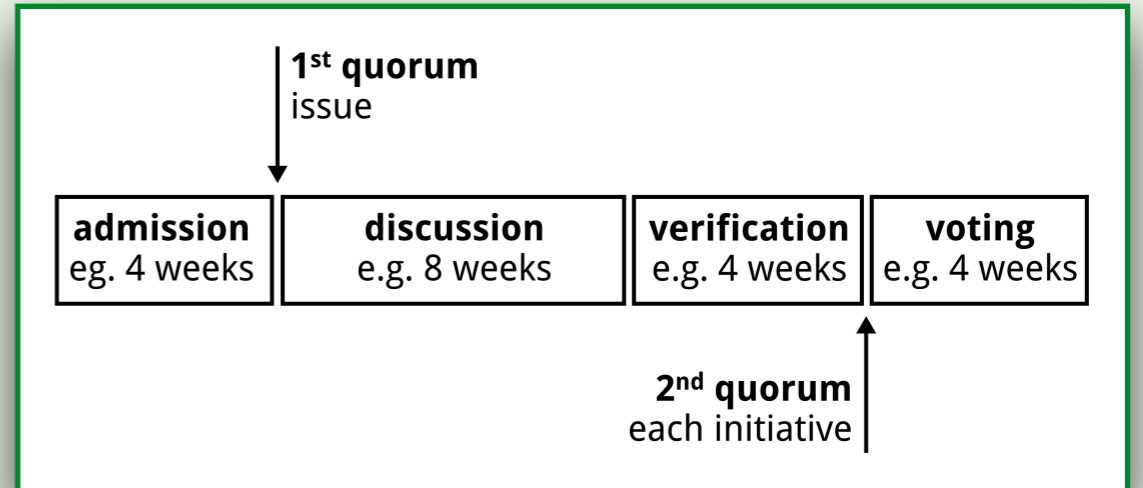


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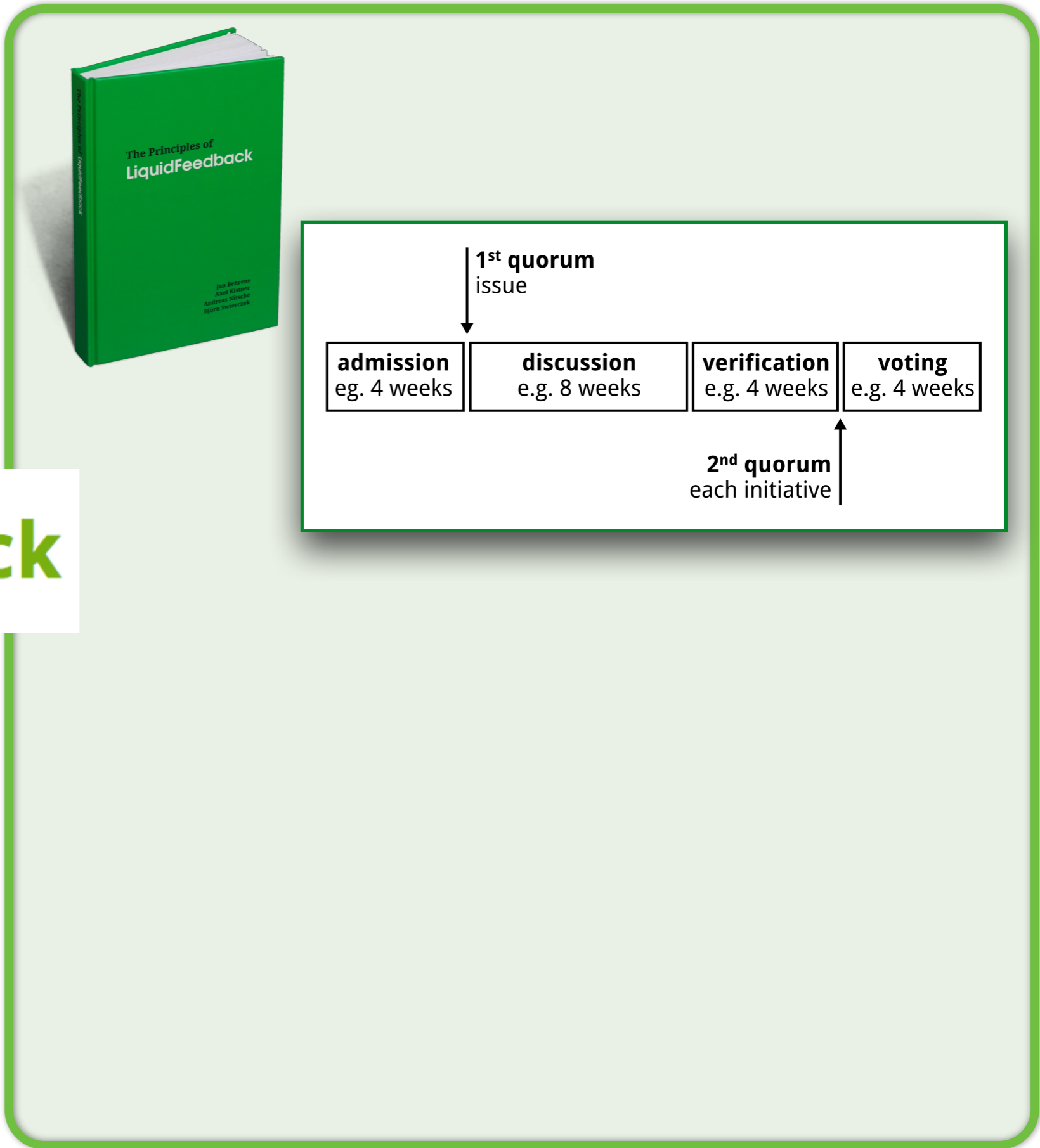


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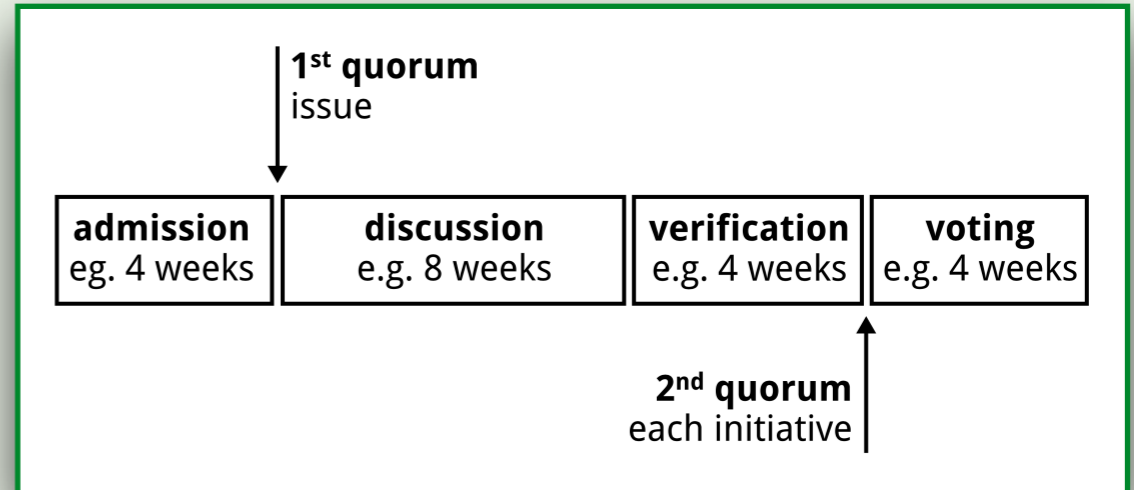
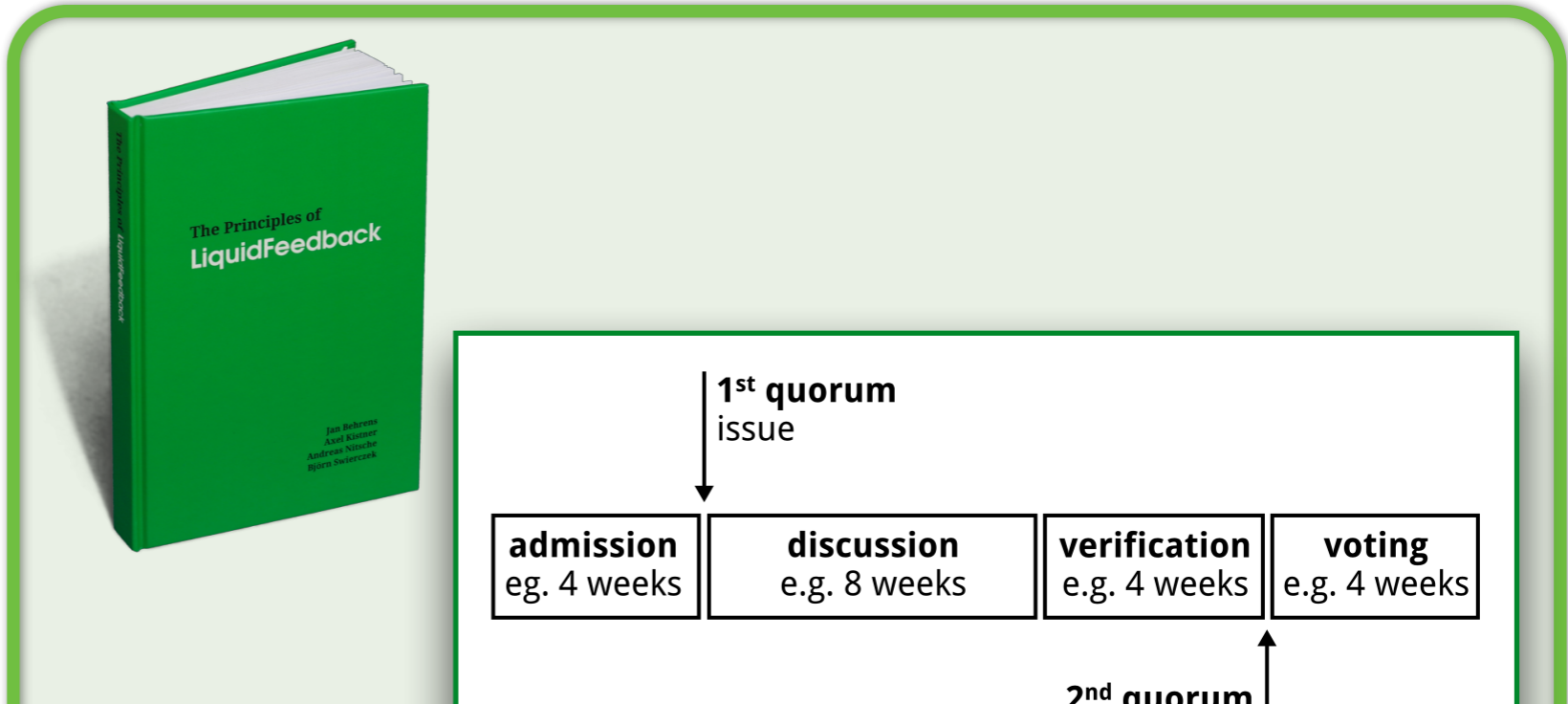


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LiquidFeedback

LiquidFeedback Gloria Keller

» City Council » Land Management » Proposition #452

Proposition #452

- ✓ 1. Admission (reached) ⓘ
- ✓ 2. Discussion (finished) ⓘ
- ✓ 3. Verification (reached 10%) ⓘ
- ✓ 4. Voting (finished) ⓘ

Finished with winner

You have voted

SHOW VOTE

Competing initiatives

i606: Transit center and city operated parking structure (Pines area)
by Claretta Belmonte
Reached >50/100: 8547 Yes (68%), 2331 No (18%), 1554 Abstention (12%)

Competing initiatives in pairwise comparison to winner:

i605: The Pines area must remain public land
by Diego Melendez

i602: Sell the Pines area to private investors
by Anne Roberts

What can I do here?

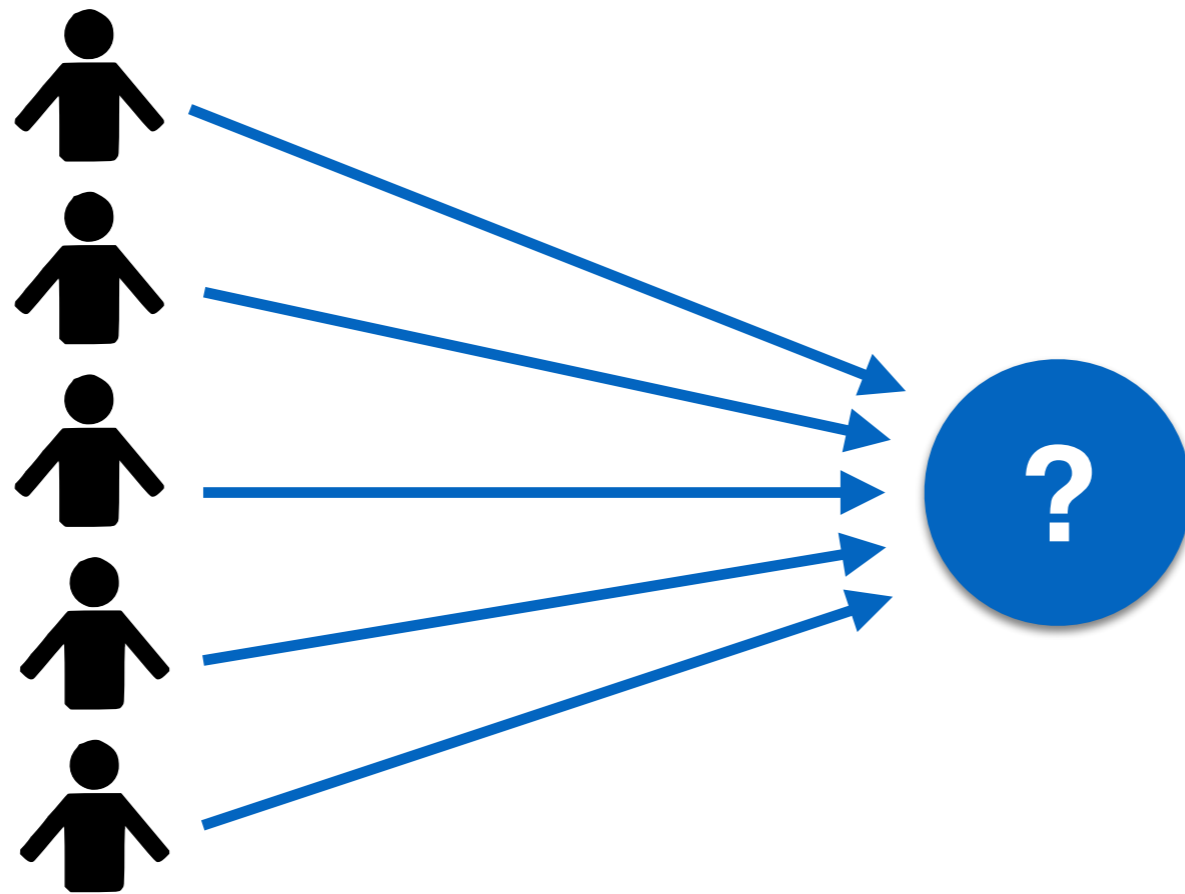
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 Google Votes

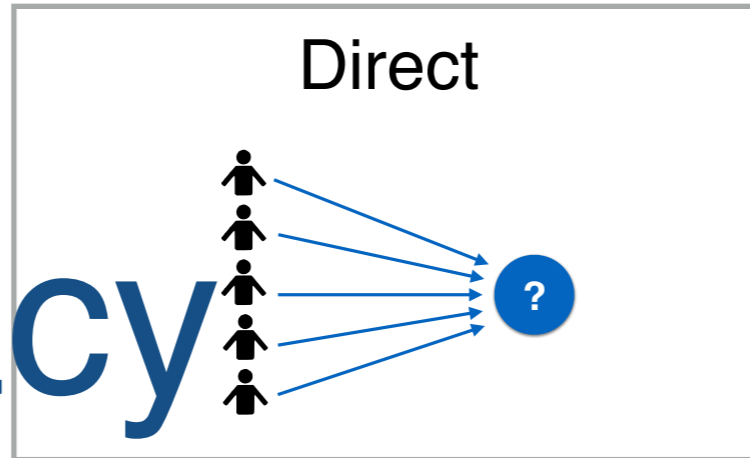
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Liquid Democracy

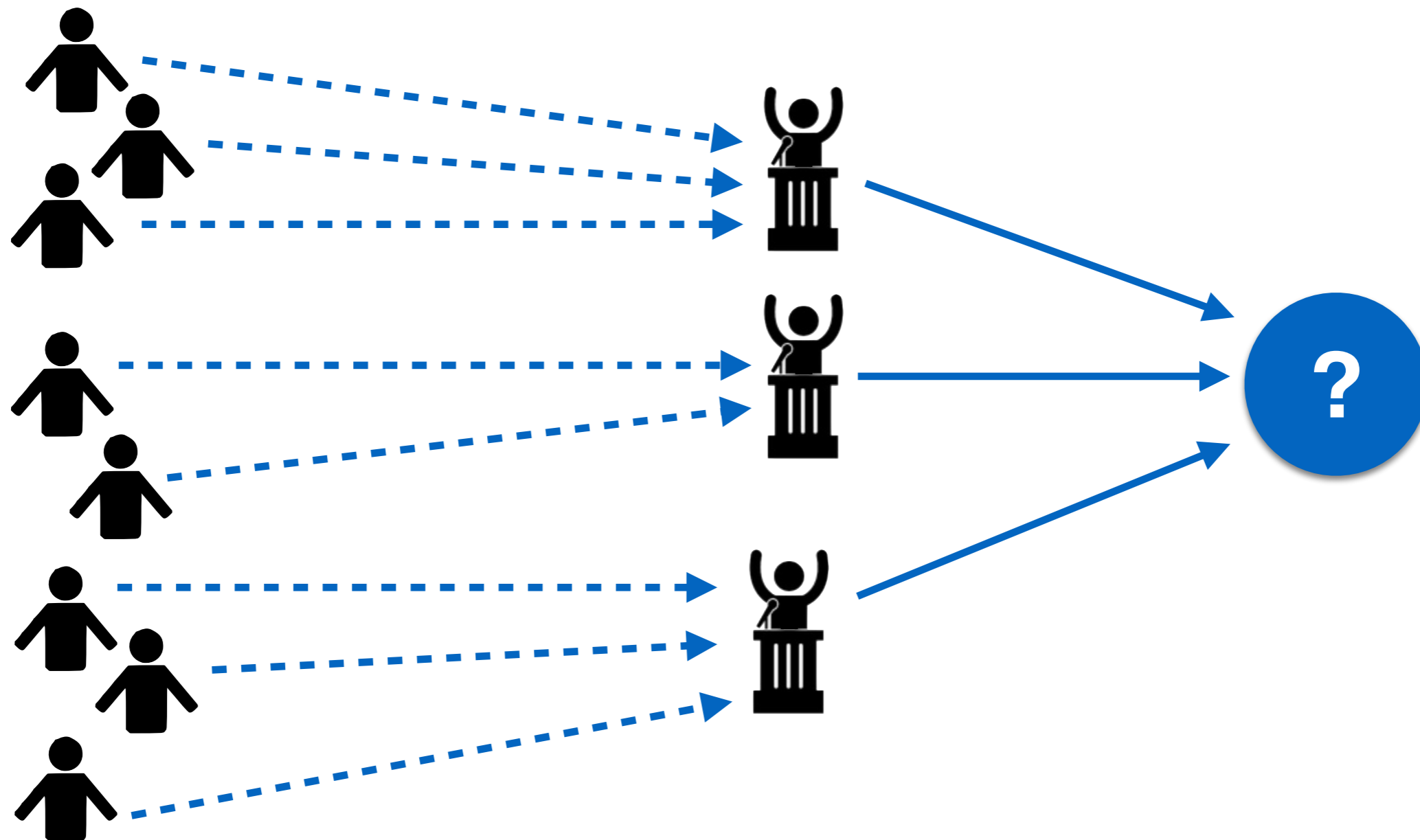
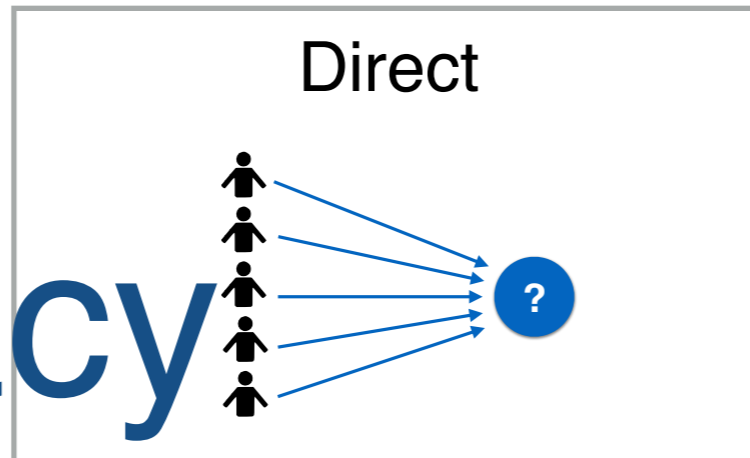
Liquid Democracy



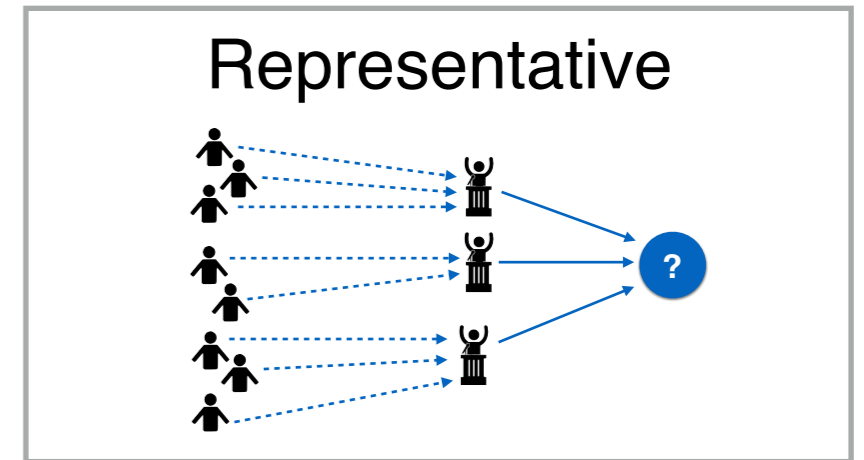
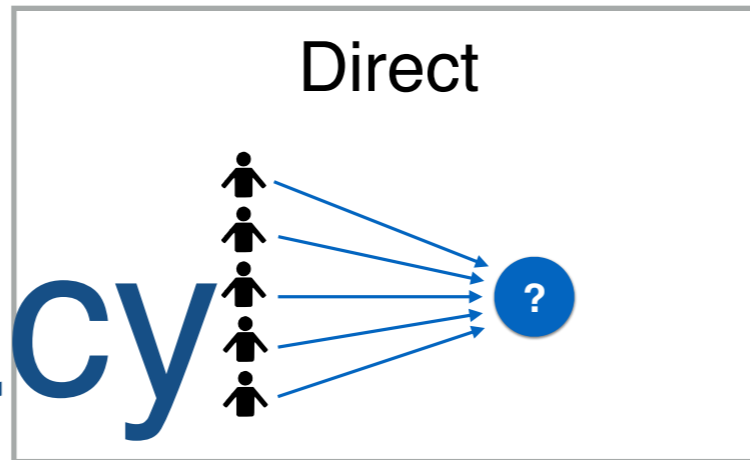
Liquid Democracy



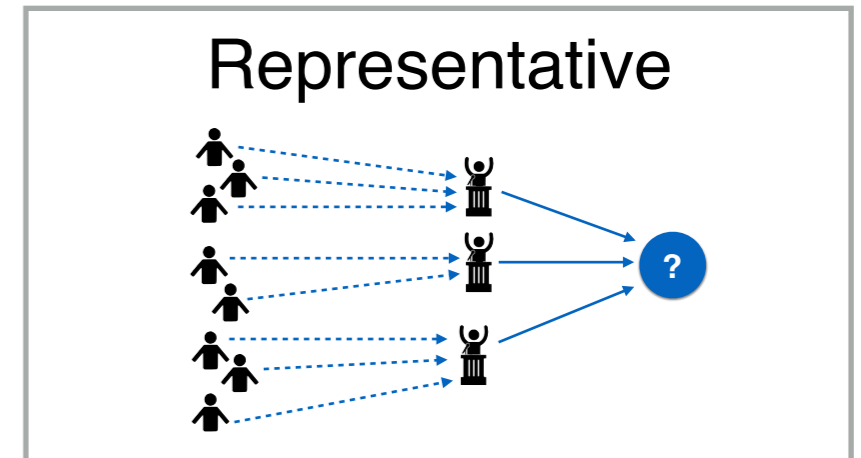
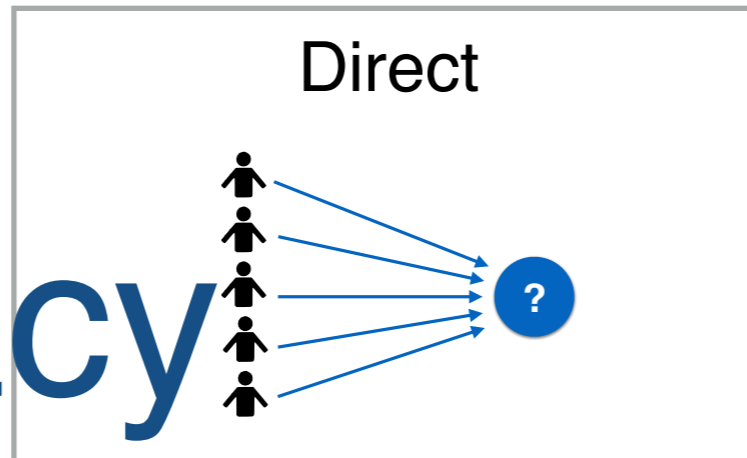
Liquid Democracy



Liquid Democracy



Liquid Democracy



Benefits:

Voters express their opinion on every issue

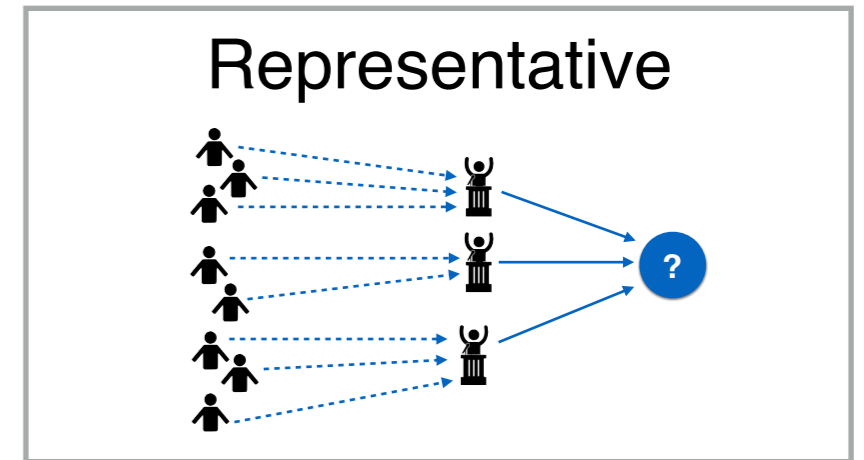
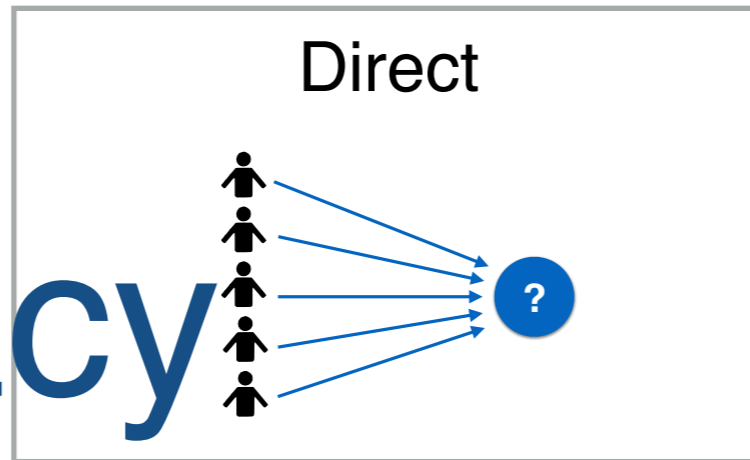
Only vote once a term

Weakness:

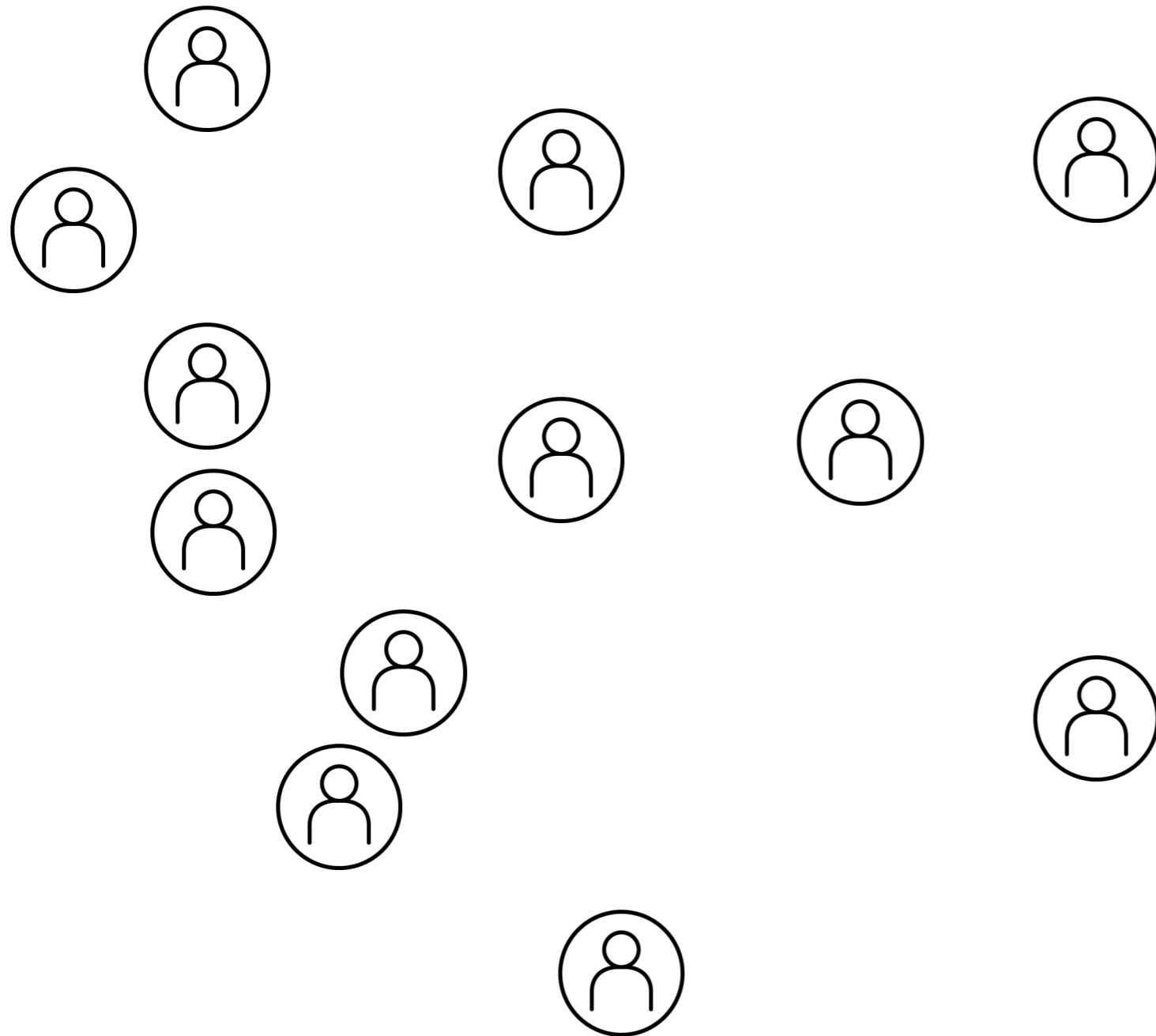
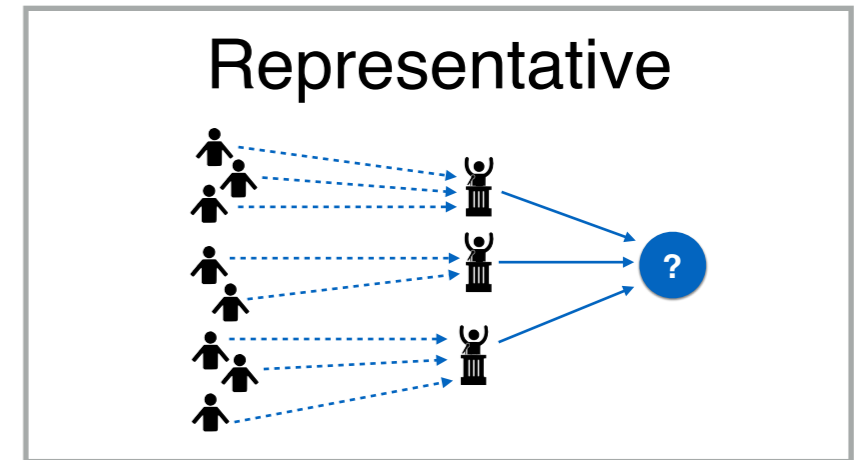
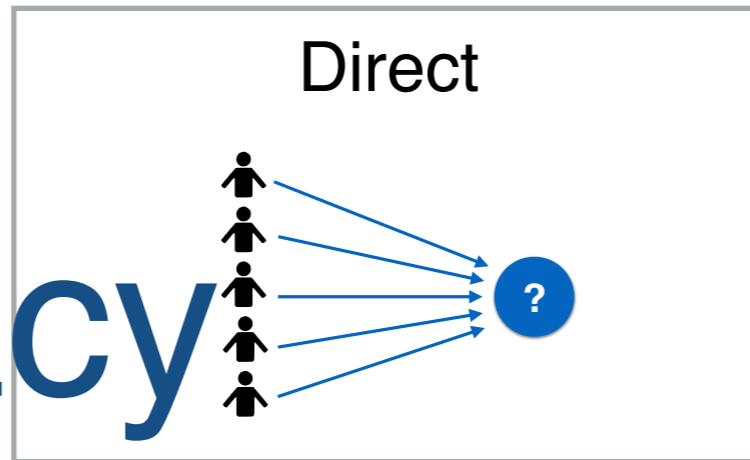
A lot of work for voters

Representatives might not fully capture voters preferences

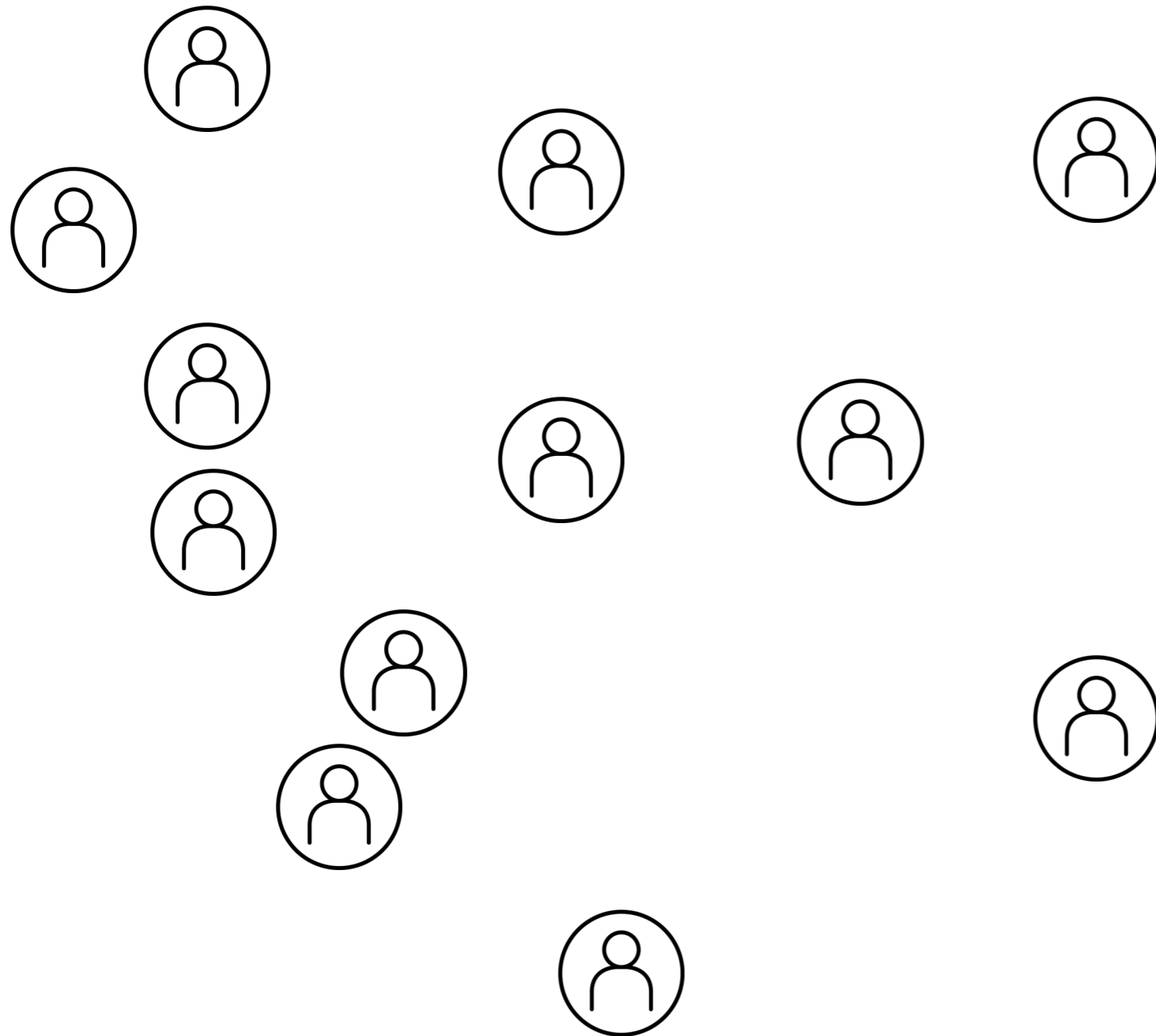
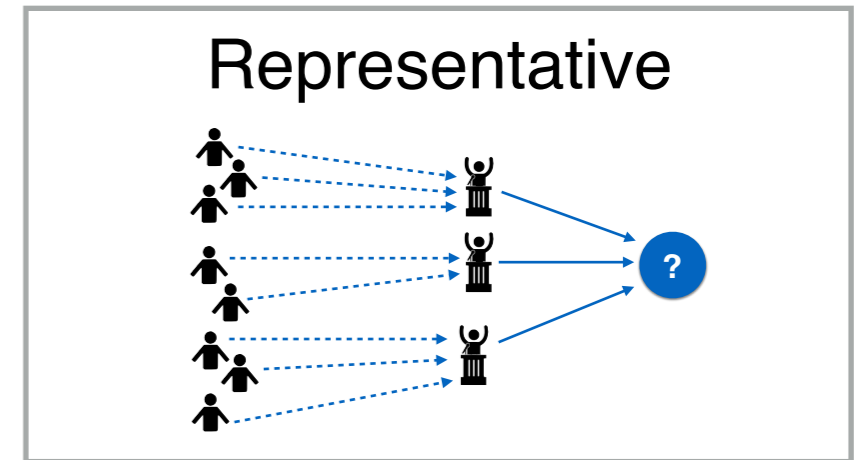
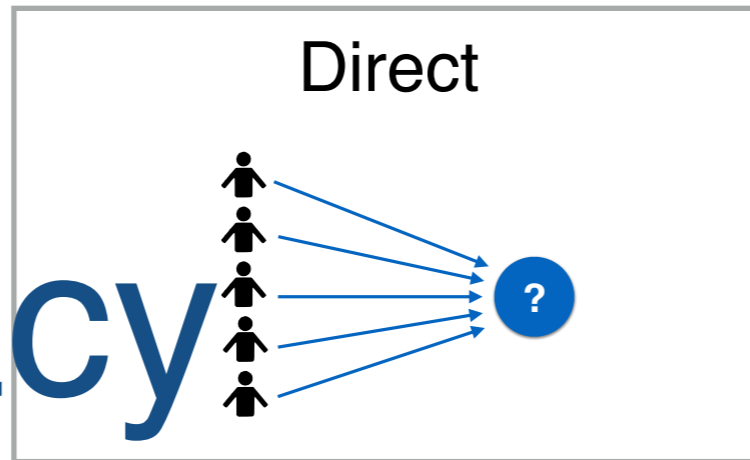
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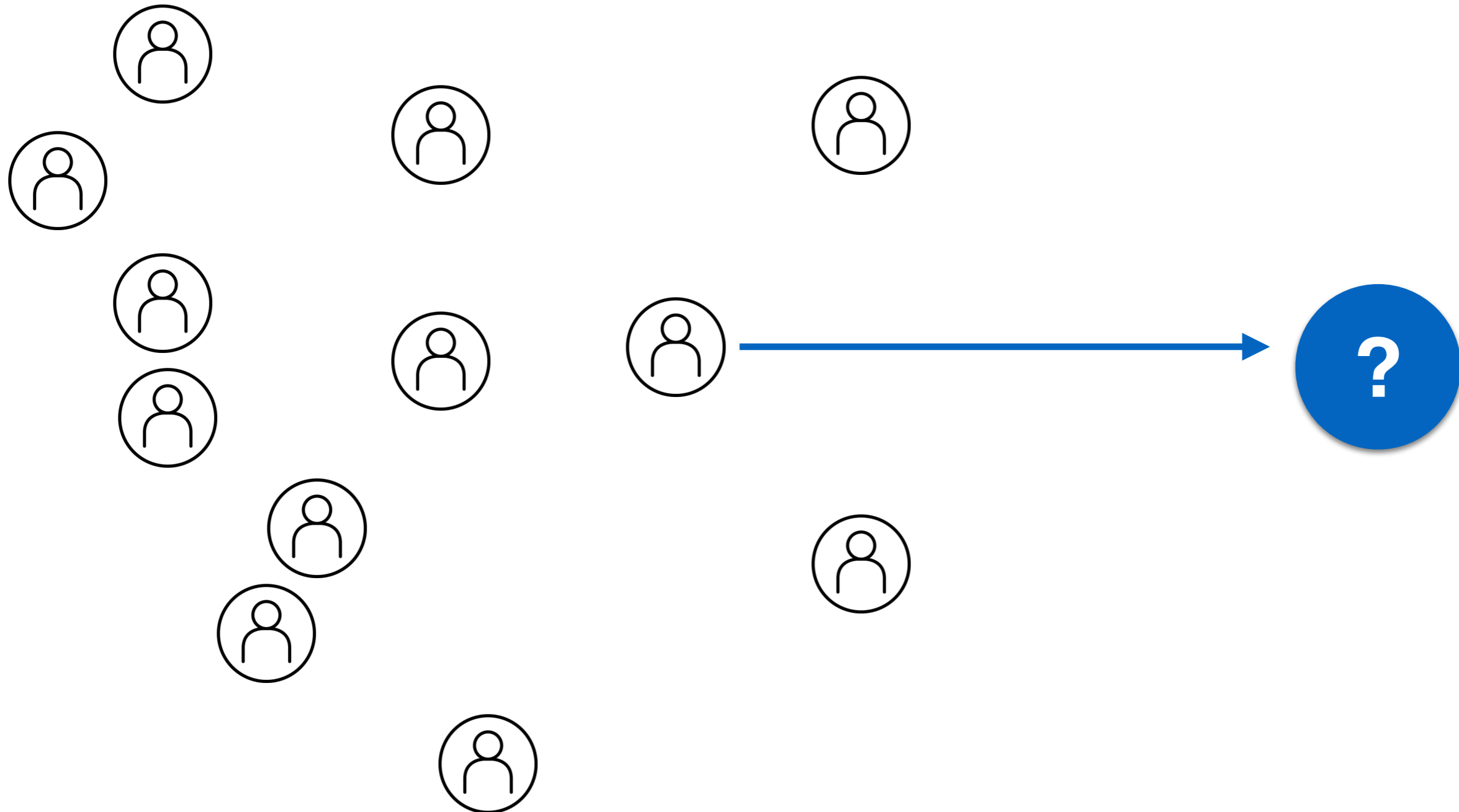
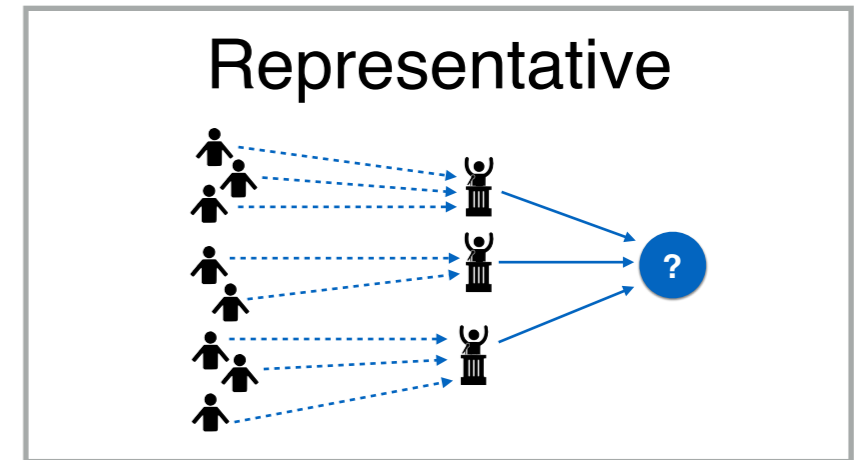
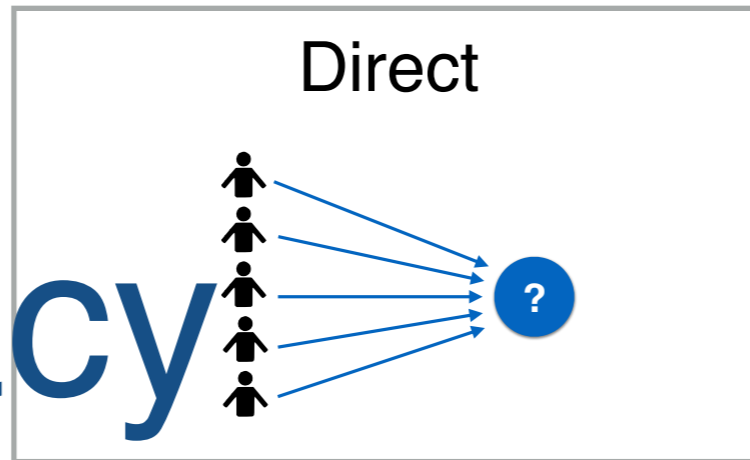
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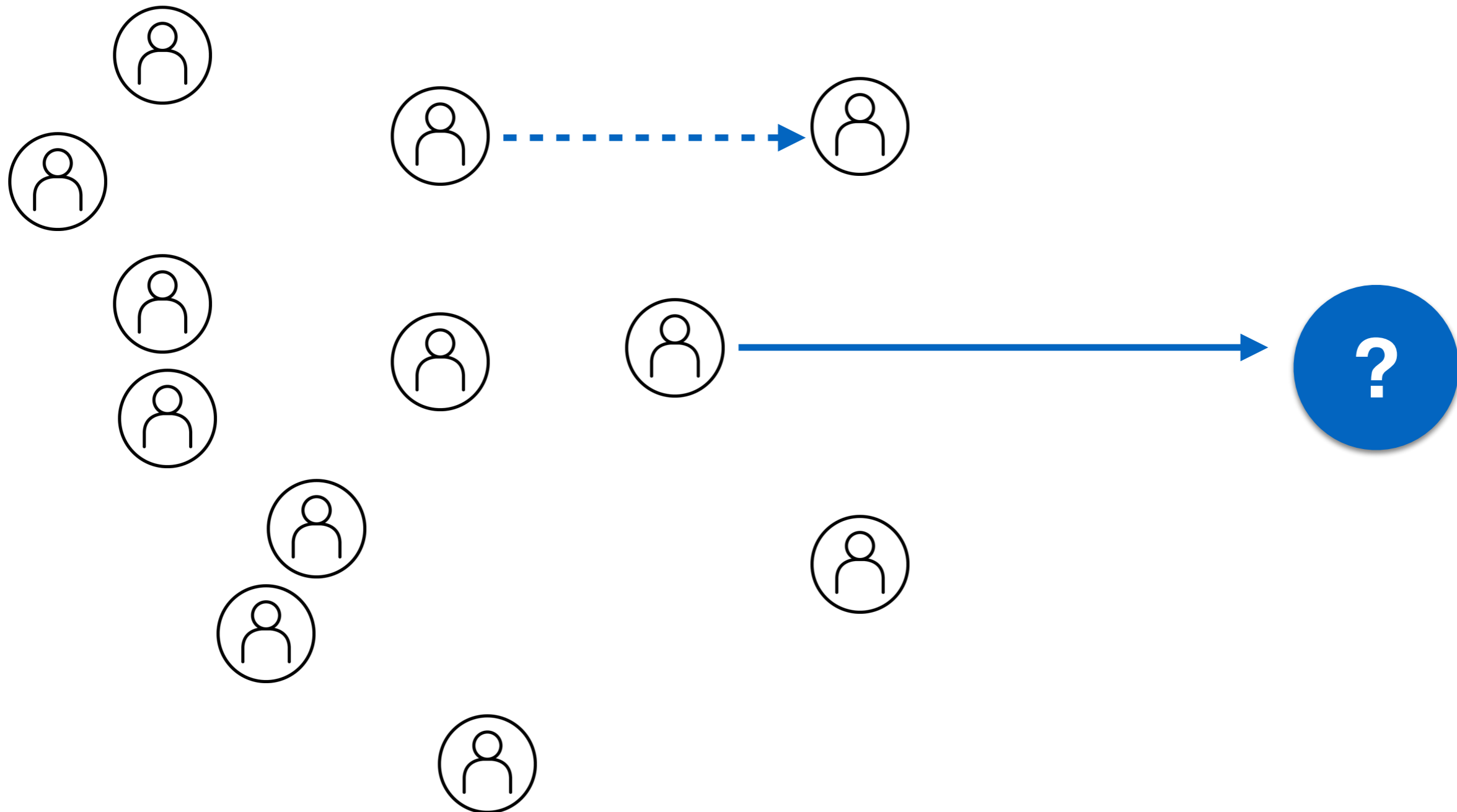
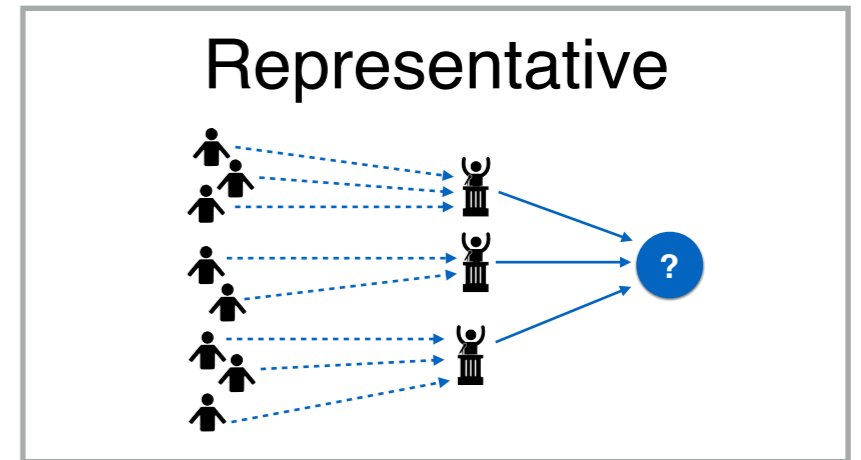
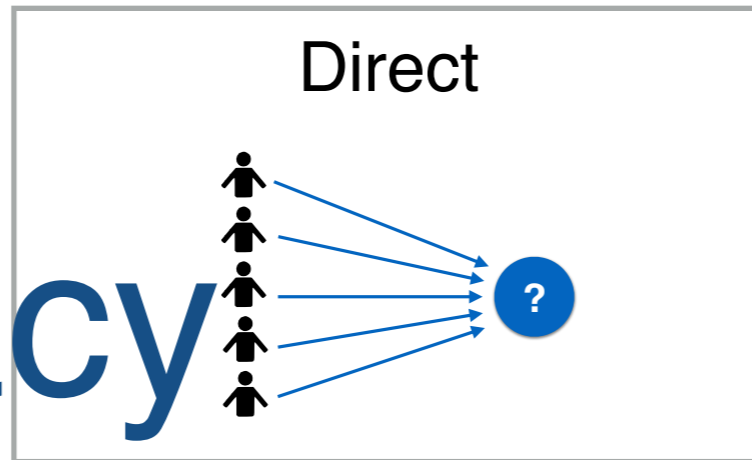
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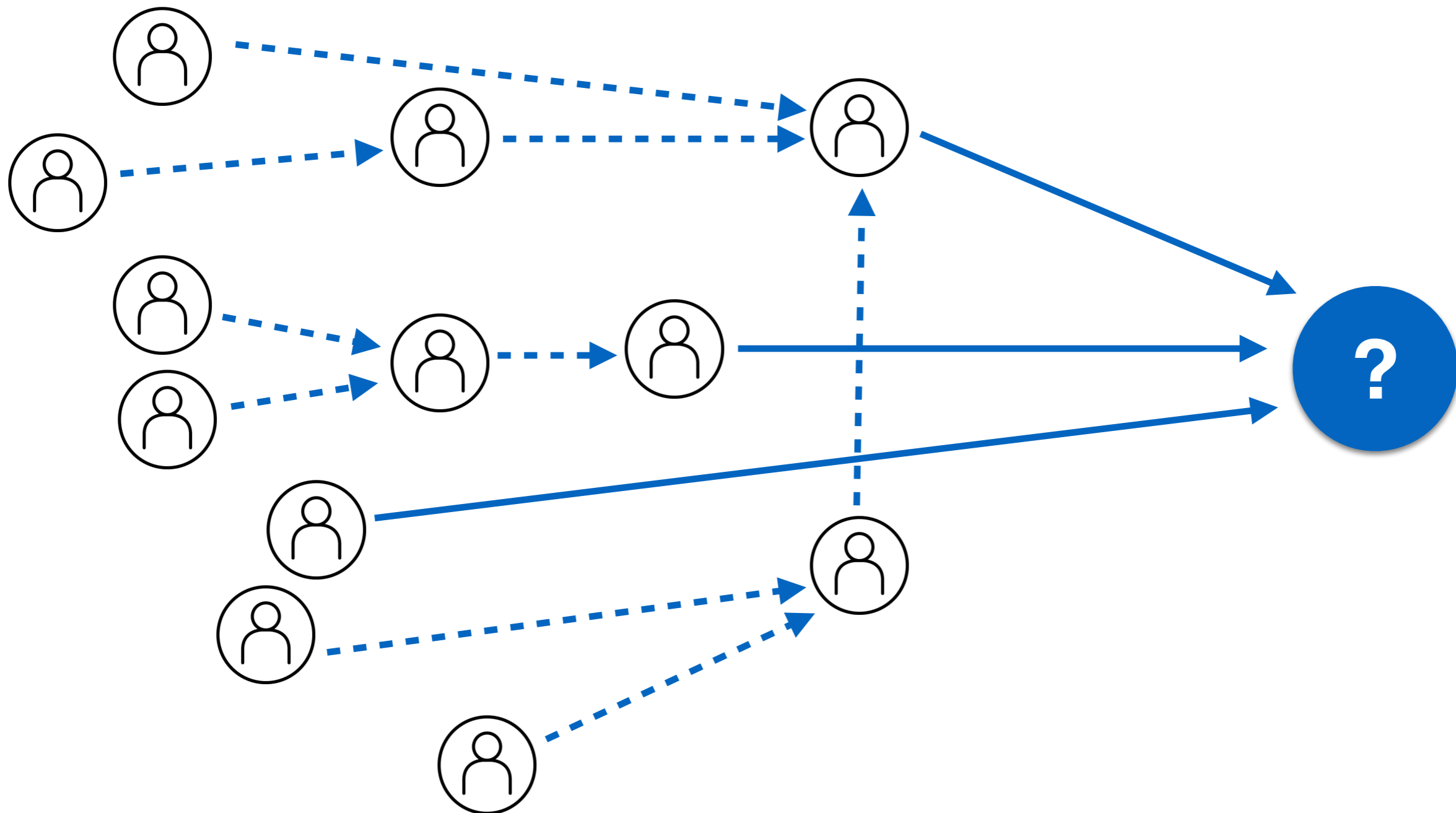
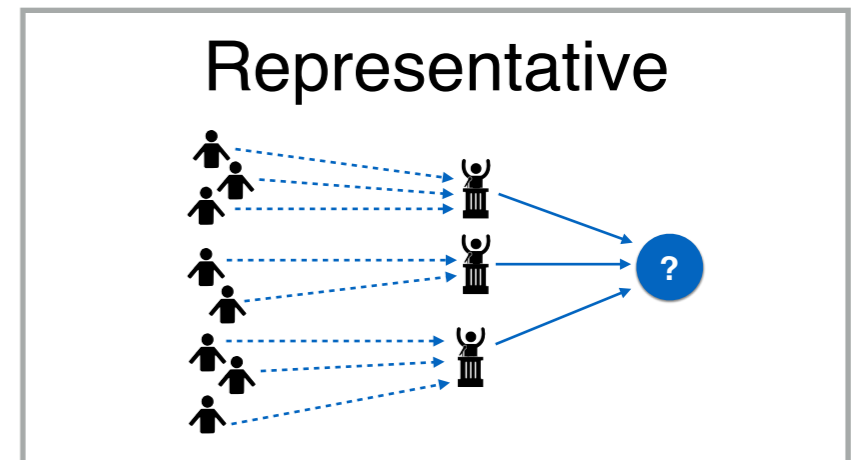
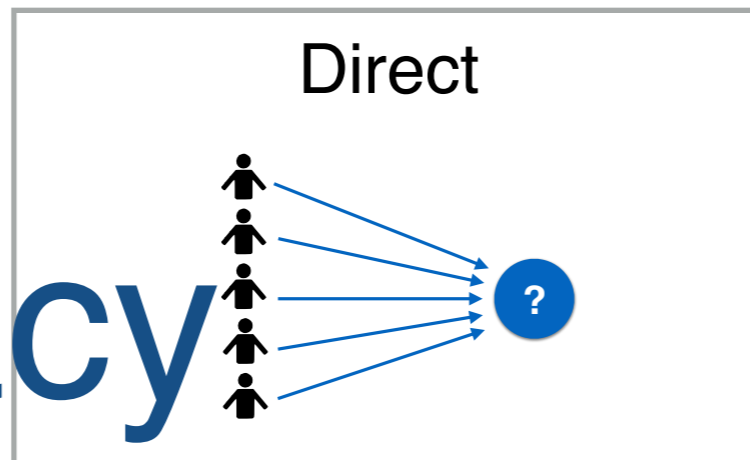
Liquid Democracy



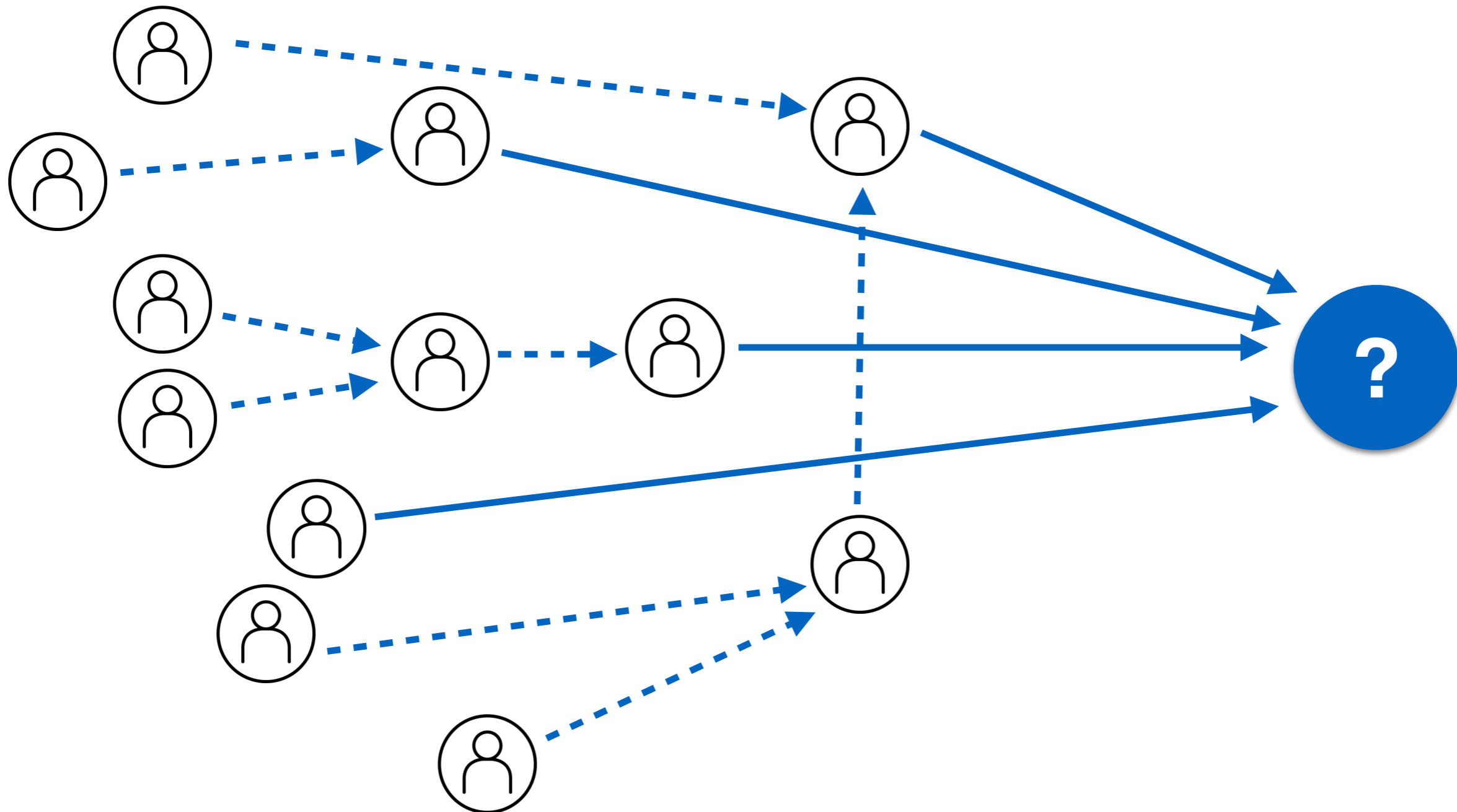
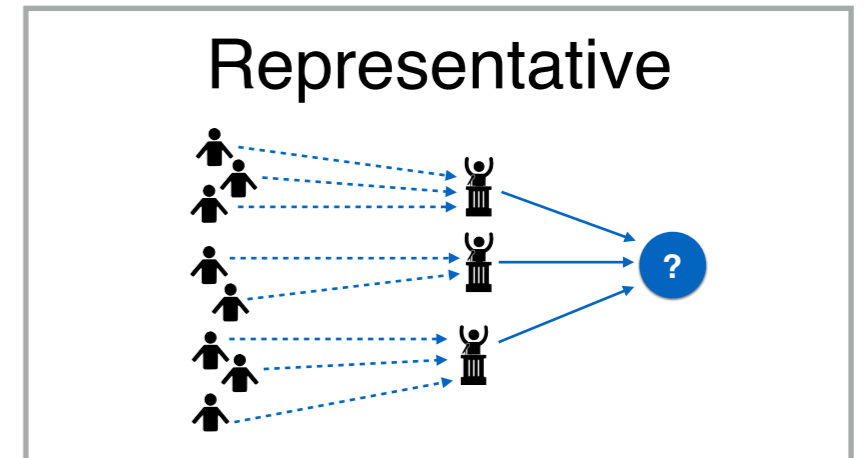
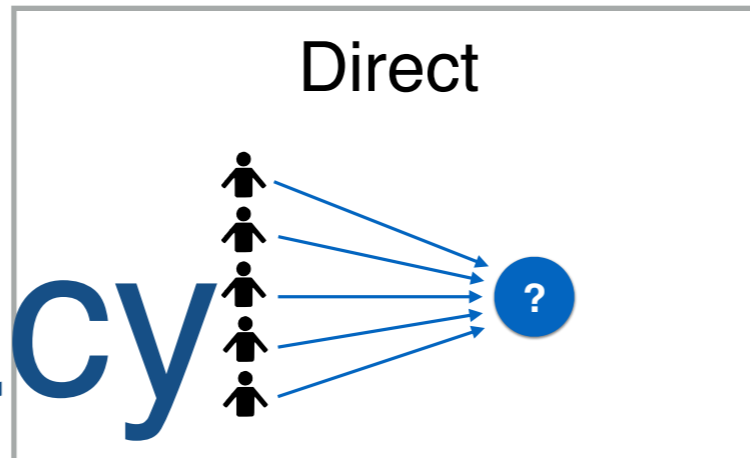
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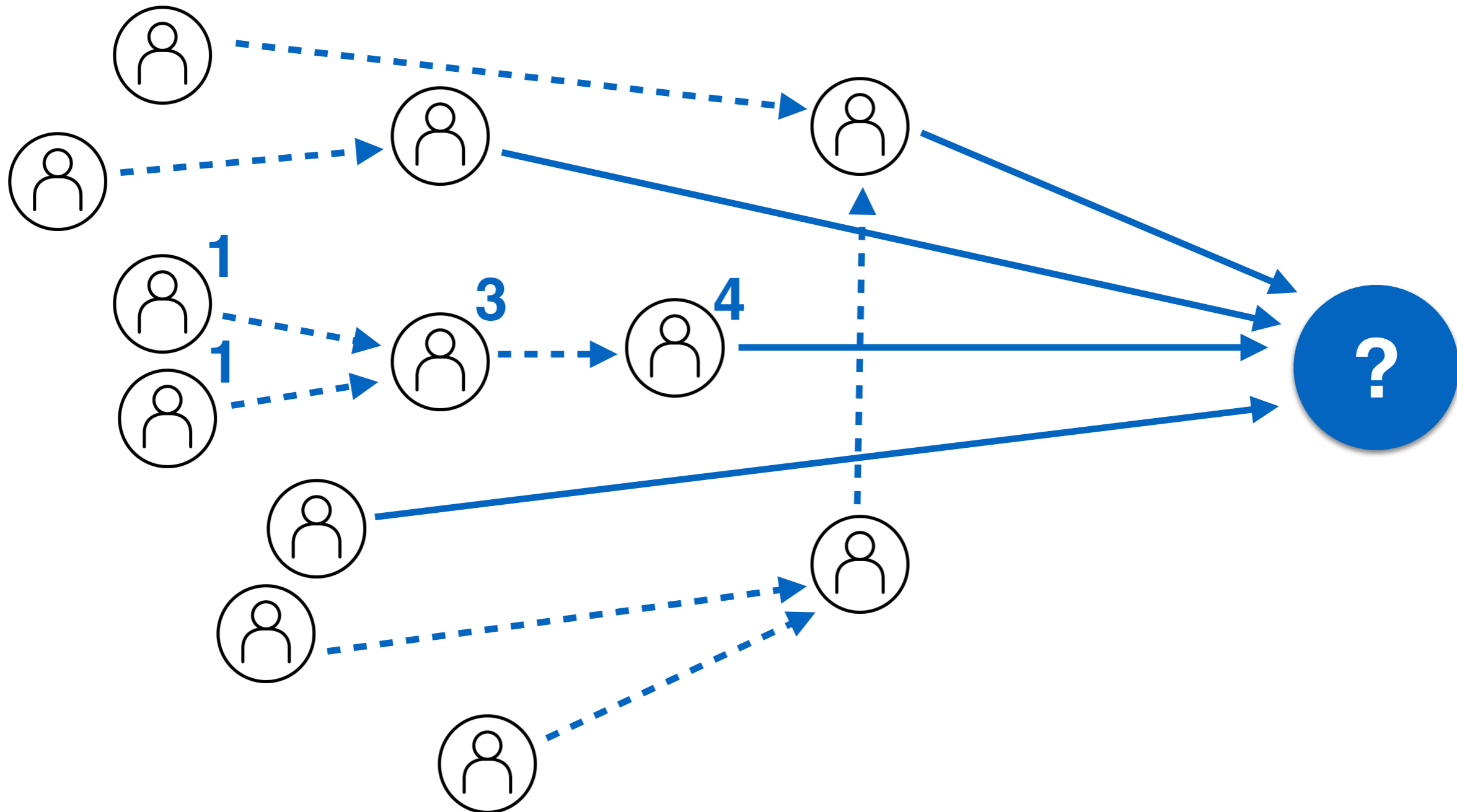
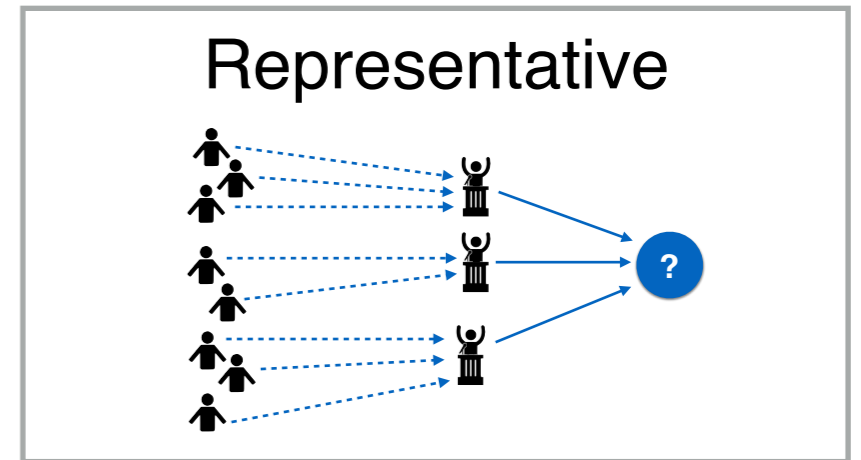
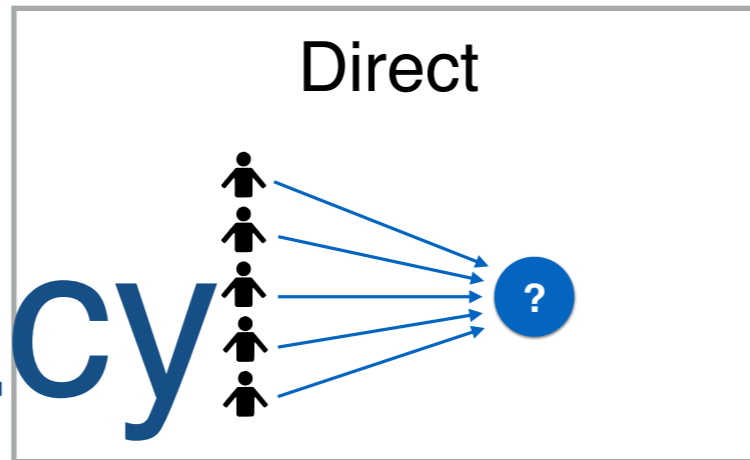
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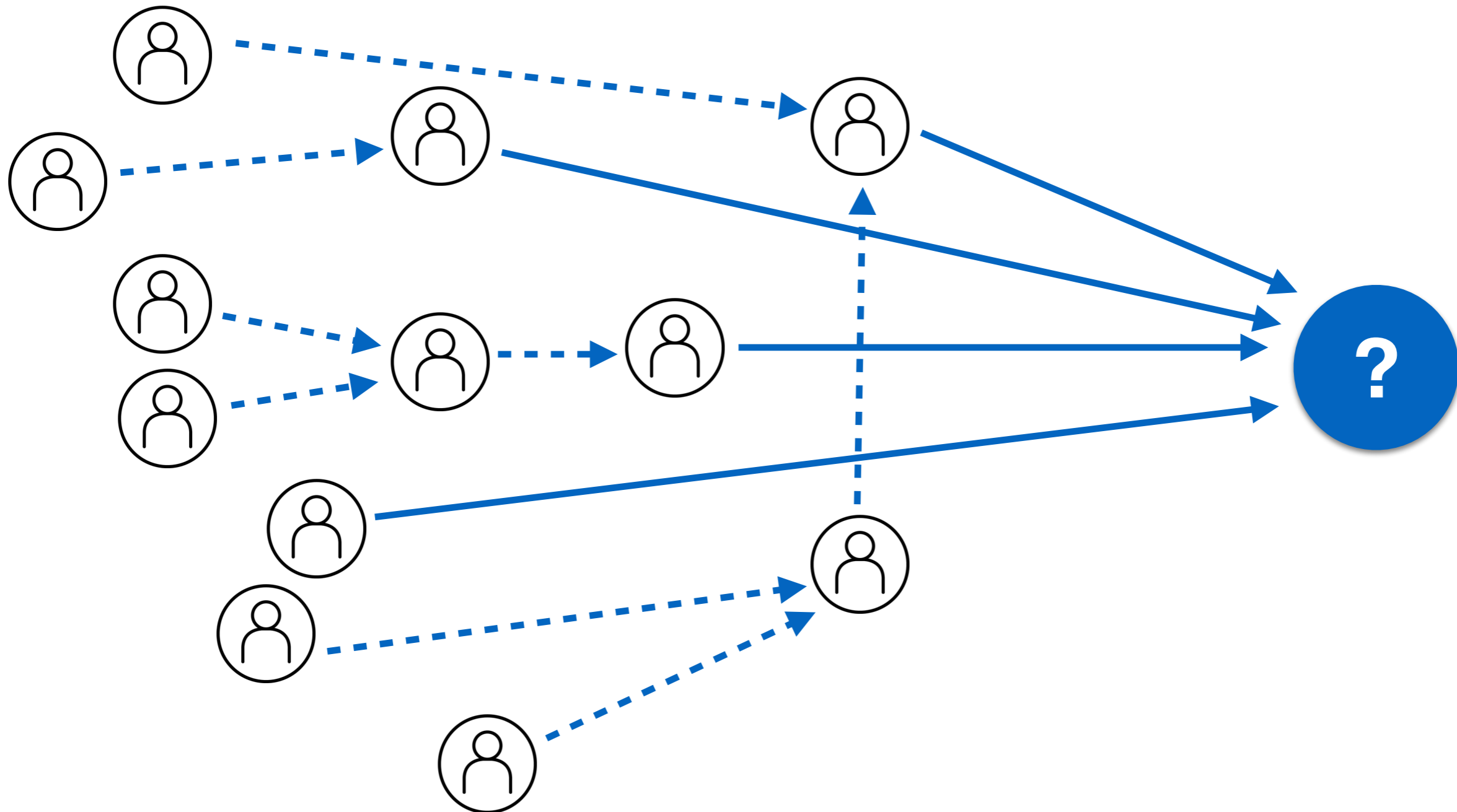
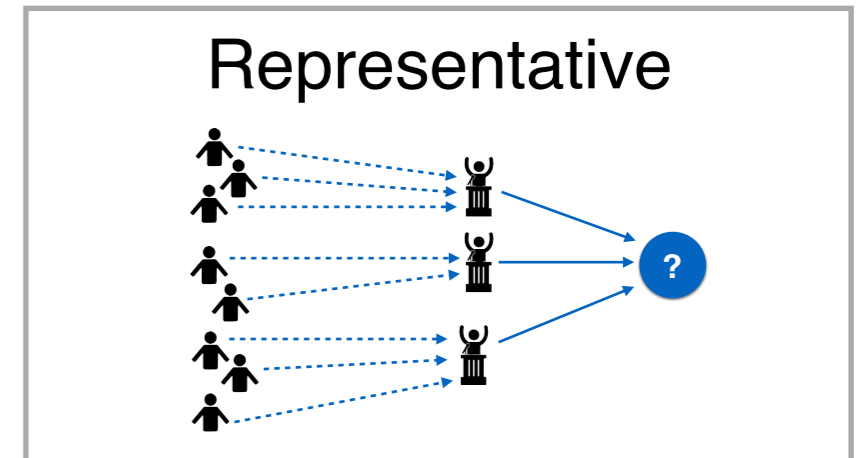
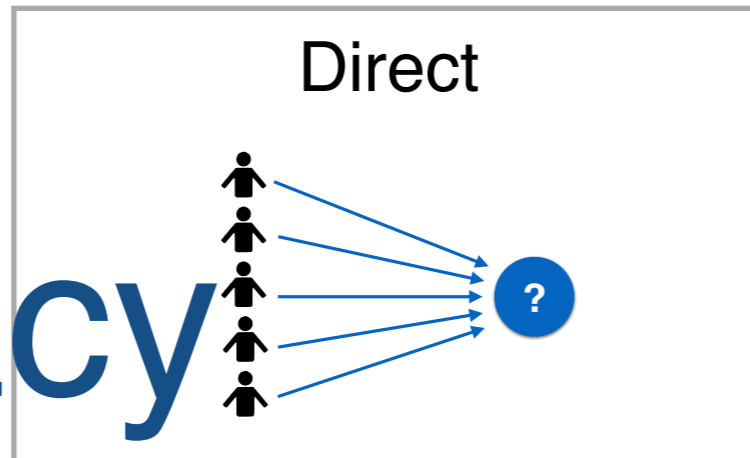
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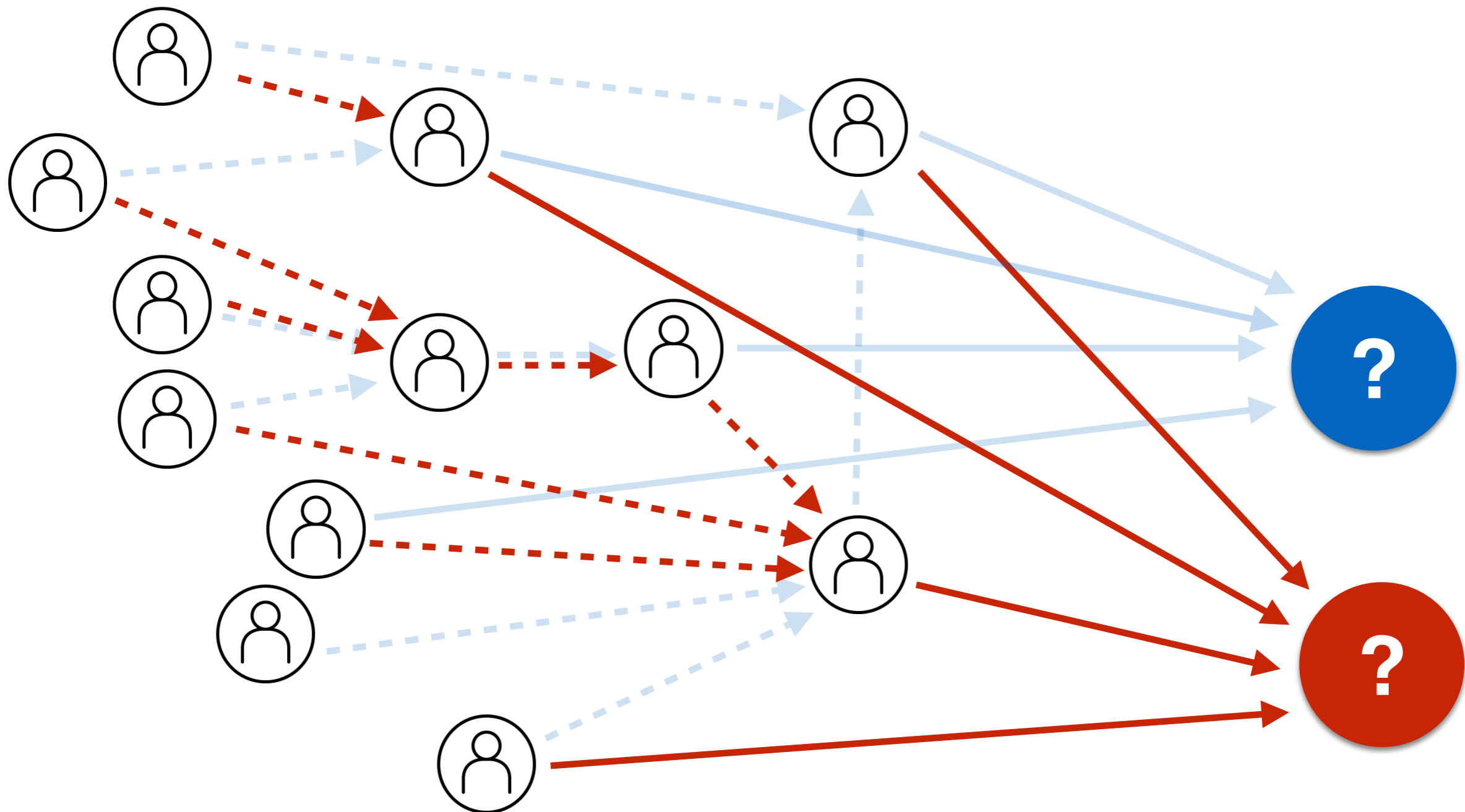
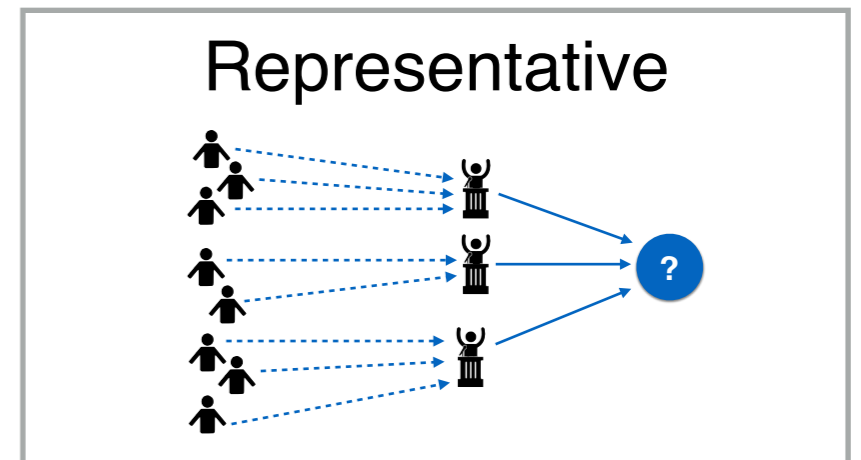
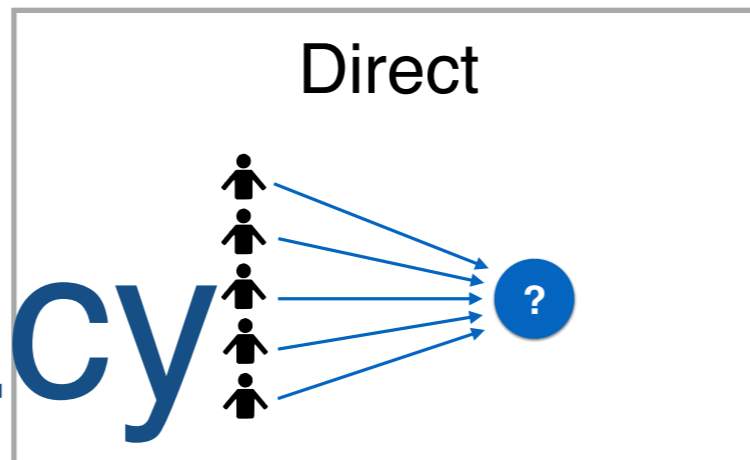
Liquid Democracy



Liquid Democracy



Liquid Democracy



COMPUTATIONAL SOCIAL CHOICE

algorithms for collective decision making.

Computational Social Choice

What is “social choice theory”?

- ▶ How to aggregate possibly conflicting preferences into collective choices in a fair and satisfactory way?

Origins: mathematics, economics, and political science

Essential ingredients:

- ▶ **Autonomous agents** (e.g., human or software agents)
- ▶ A set of **alternatives** (in this course, finitely many)
- ▶ **Preferences** over alternatives
- ▶ **Aggregation functions**

Computational Social Choice

Examples:

- ▶ **voting** (e.g., political, but also wikipedia, facebook, ...)
- ▶ **resource allocation** (e.g., fair division, cake cutting, house allocation)
- ▶ **coalition formation** (e.g., matching, college admission)
- ▶ **webpage ranking** (e.g., search engine aggregators, pagerank algorithm)
- ▶ **collaborative filtering** (e.g., amazon or ebay recommender systems)

Computational Social Choice

Key questions:

- ▶ What does it mean to make rational choices?
- ▶ Which formal properties should an aggregation function satisfy?
- ▶ Which of these properties (“axioms”) can be satisfied simultaneously?
- ▶ How difficult is it to compute collective choices?
- ▶ Can agents benefit by lying about their preferences?

Computational Social Choice

Axioms for Voting Settings:

- ▶ Anonymity: All voters are treated equally
- ▶ Neutrality: All candidates are treated equally
- ▶ Monotonicity: Strengthening a winner does not hurt that candidate
- ▶ ...

Computational Social Choice

Plurality with runoff

- ▶ Used to elect, e.g., the President of France
- ▶ The two alternatives that are ranked first by most voters face off in a majority runoff.

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6	5	4	2
<i>a</i>	<i>c</i>	<i>b</i>	<i>b</i>
<i>b</i>	<i>a</i>	<i>c</i>	<i>a</i>
<i>c</i>	<i>b</i>	<i>a</i>	<i>c</i>

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a and **b** are ranked first by 6 voters each

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(with 11 out of 17 votes for a))

Computational Social Choice

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Computational Social Choice

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<i>c</i>	<i>b</i>	<i>a</i>	<i>c</i>

Anonymity and neutrality hold! Runoff rules fail monotonicity!

Computational Social Choice

Many impossibility results

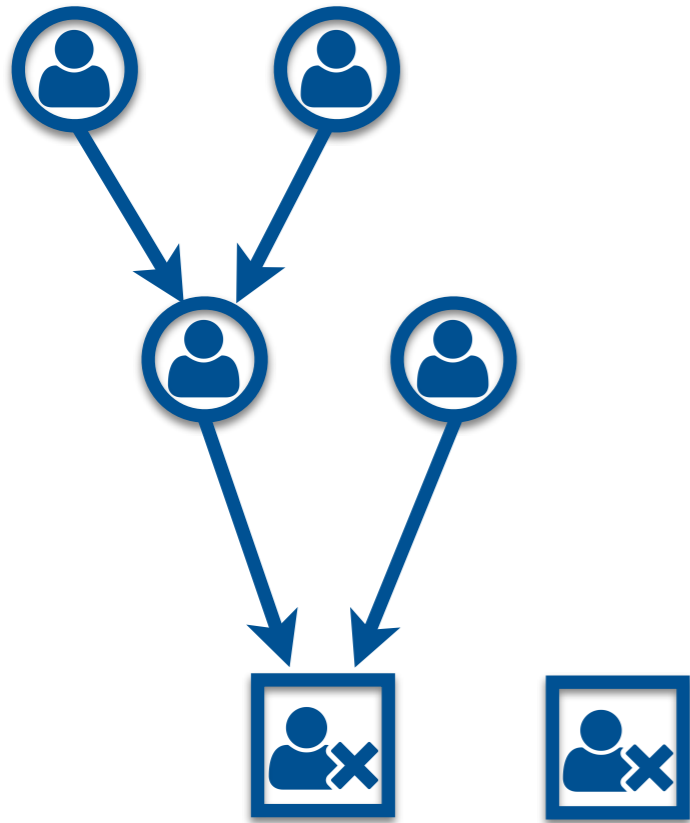
(e.g., Arrow, Gibbard-Satterthwaite)

- ▶ There is no perfect voting rule
- ▶ It is still worth analysing which axioms are (dis-)satisfied
- ▶ Different applications value different axioms

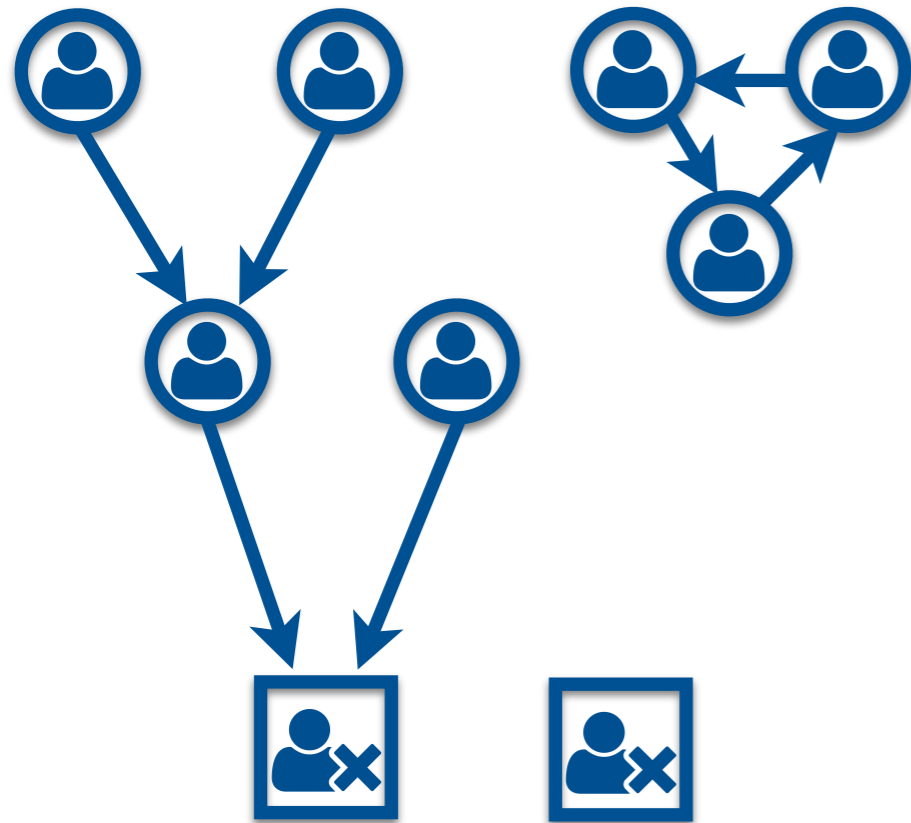
COMSOC work on LIQUID DEMOCRACY

- Z. Christoff and D. Grossi. Binary voting with delegable proxy: An analysis of liquid democracy (TARK 2017)
- A. Kahng, S. Mackenzie, and A. D. Procaccia. Liquid democracy: An algorithmic perspective (AAAI 2018)
- P. Gözl, A. Kahng, S. Mackenzie, and A. Procaccia. The fluid mechanics of liquid democracy (WINE 2018)
- M. Brill and N. Talmon. Pairwise liquid democracy (IJCAI 2018)
- D. Bloembergen, D. Grossi, and M. Lackner. On rational delegations in liquid democracy (AAAI 2019)
- I. Caragiannis and E. Micha. A contribution to the critique of liquid democracy (IJCAI 2019)
- B. Escoffier, H. Gilbert, and A. Pass-Lanneau. The convergence of iterative delegations in liquid democracy (arXiv 2019)
- G. Kotsialou and L. Riley. Incentivising participation in liquid democracy with breadth-first delegation (arXiv 2019)
- ...

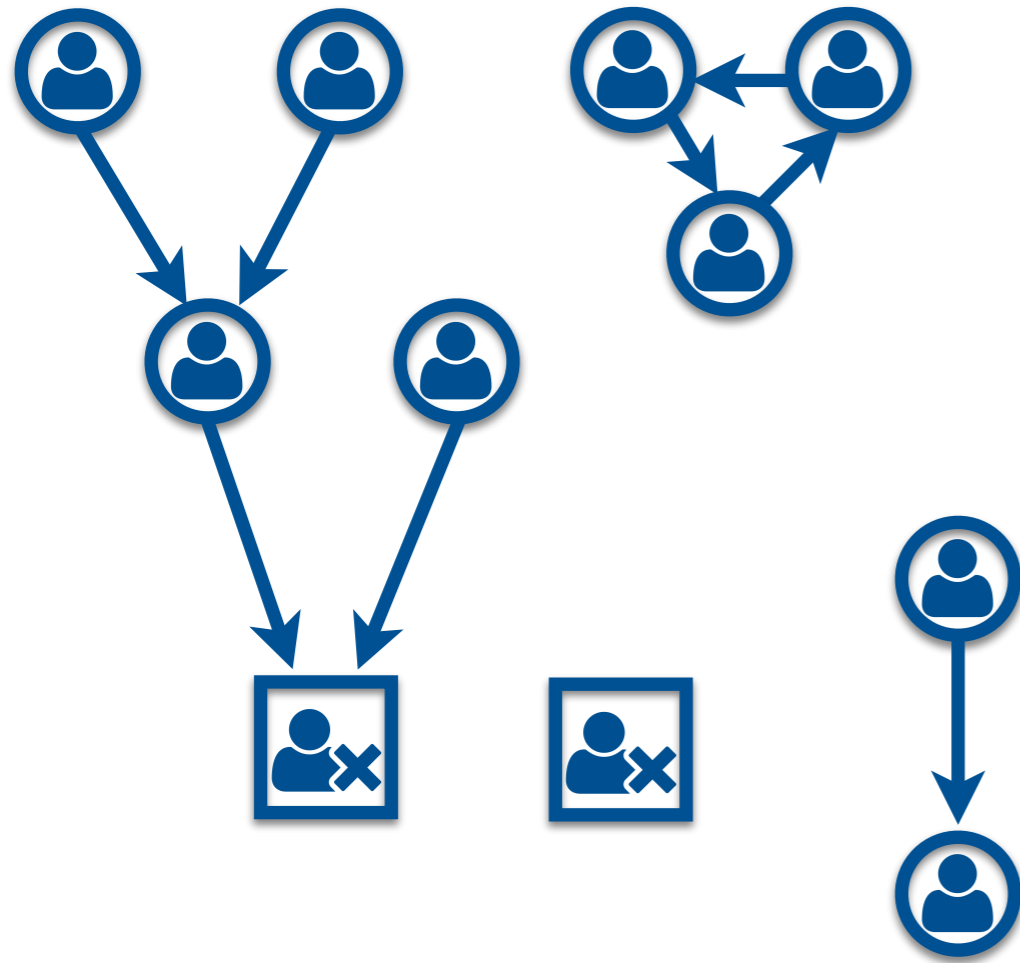
single delegations



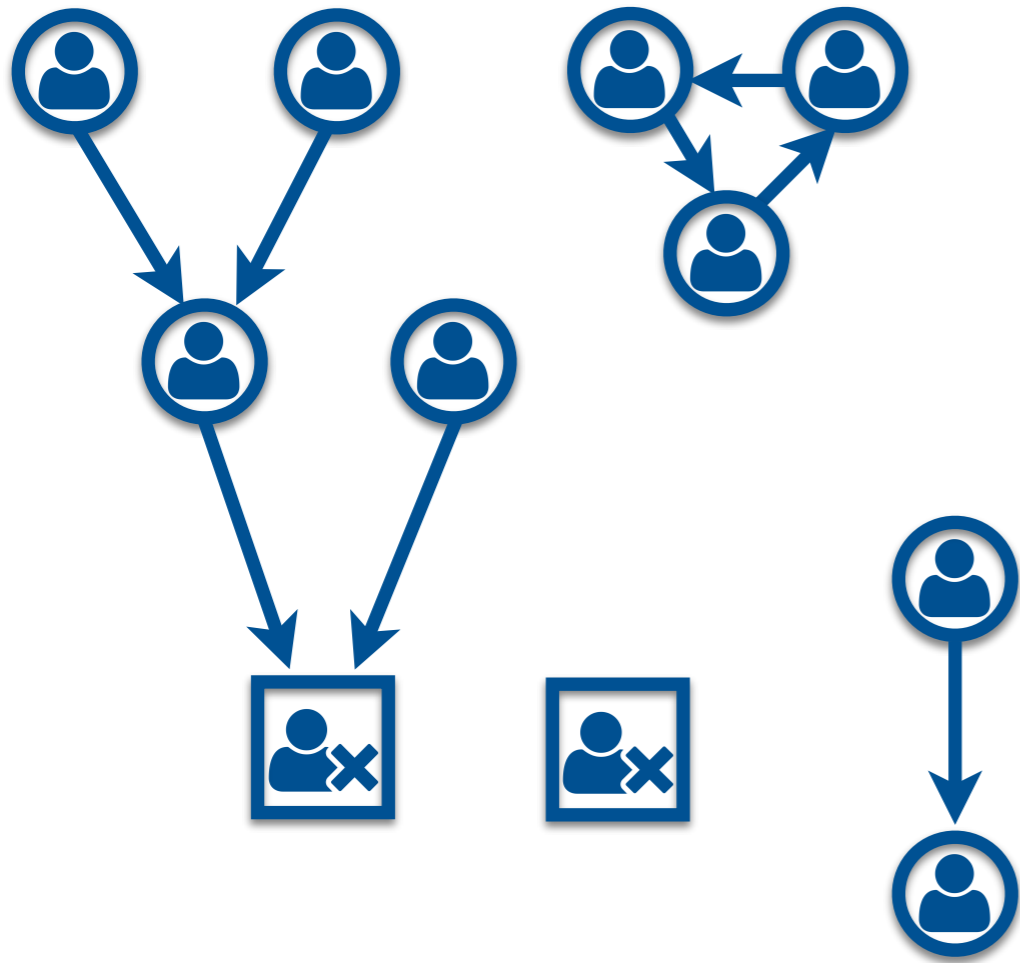
single delegations




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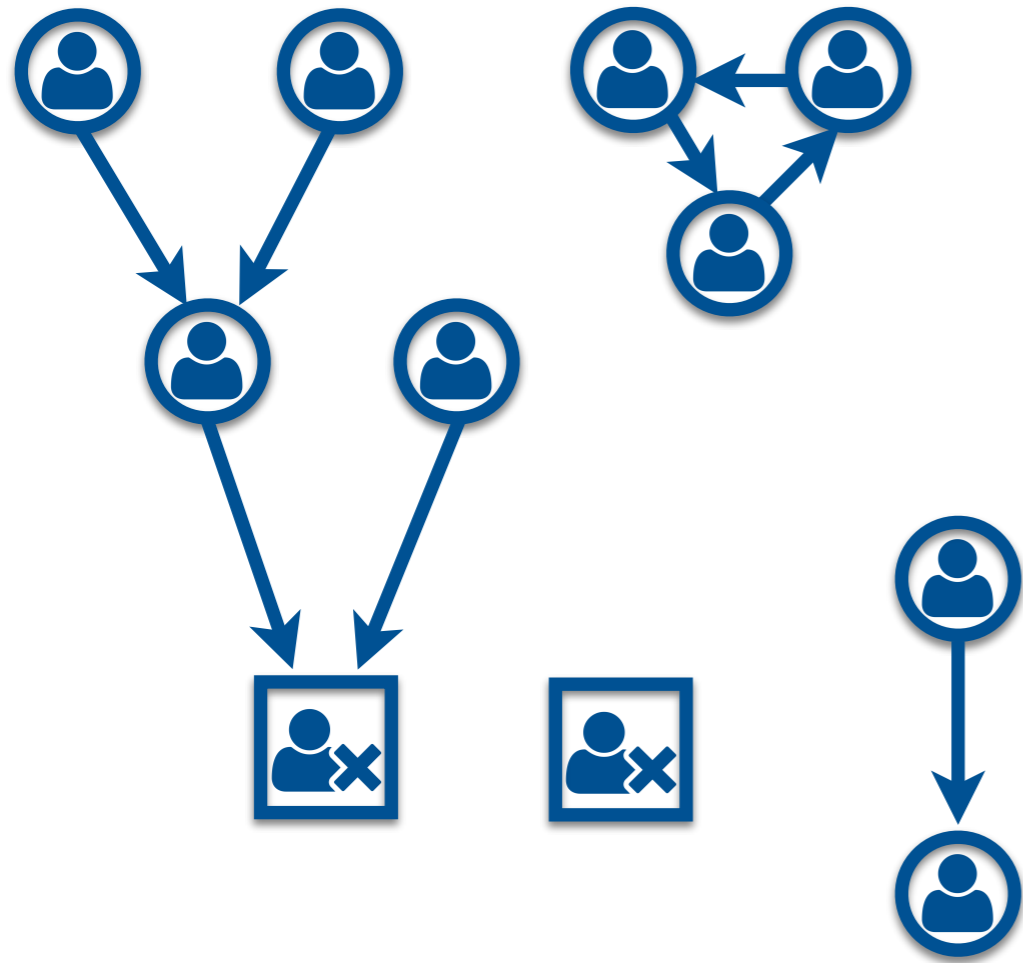


single delegations

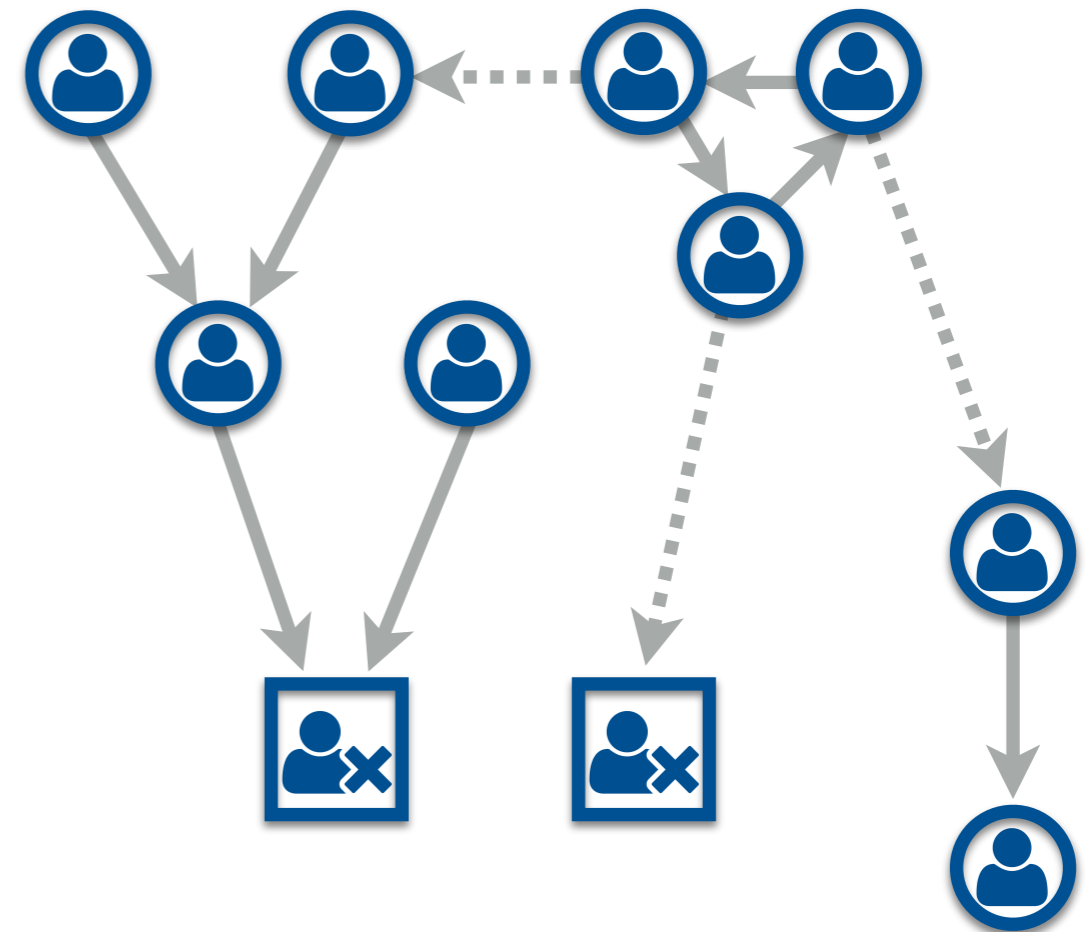



 cycles and abstaining voters

single delegations

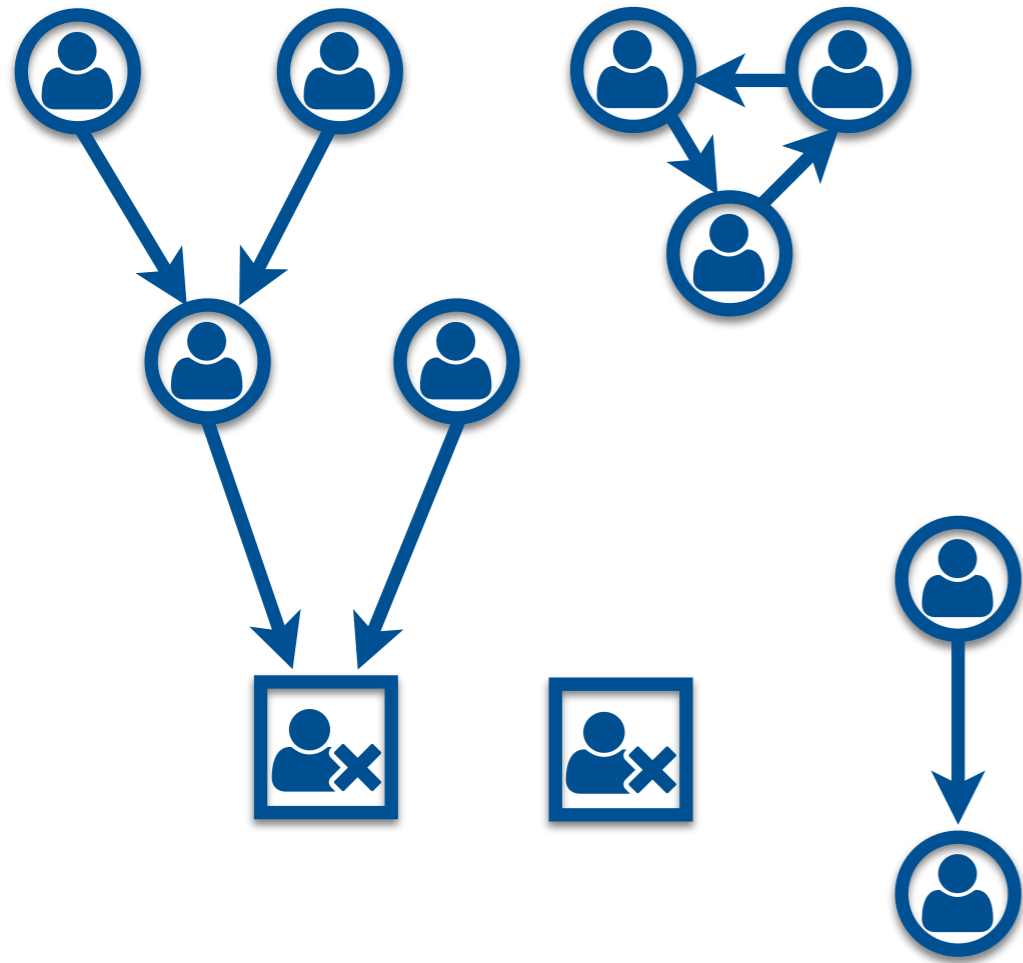



“backup” delegations



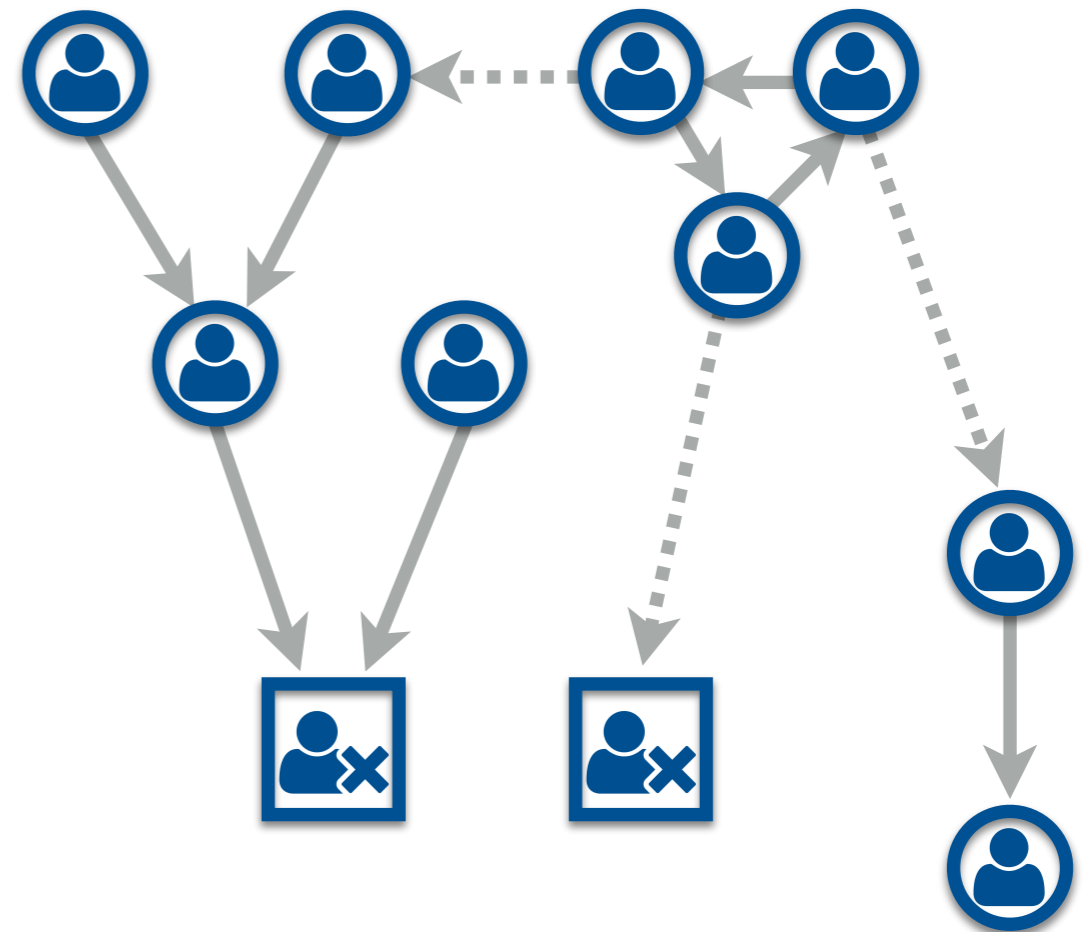
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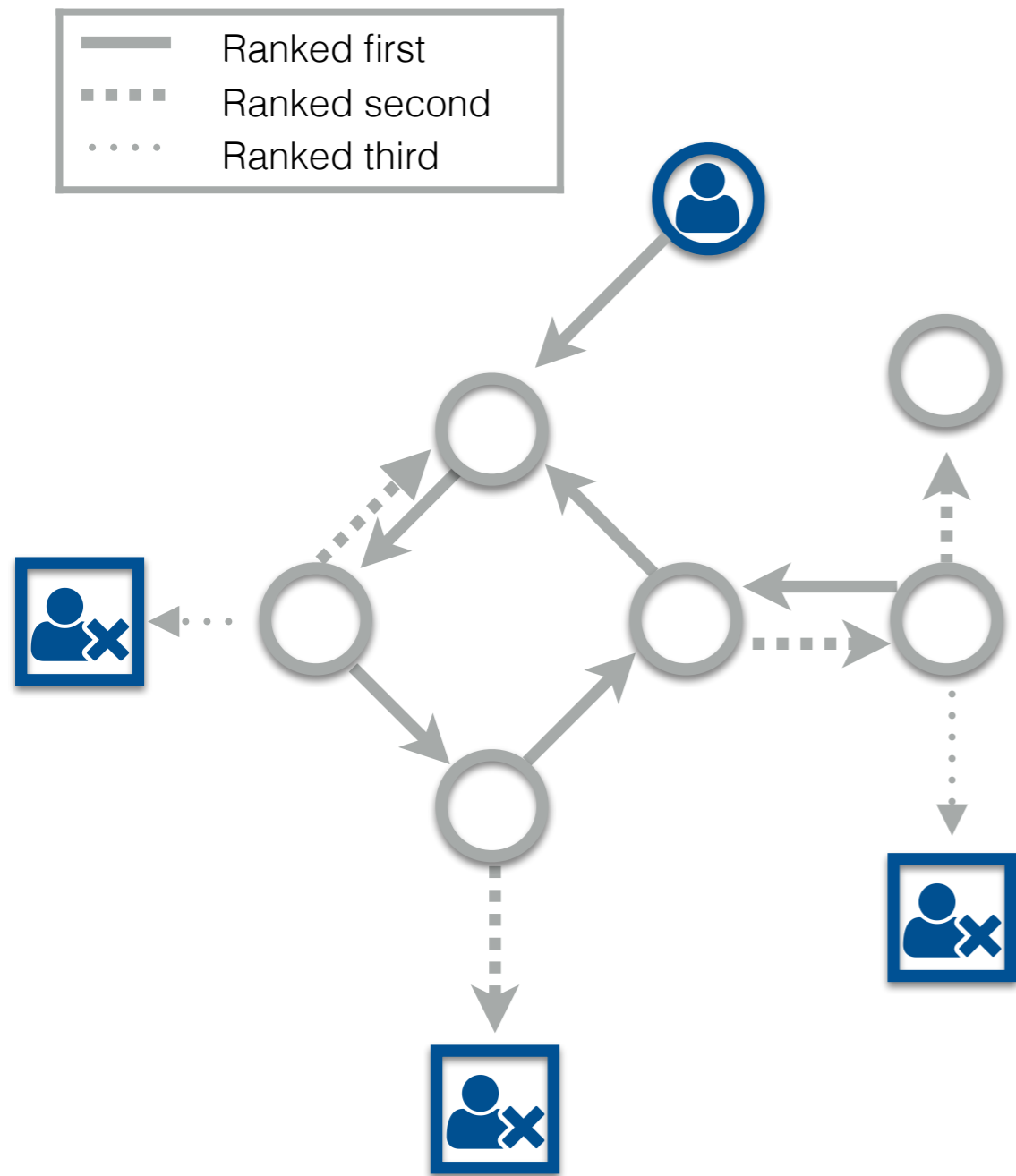
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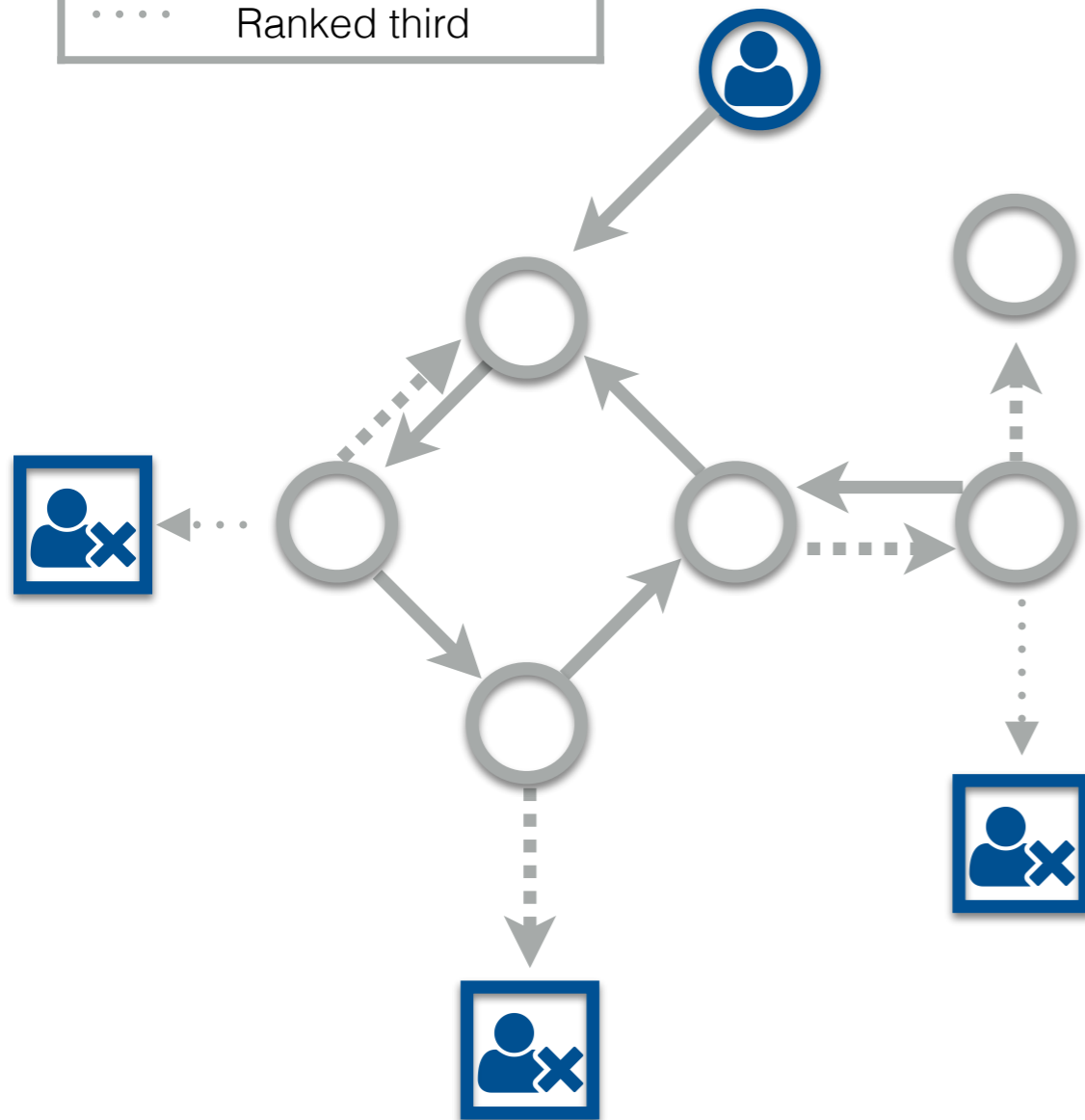
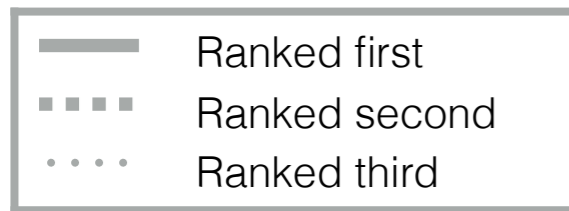


Question:
How to assign delegations?

Delegation Functions

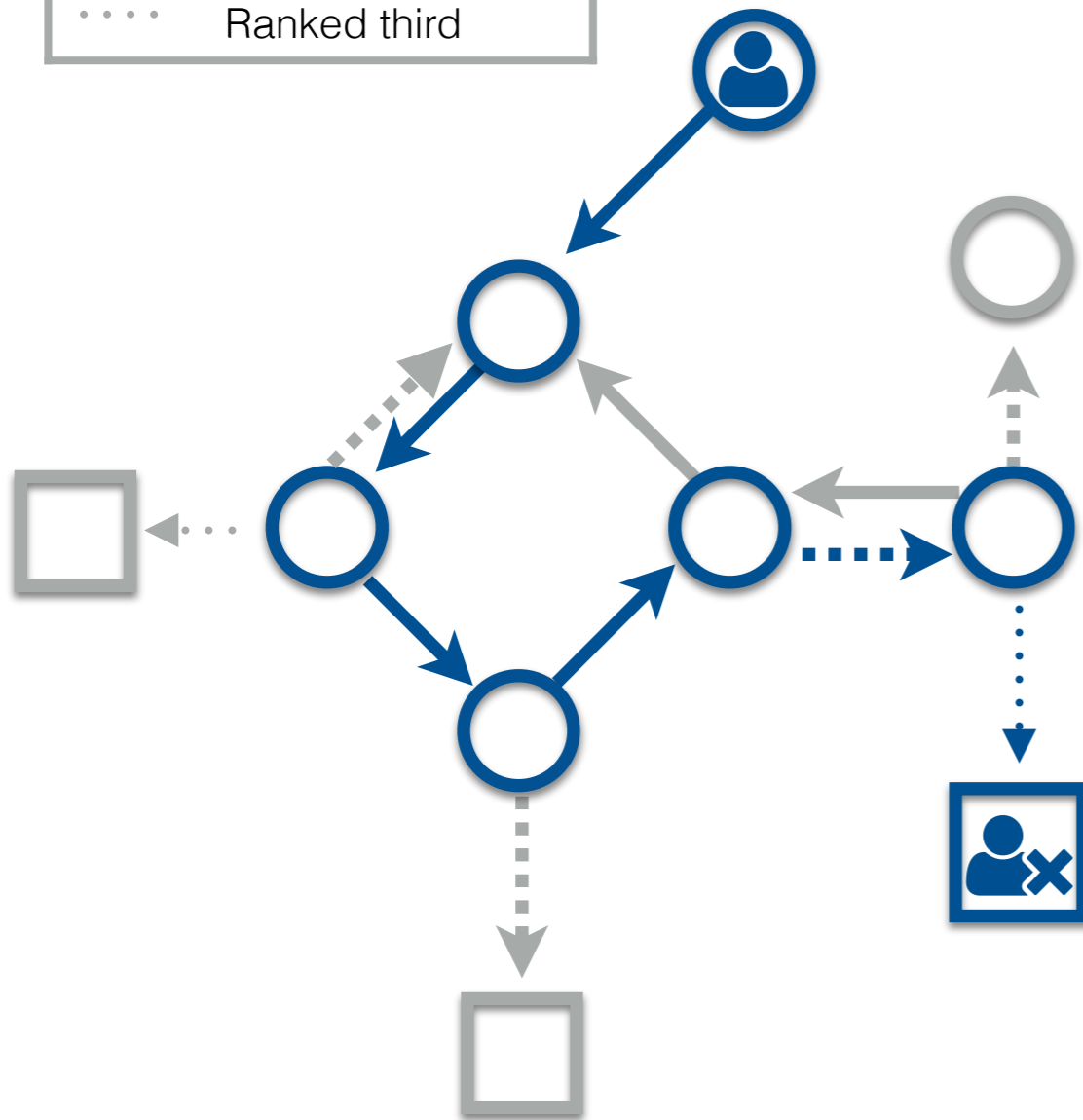
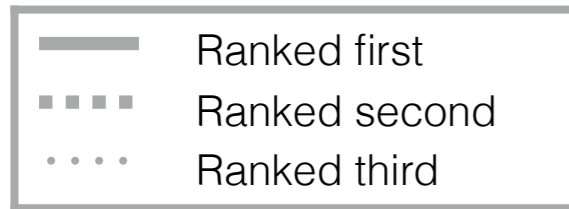


Delegation Functions



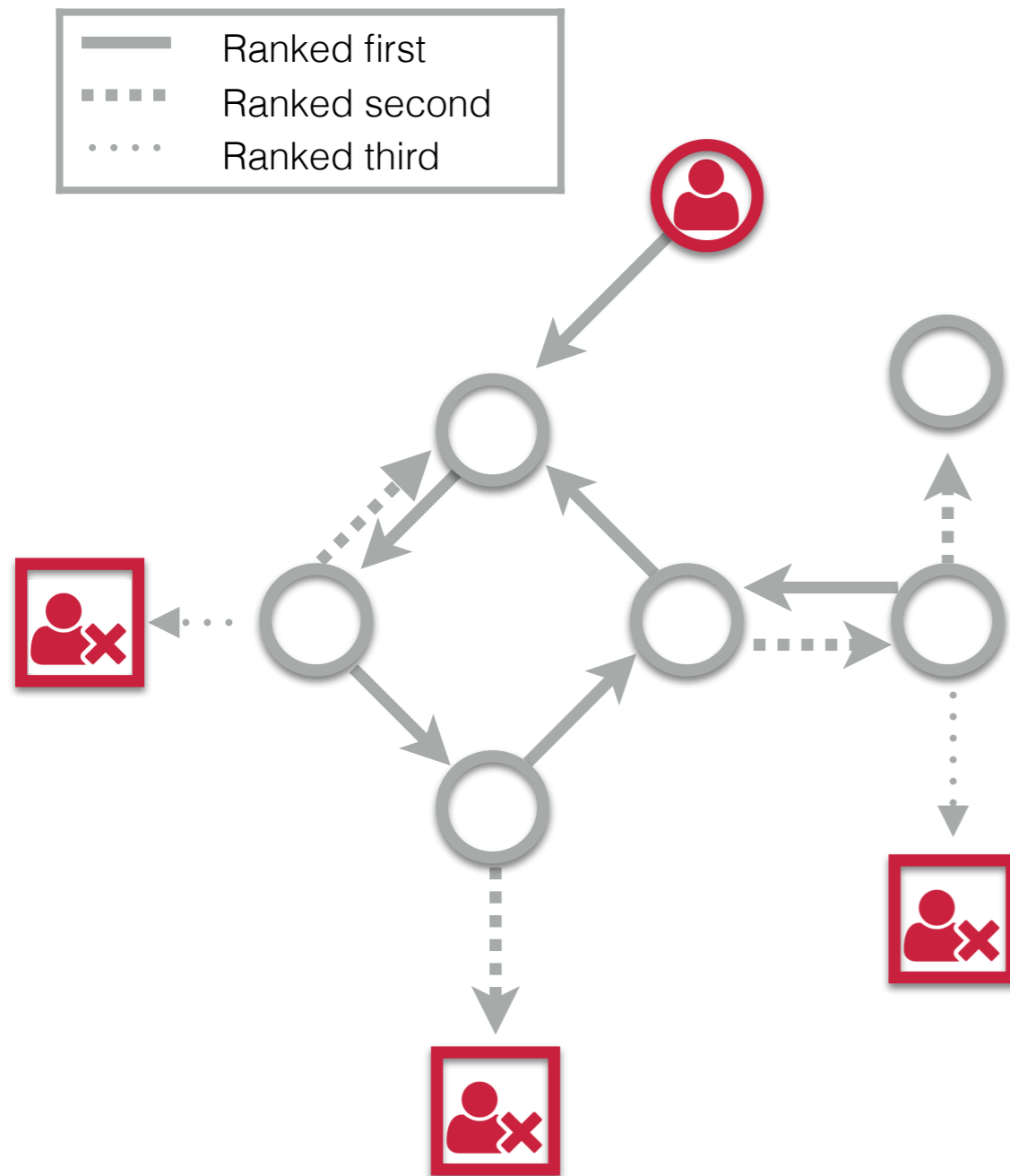
Depth first Choose lexicographically first path (order by rank values)

Delegation Functions



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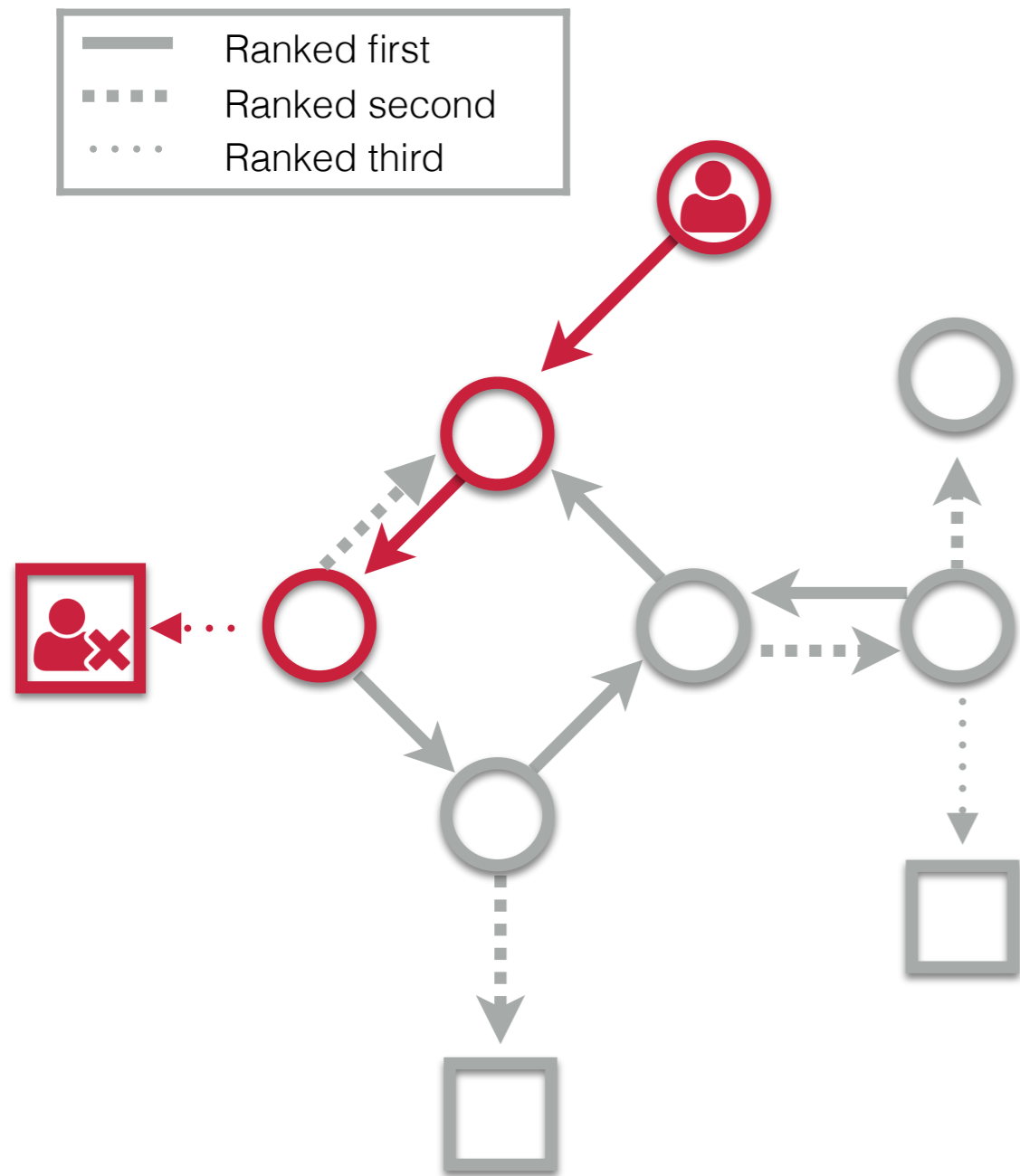
Depth first

Choose lexicographically first path
(order by rank values)

Breadth first

Choose shortest path
(break ties lexicographically)

Delegation Functions



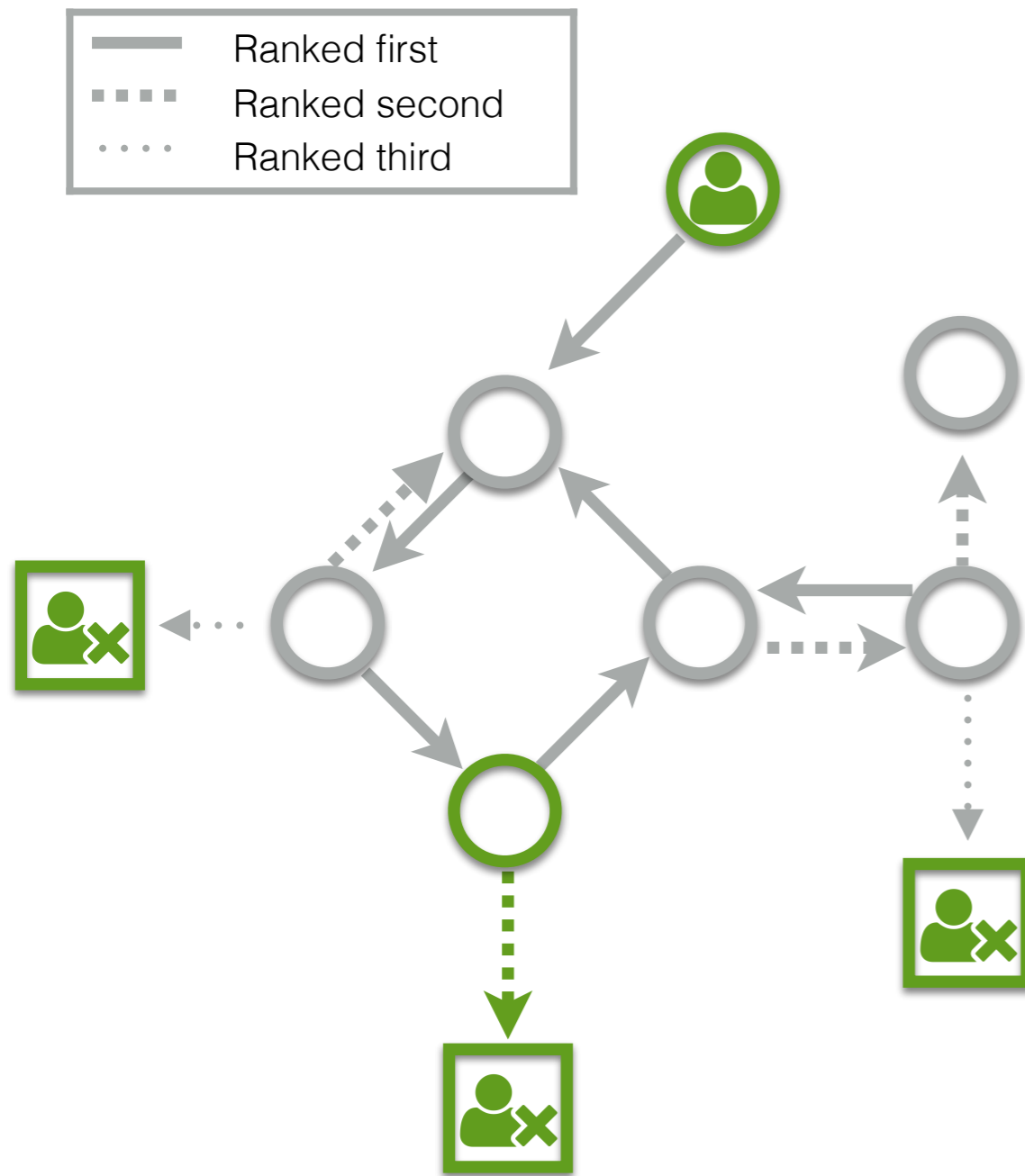
Depth first

Choose lexicographically first path
(order by rank values)

Breadth first

Choose shortest path
(break ties lexicographically)

Delegation Functions



Depth first

Choose lexicographically first path
(order by rank values)

Breadth first

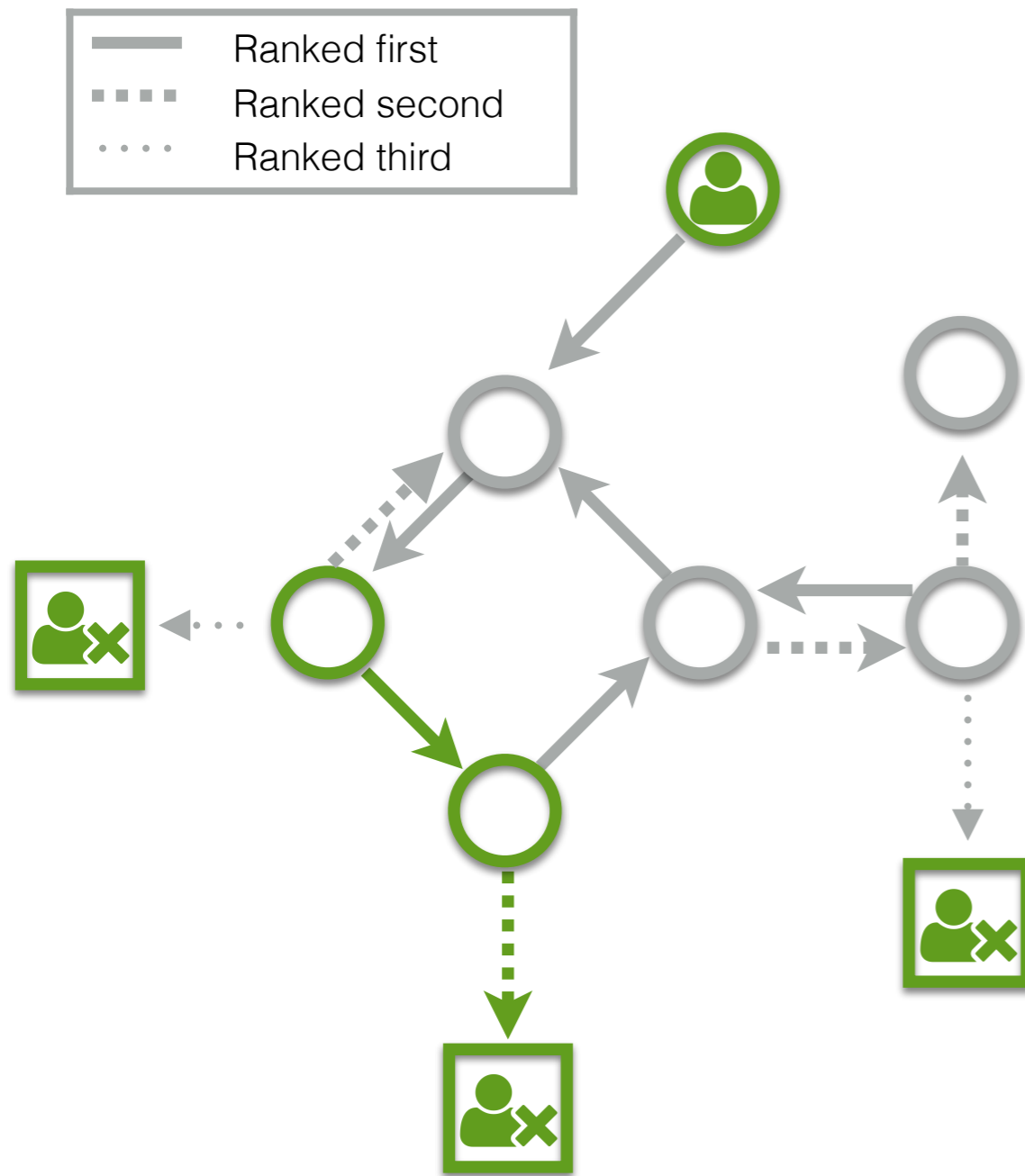
Choose shortest path
(break ties lexicographically)

Diffusion

Until some reaches :

- ▶ Let x be smallest rank of an ingoing edge to
- ▶ For all : expand backwards delegation paths along edges of rank x

Delegation Functions



Depth
first

Choose lexicographically first
path
(order by rank values)

Breadth
first

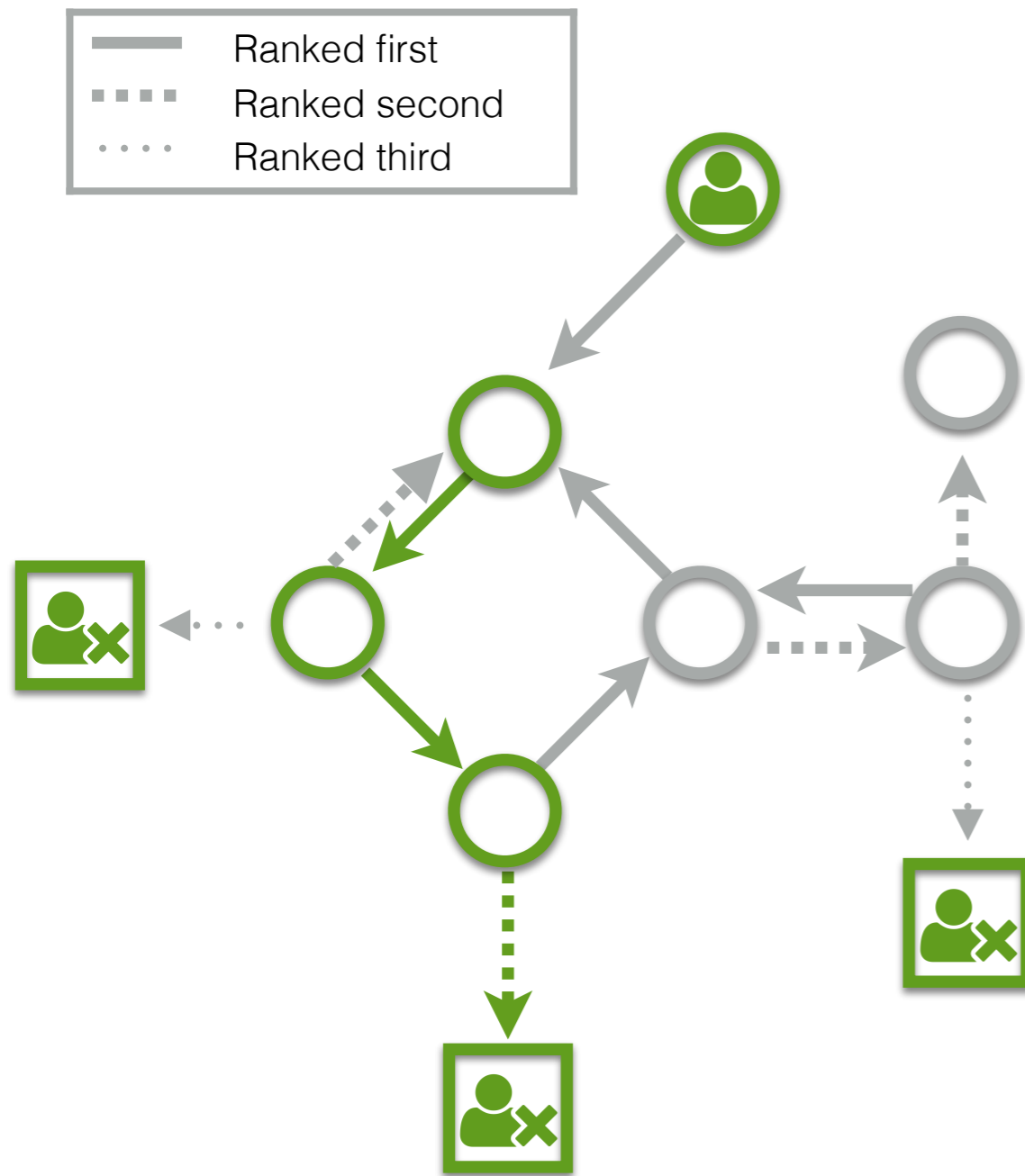
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Delegation Functions



Depth first

Choose lexicographically first path
(order by rank values)

Breadth first

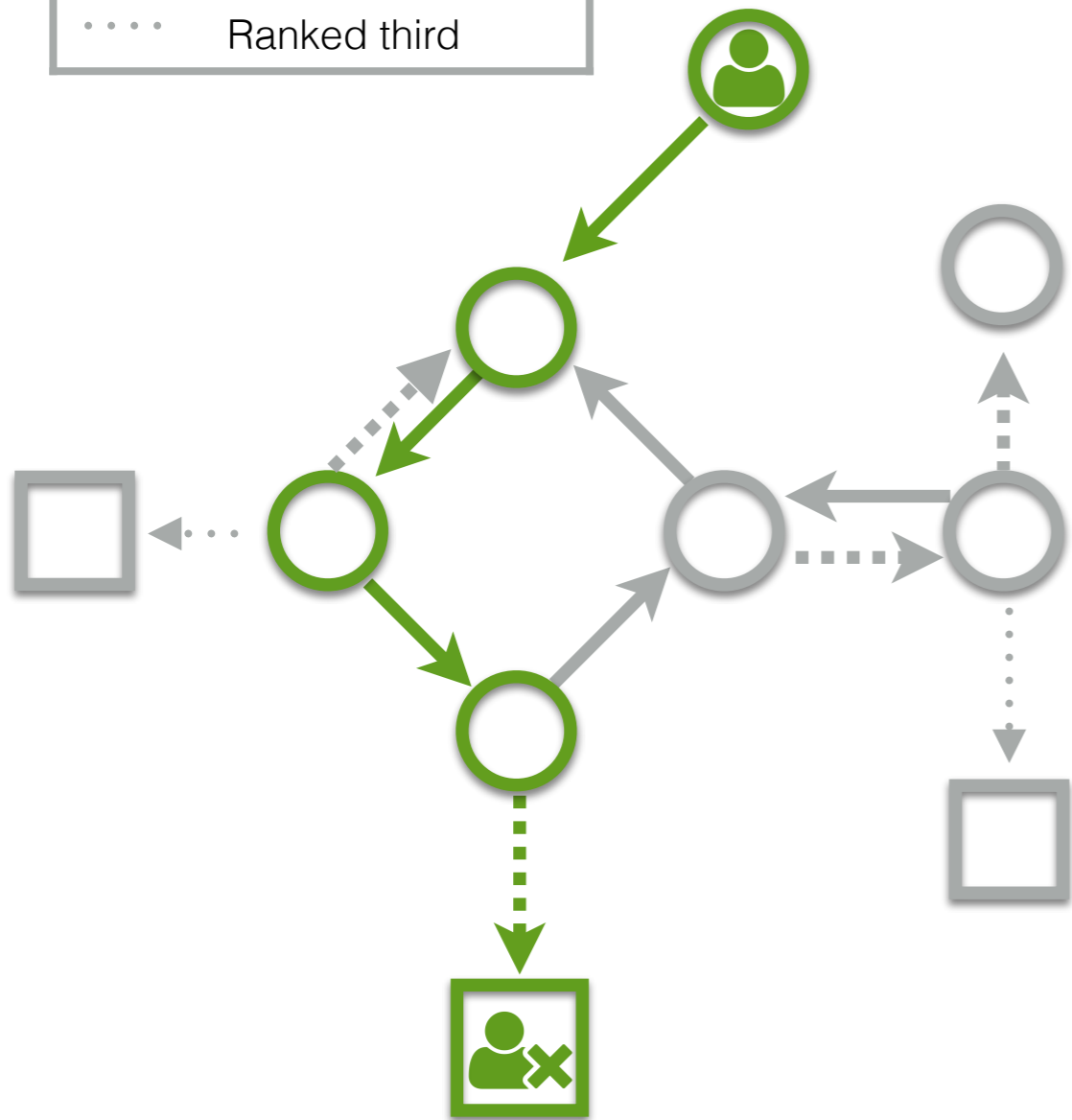
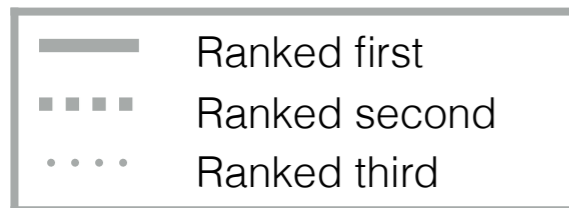
Choose shortest path
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Until some reaches :

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Delegation Functions



Depth first

Choose lexicographically first path
(order by rank values)

Breadth first

Choose shortest path
(break ties lexicographically)

Diffusion

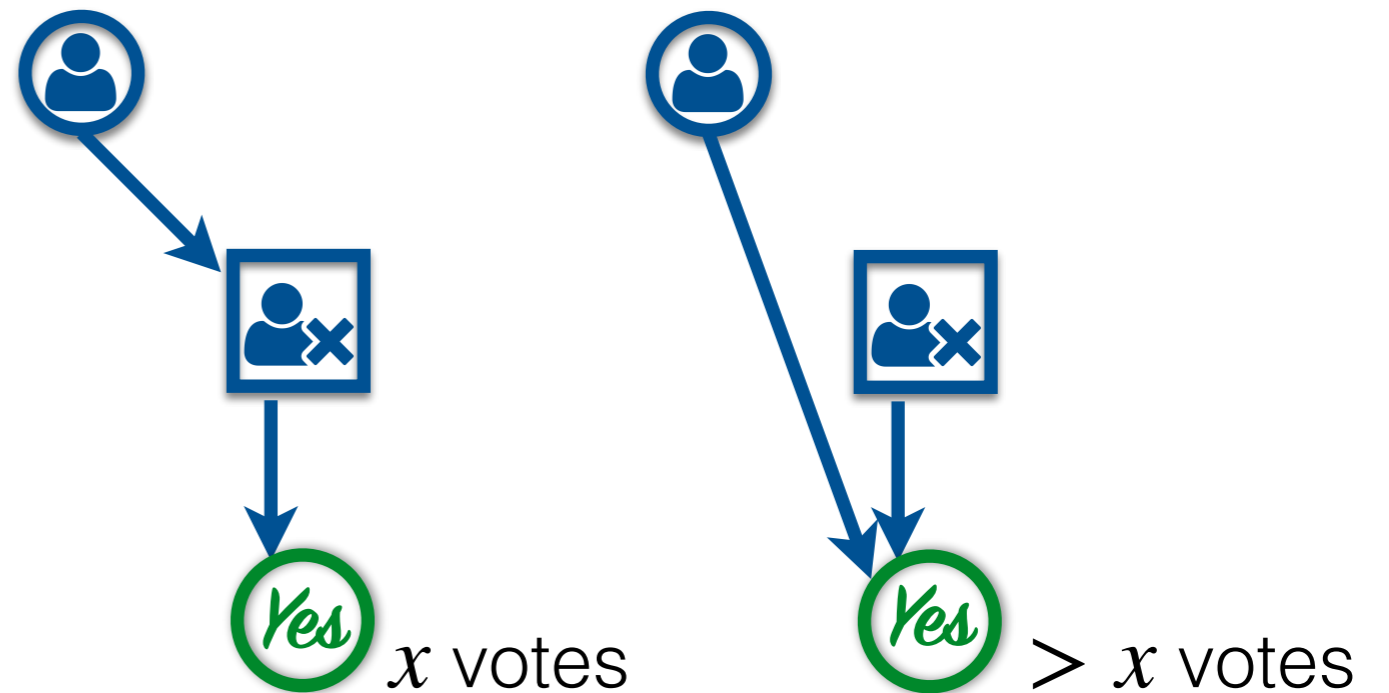
Until some person_x reaches person :

- ▶ Let x be smallest rank of an ingoing edge to person_x
- ▶ For all person_x : expand backwards delegation paths along edges of rank x

Computational Social Choice

Axioms for Liquid Democracy:

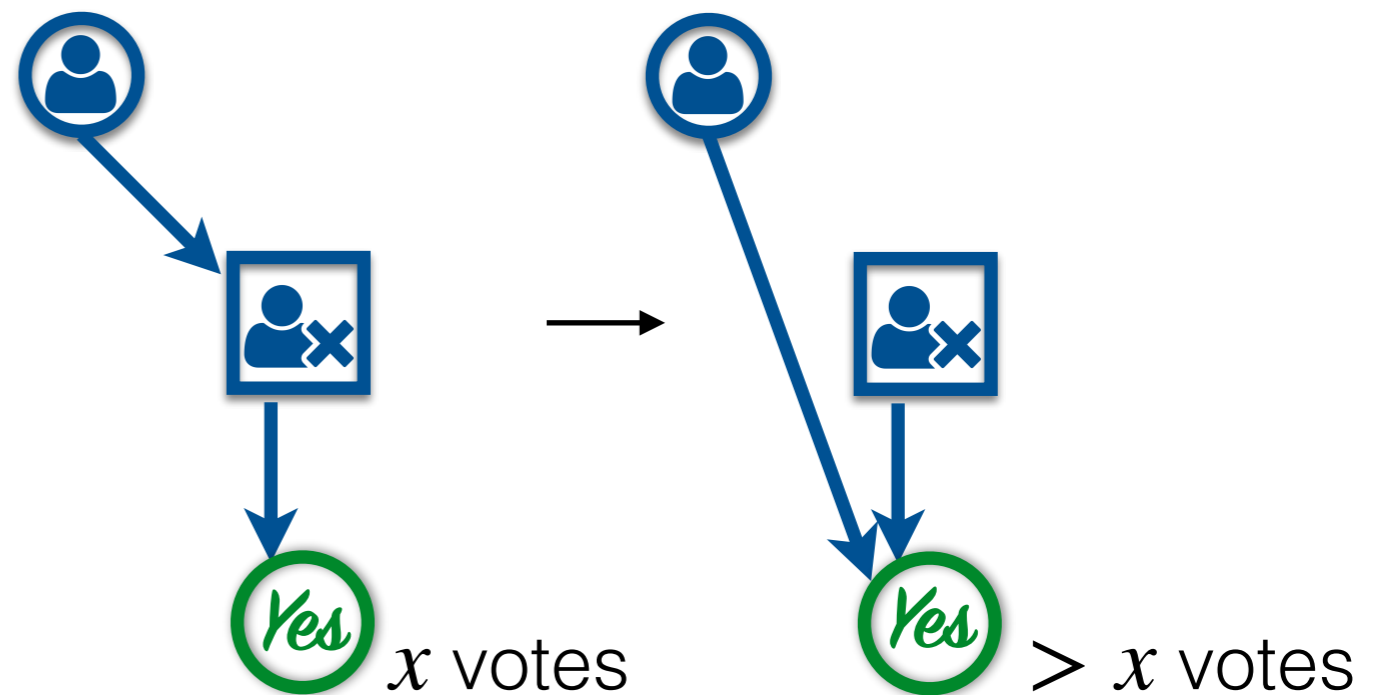
- ▶ Anonymity: All voters are treated equally
- ▶ Neutrality: All candidates are treated equally
- ▶ Copy Manipulation:



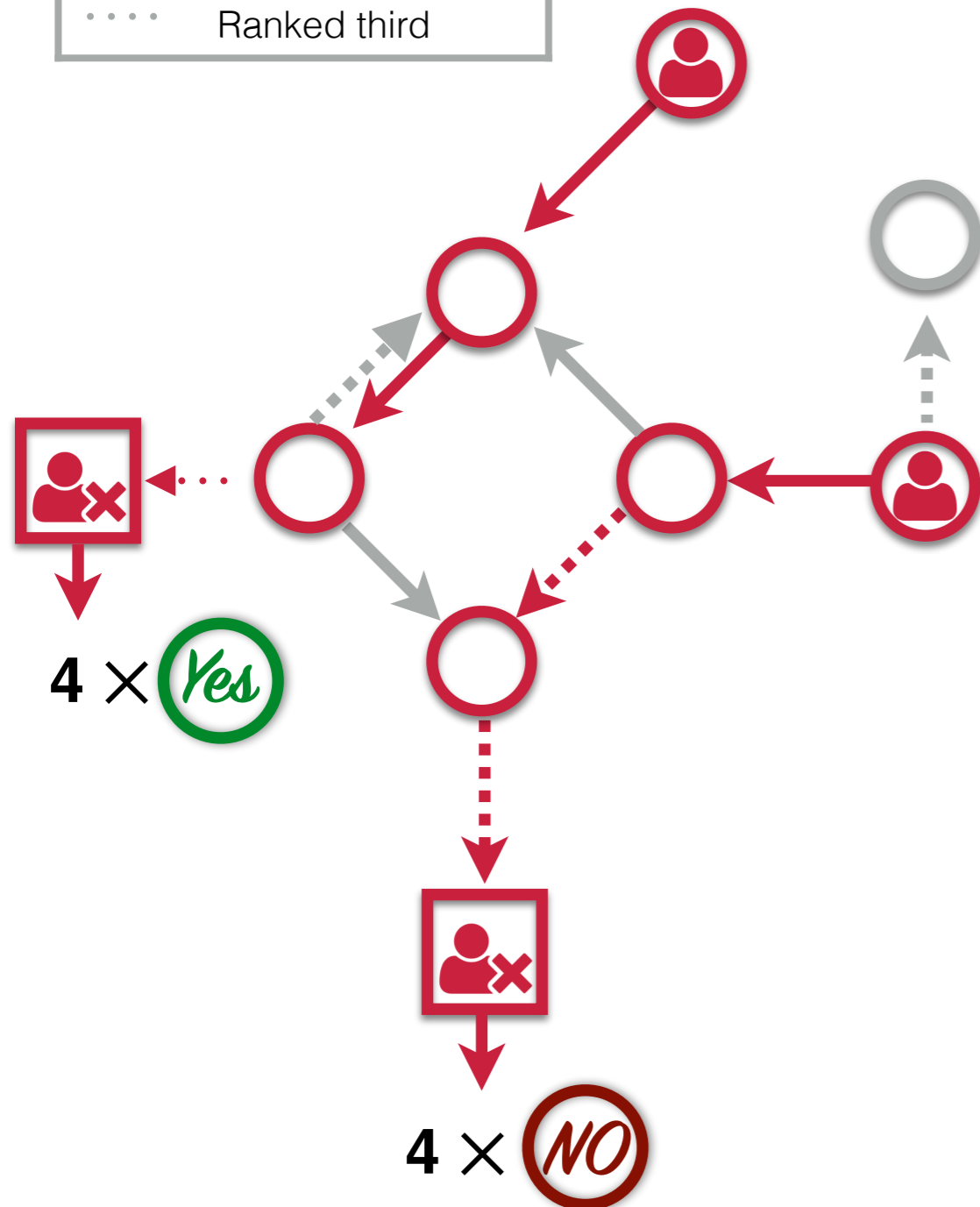
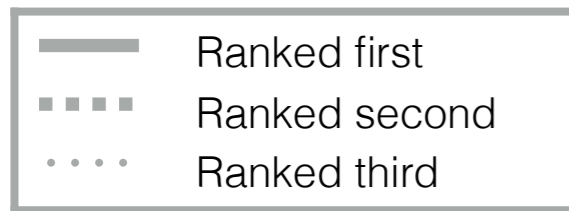
Computational Social Choice

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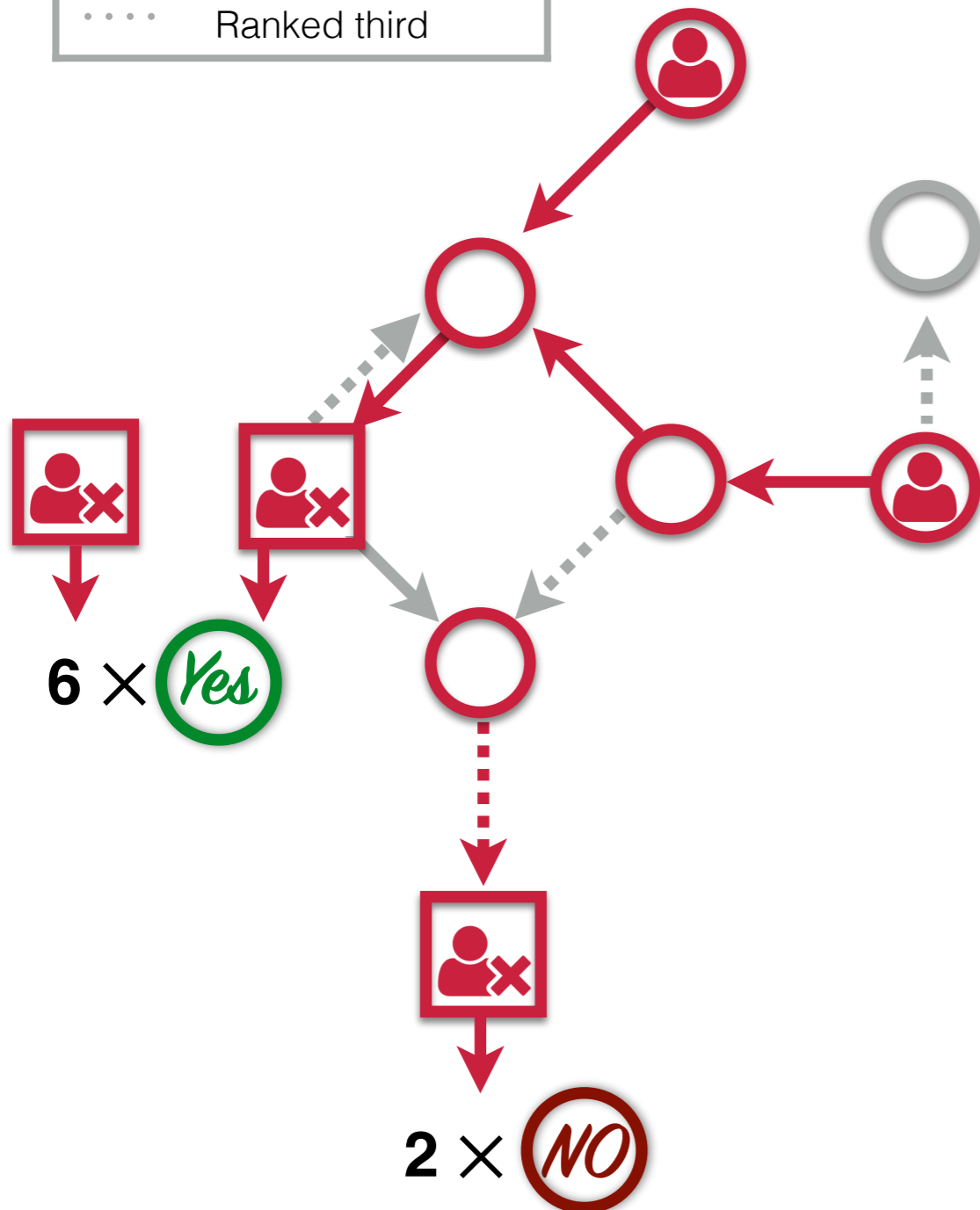
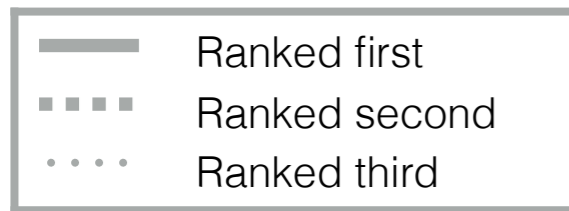
Breadth First Delegation is Copy Manipulable



Breadth first

Choose shortest path
(break ties lexicographically)

Breadth First Delegation is Copy Manipulable



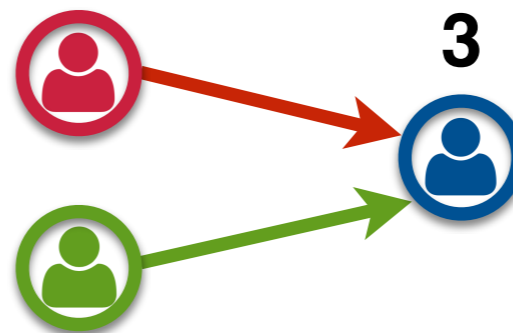
Breadth first

Choose shortest path
(break ties lexicographically)

Computational Social Choice

Axioms for Liquid Democracy with Ranked Delegations:

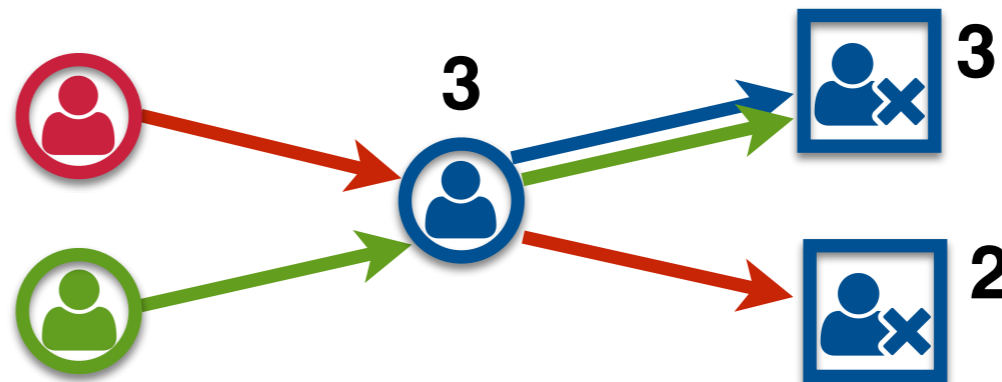
- ▶ Anonymity: All voters are treated equally
- ▶ Neutrality: All candidates are treated equally
- ▶ Copy Manipulation: Changing from delegation to direct vote does not change the # votes of the option the voter is supporting
- ▶ Unsplittable flows:



Computational Social Choice

Axioms for Liquid Democracy with Ranked Delegations:

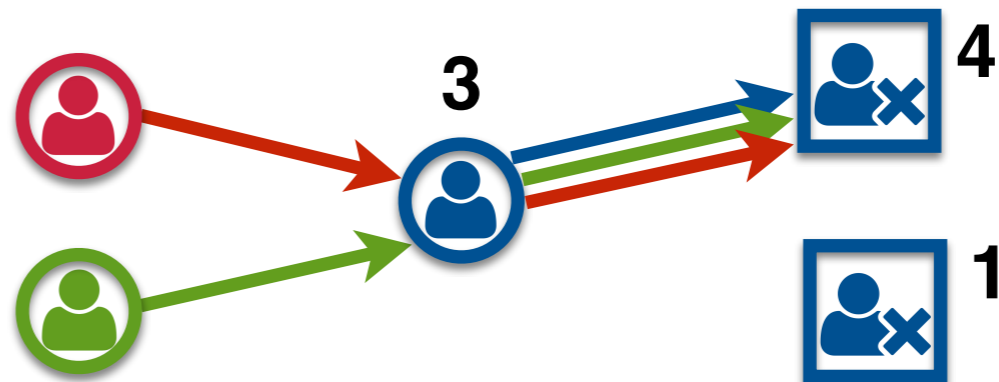
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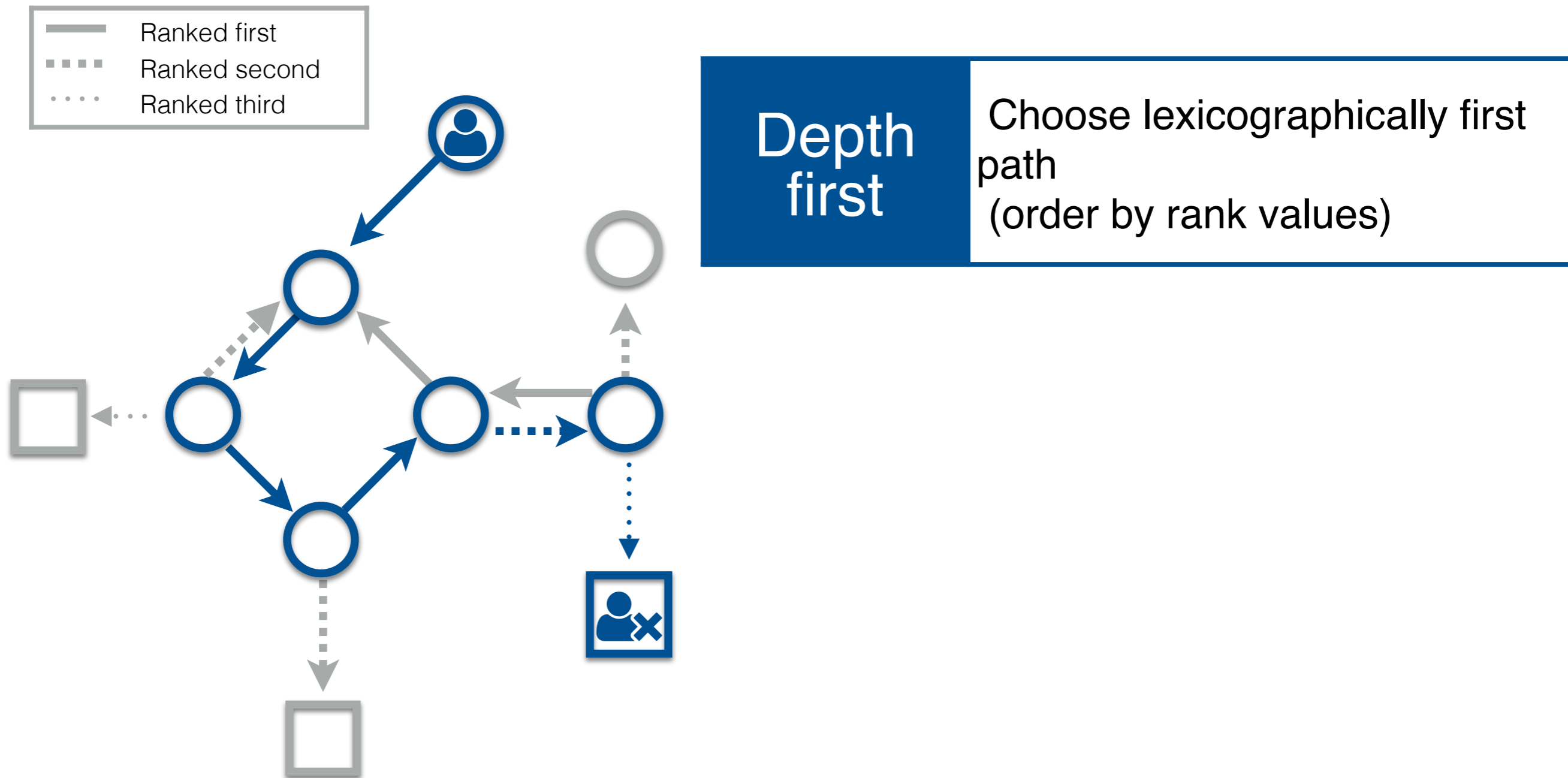
Computational Social Choice

Axioms for Liquid Democracy with Ranked Delegations:

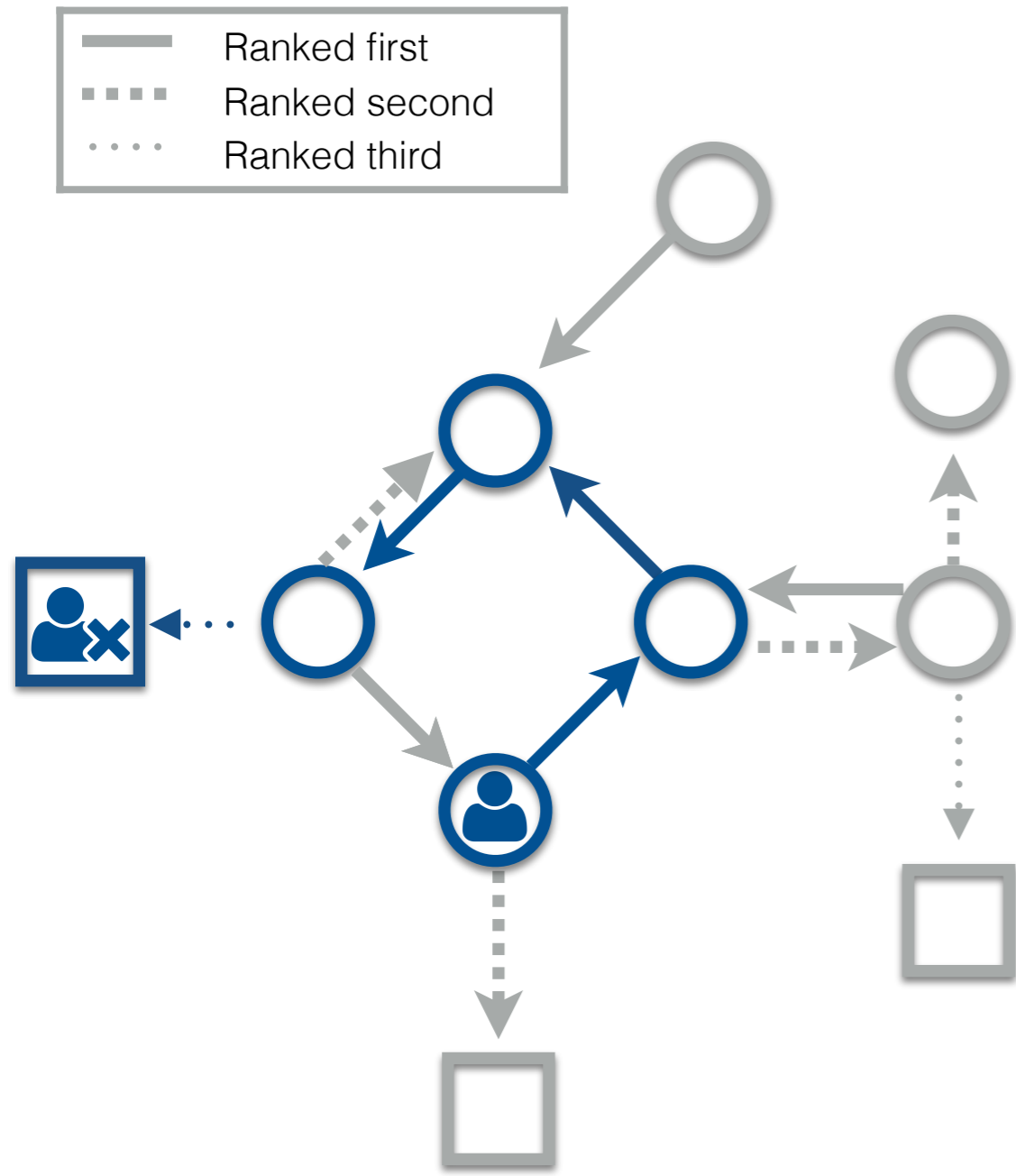
- ▶ Anonymity: All voters are treated equally
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- ▶ Unsplittable flows:



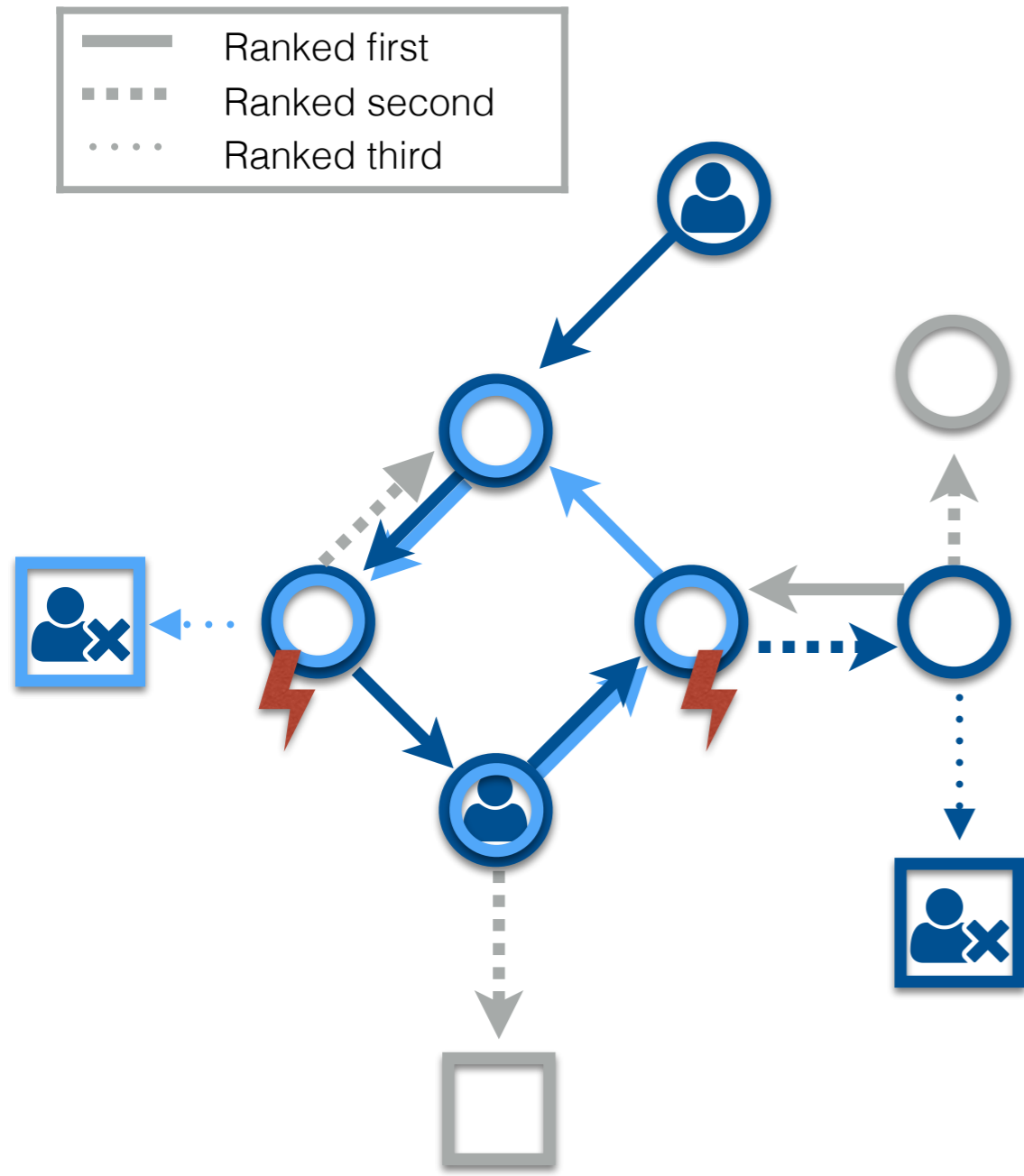
Depth First Delegation violates Unsplittable Flows



Depth First Delegation violates Unsplittable Flows



Depth First Delegation violates Unsplittable Flows

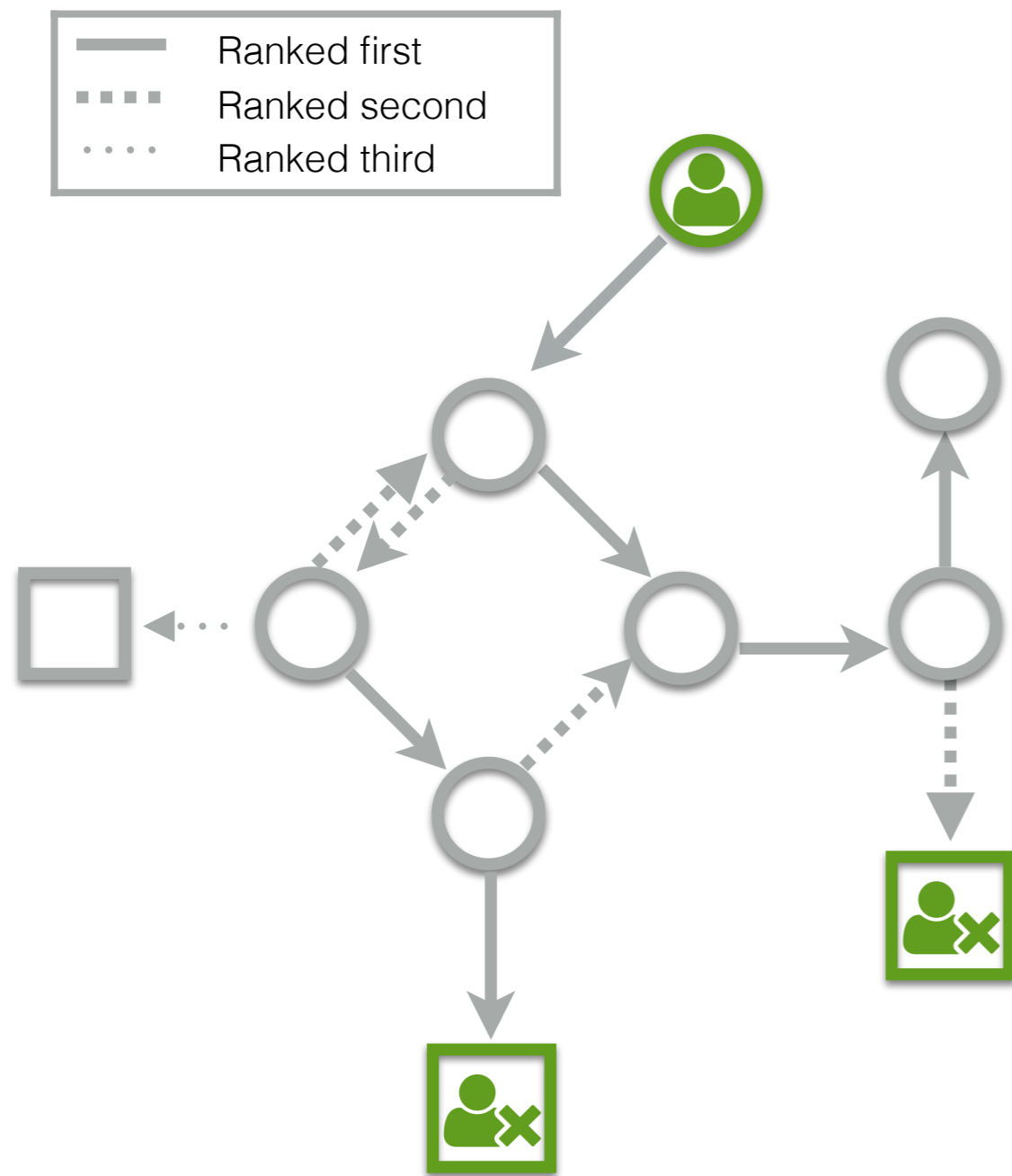


Computational Social Choice

Axioms for Liquid Democracy with Ranked Delegations:

- ▶ Anonymity: All voters are treated equally
- ▶ Neutrality: All candidates are treated equally
- ▶ Copy Manipulation: Changing from delegation to direct vote does not change the # votes of the option the voter is supporting
- ▶ Unsplittable flows: Every voter delegates all votes in the same direction
- ▶ Independence of Irrelevant Voters: If a voter changes their delegations, it doesn't affect delegation paths the voter was not included in

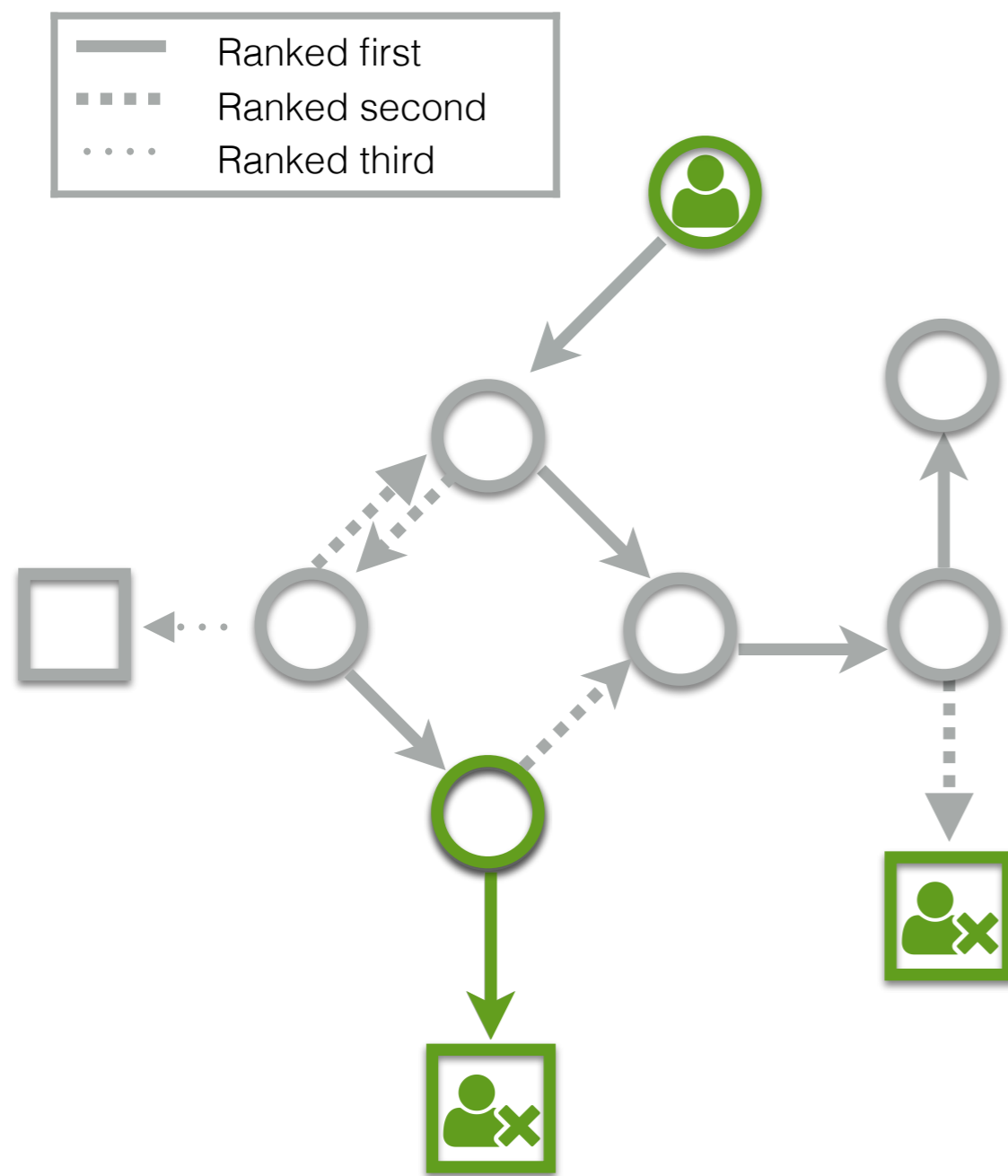
Diffusion Delegation violates IIV



Diffusion

- Until some $\text{person} \times$ reaches person :
- ▶ Let x be smallest rank of an ingoing edge to $\text{person} \times$
 - ▶ For all $\text{person} \times$: expand backwards delegation paths along edges of rank x

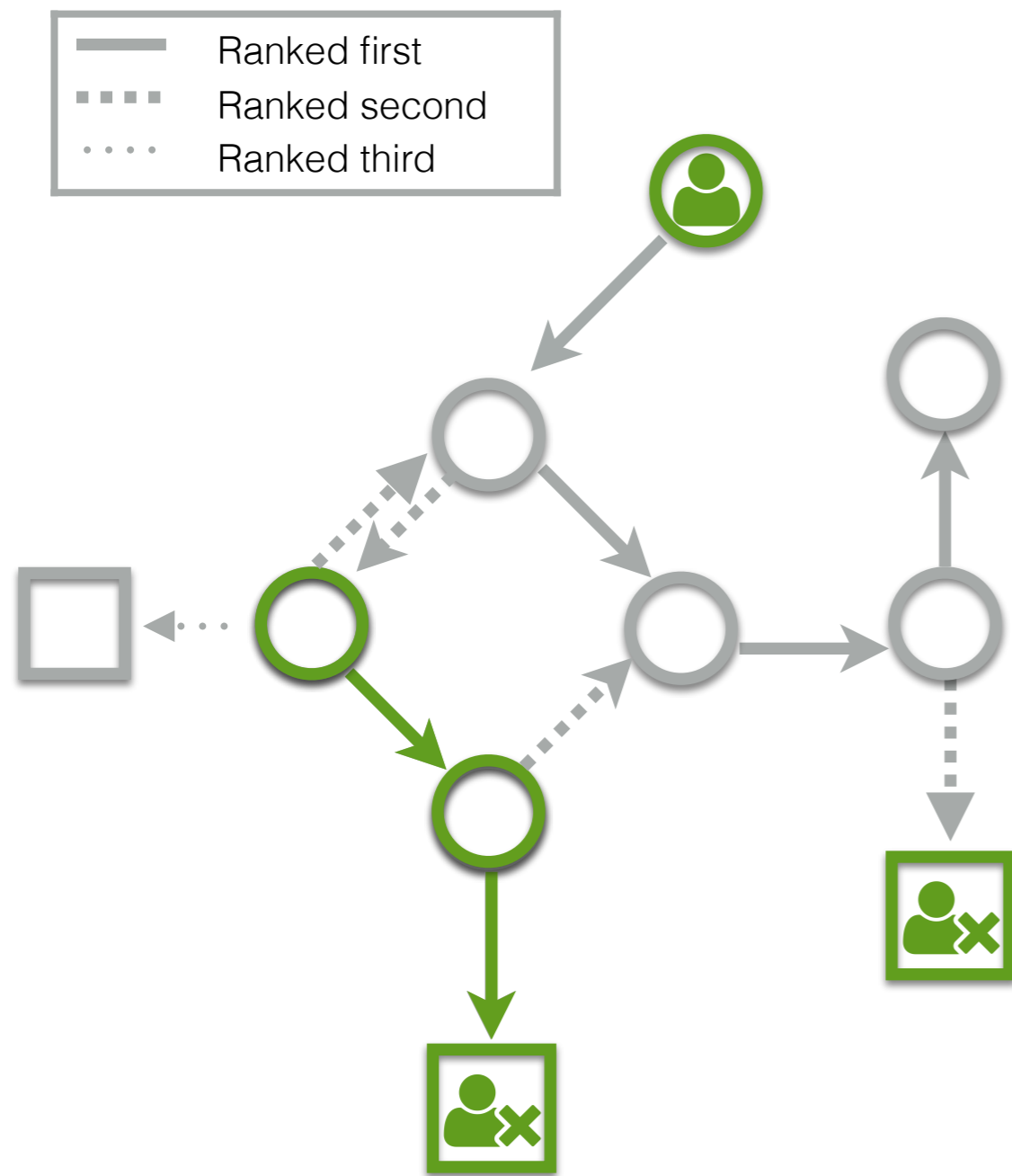
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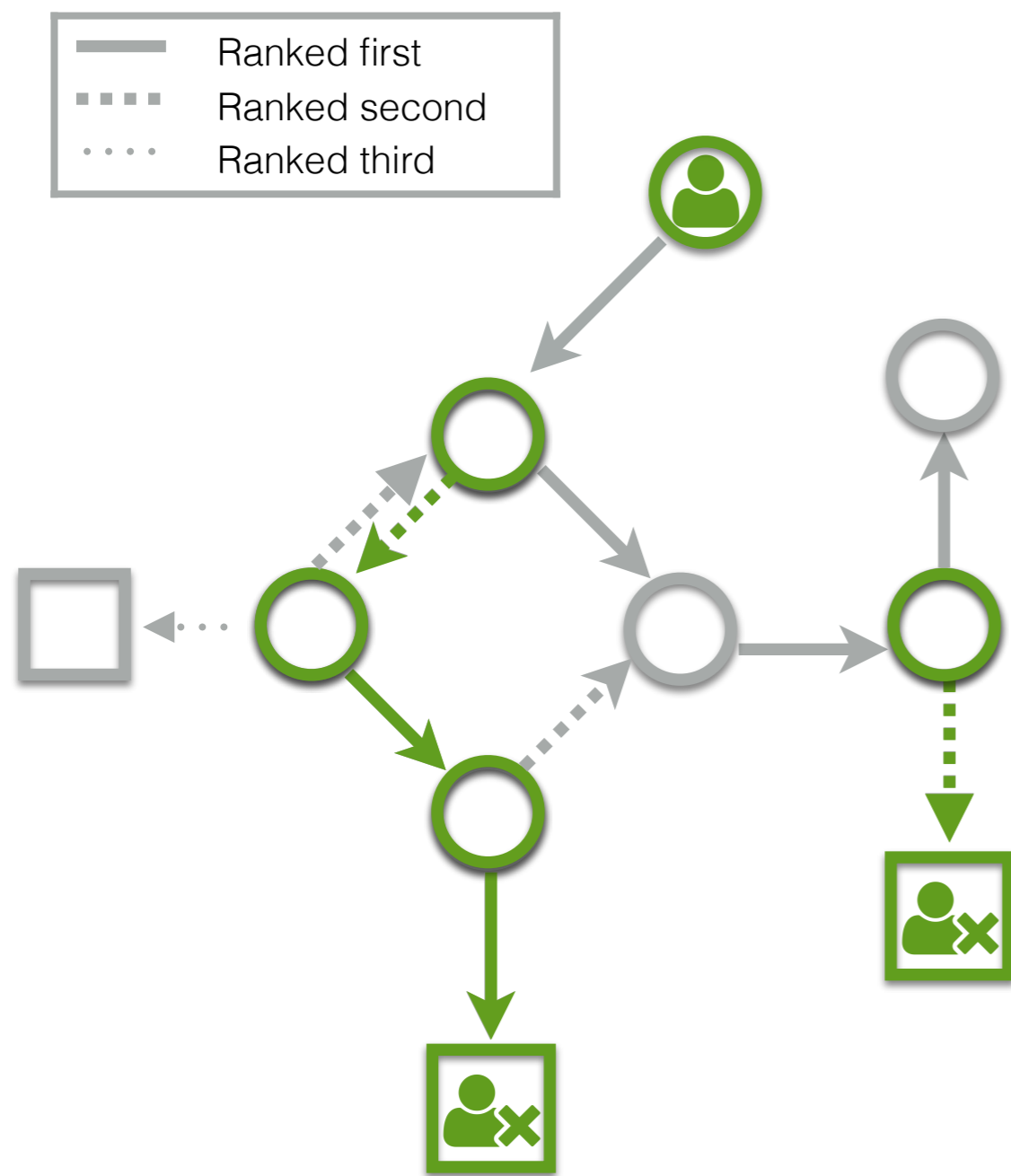
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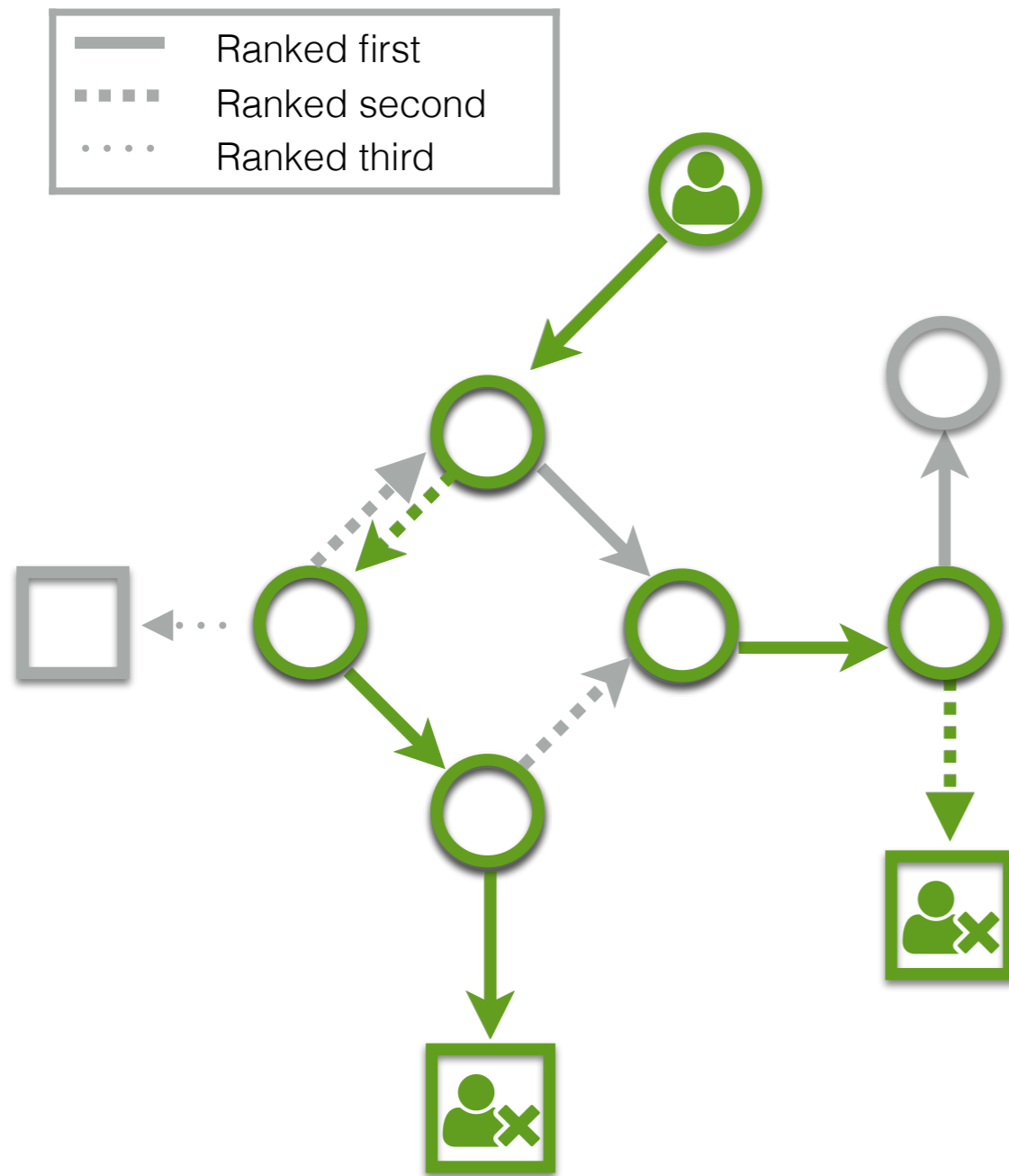
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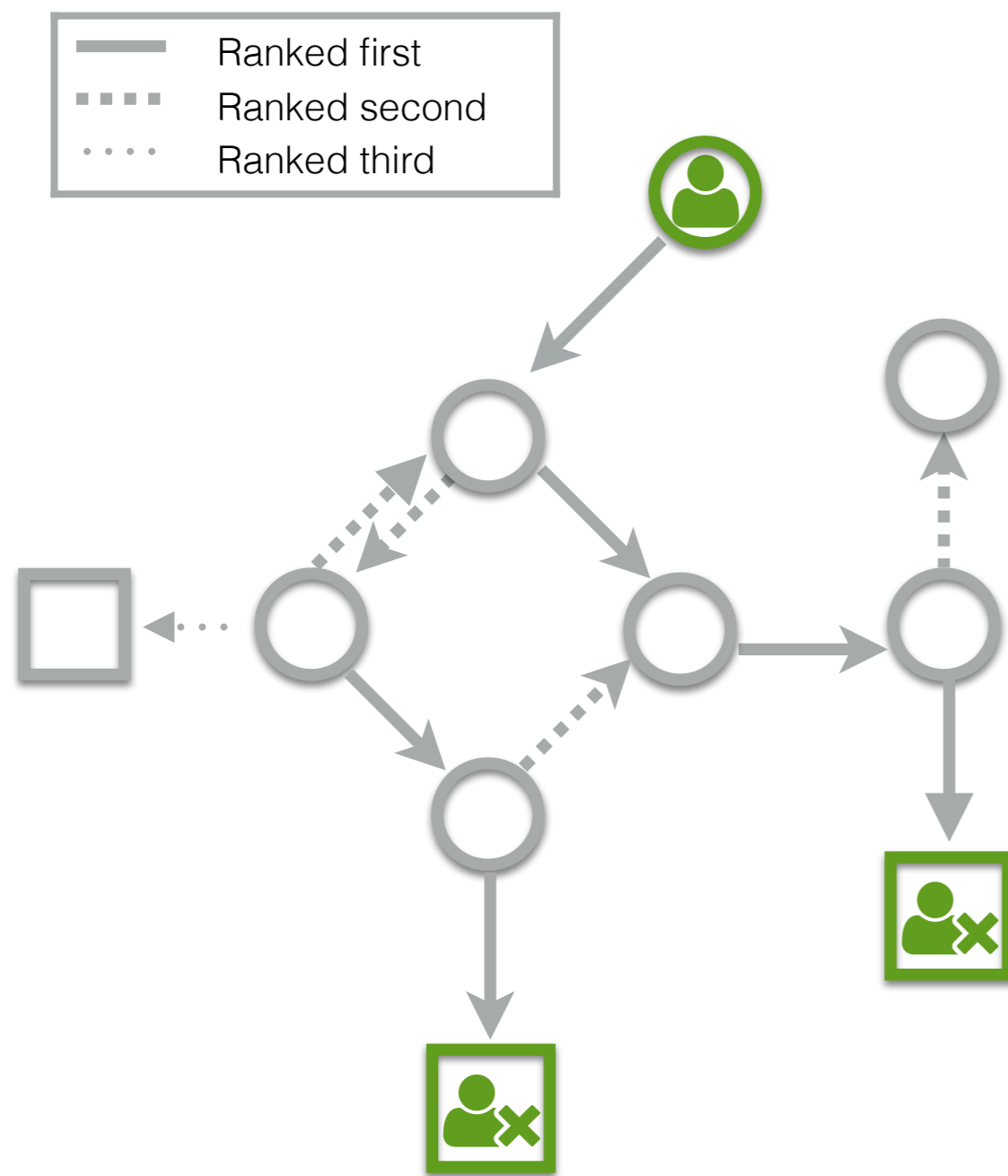
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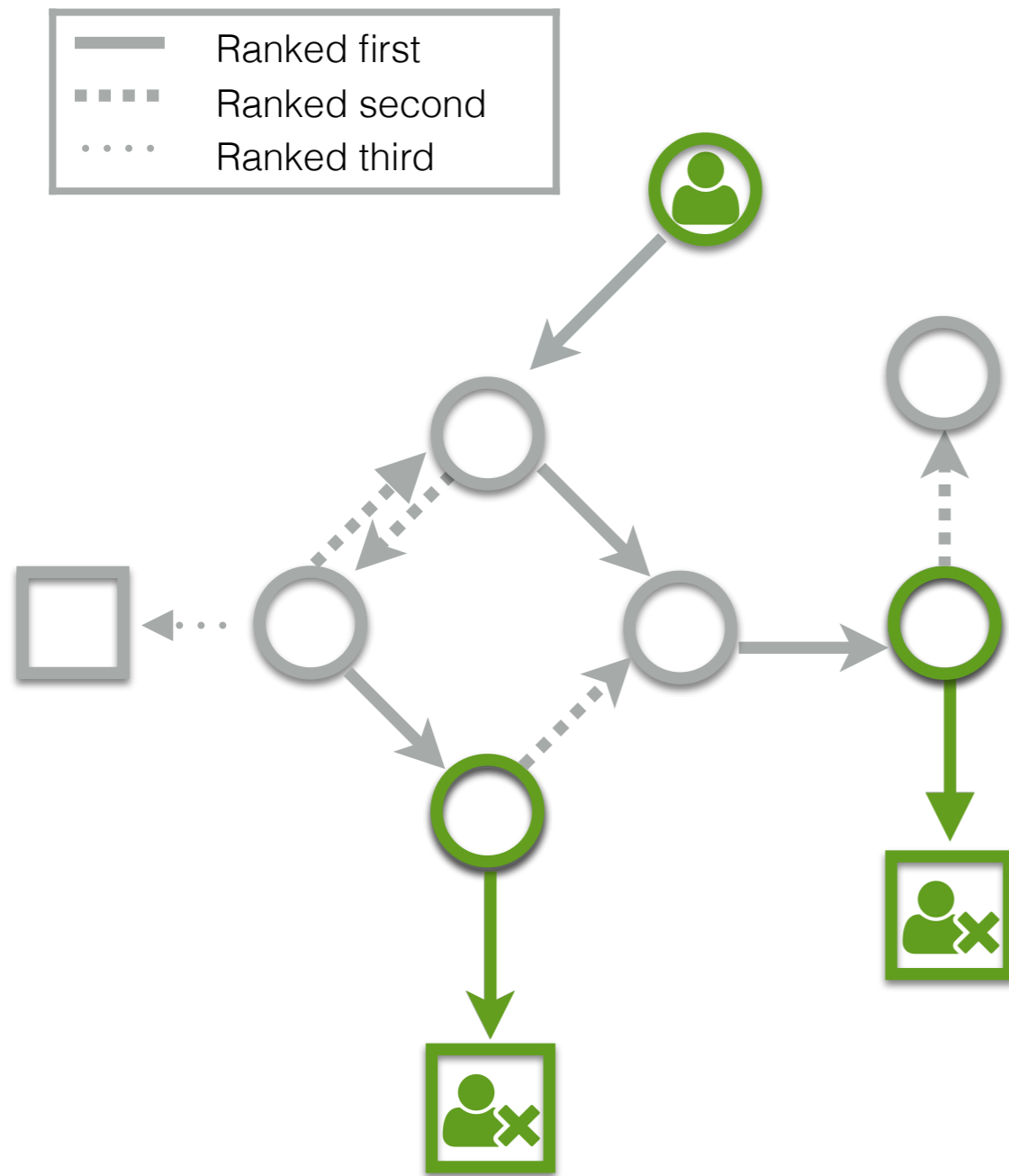
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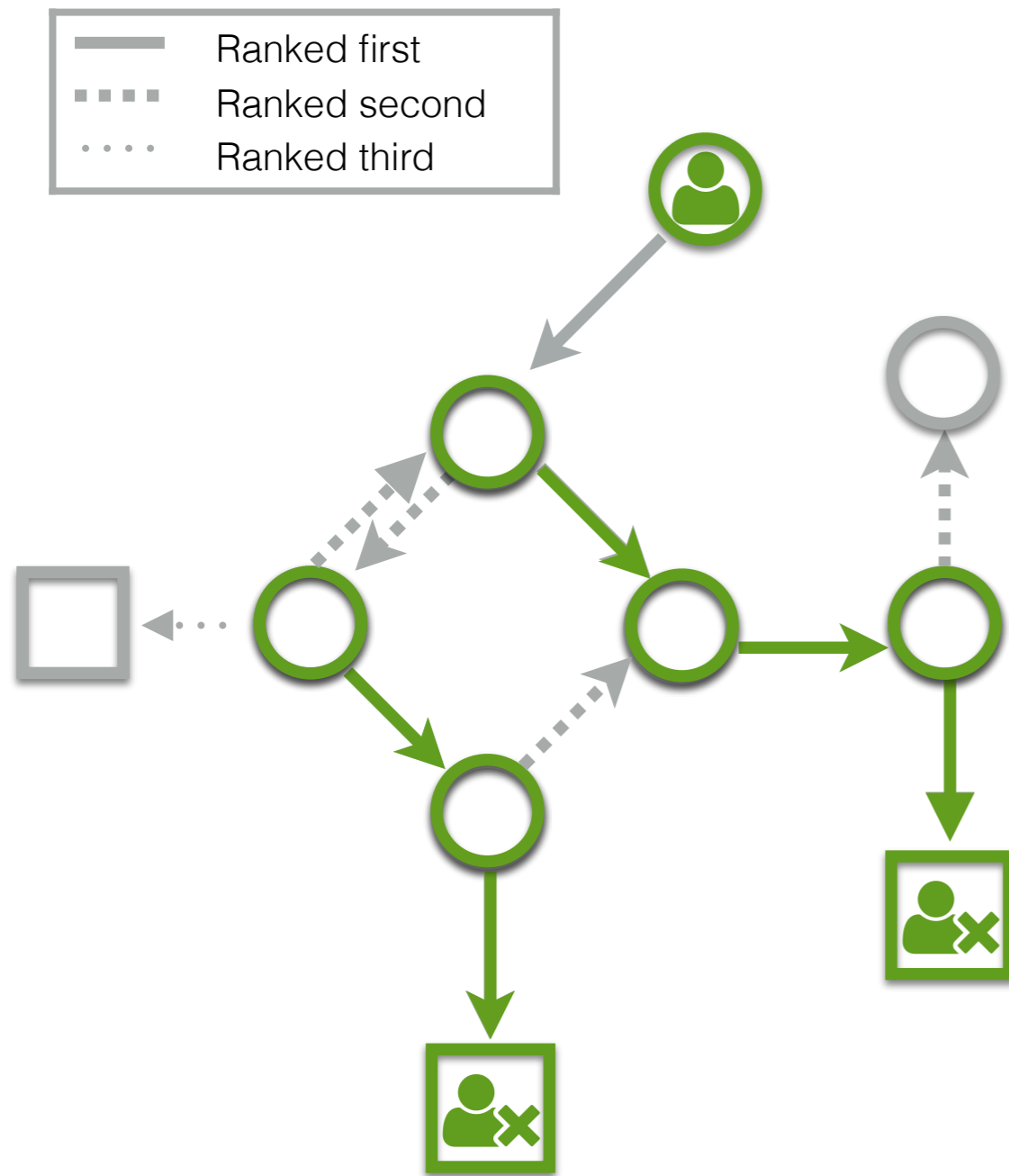
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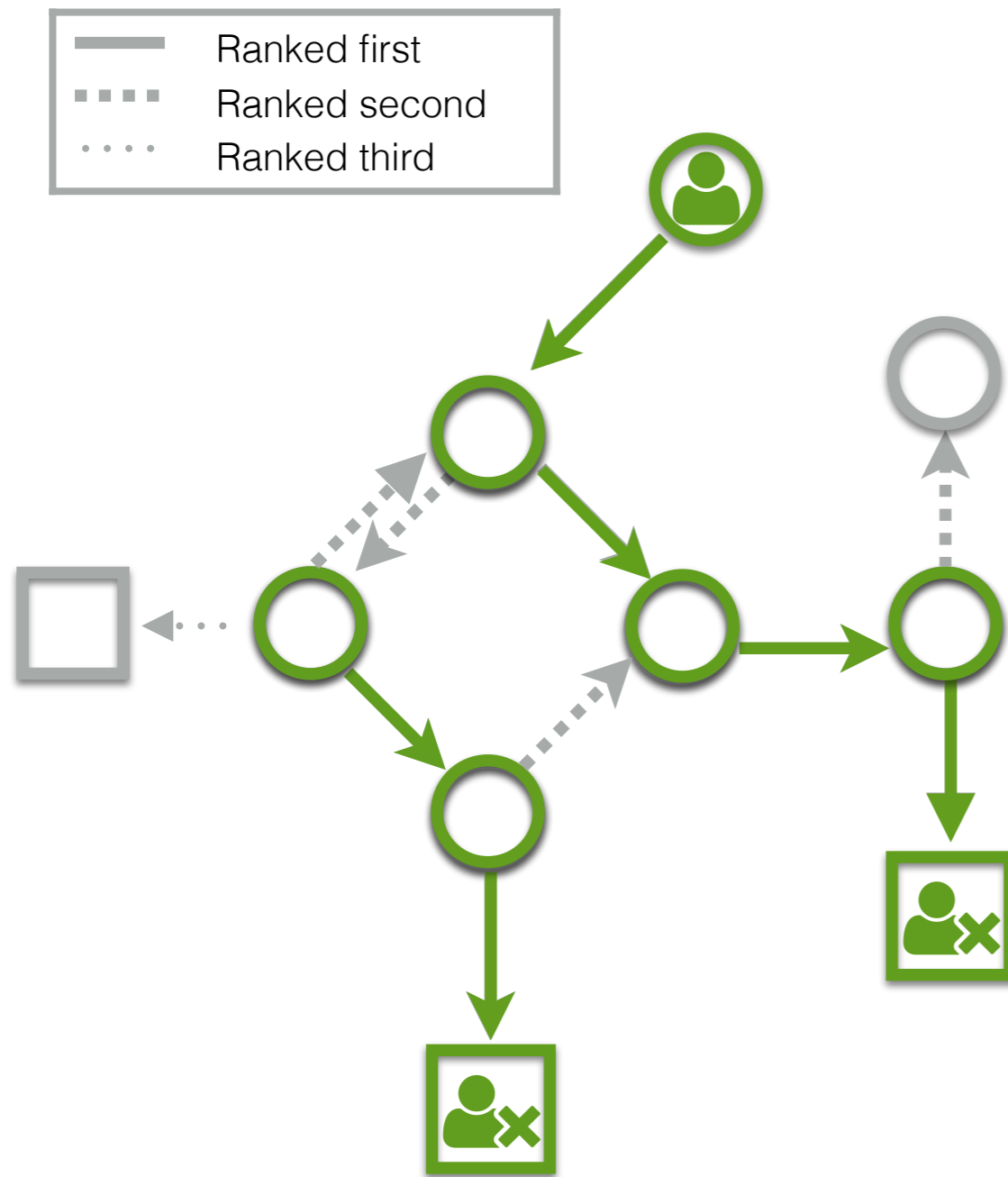
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The typical story...

Can we show impossibility results?

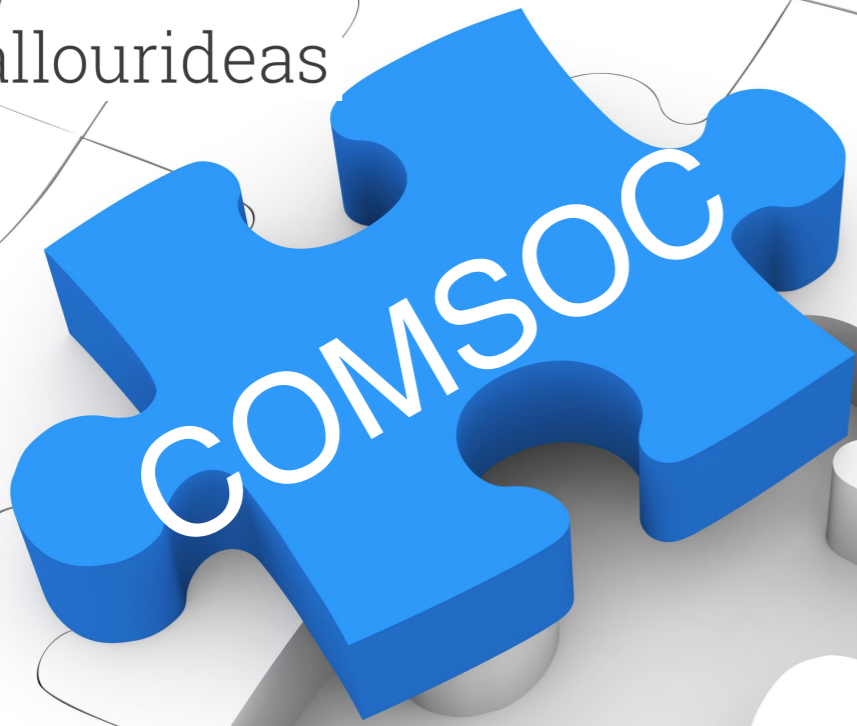
or

Is there a “perfect” Delegation Rule? (unlikely)

- ▶ It is worth finding reasonable axioms and analysing which axioms are (dis-)satisfied
- ▶ Different applications value different axioms



Democracy.Earth



 **LiquidFeedback**



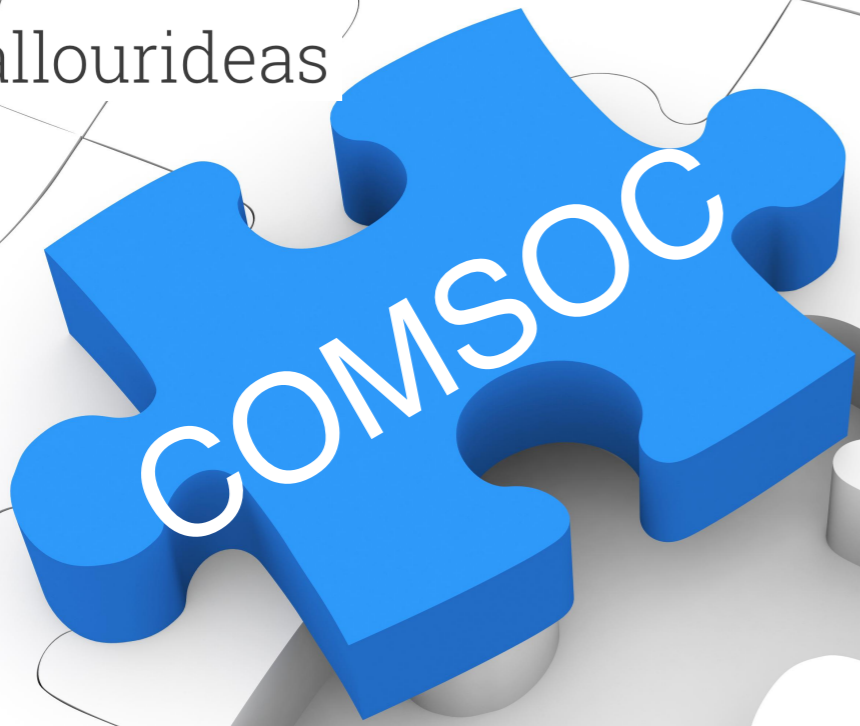
Google Votes

DIGITAL

DEMOCRACY



Democracy.Earth



LiquidFeedback



Google Votes

Based on joint work with:



Markus Brill



Martin Lackner



Ulrike Schmidt-Kraepelin

DIGITAL DEMOCRACY