# Bounding ramification with covers and curves

Hélène Esnault, joint with Vasudevan Srinivas

Upstate NY NT Seminar, September 28, 2020

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# Lefschetz theorem: topology

X sm q-proj var over  $\mathbb{C}$ ,  $\pi_1^{\text{top}}(X, x)$  top fund gr based at  $x \in X(\mathbb{C})$ . Theorem (Lefschetz)  $\exists$  sm curve  $C \to X$ ,  $C \ni x$ , st  $\pi_1^{\text{top}}(C, x) \twoheadrightarrow \pi_1^{\text{top}}(X, x)$ .

given  $X \hookrightarrow \overline{X}$  a good compactification, any ci of sm ample divisors in good position wrt  $\overline{X} \setminus X$  does it.

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 $\mathbb{C} \rightsquigarrow k$  alg. cl. of char. 0,  $\pi_1^{\text{top}}(X, x) \rightsquigarrow \pi_1(X, x)$  Grothendieck's étale fundamental gr  $\rightsquigarrow$  same thm (and tiny rmk).

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### No Lefschetz /k of char. p > 0

<u>No</u> Lefschetz thm: eg  $X = \mathbb{A}^2$ , Artin-Schreier cover  $t^p - t = f, f \in \mathcal{O}(\mathbb{A}^2)$ splits on curve C : f = 0. So  $\nexists C$  with  $\pi_1(C, x) \twoheadrightarrow \pi_1(X, x)$ .

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### Tameness: Kerz-Schmidt's definition

<u>Recall</u>: *R* complete (or henselian) dvr, finite Galois ext  $R \subset S$  of such, perfect res fields, Galois gr *G*, then  $G = \exists G_0 \supset G_1 \supset \ldots G_{\exists N \ge 1} = 0$  with  $G_0/G_1 \subset \operatorname{Frac}(S)^{\times}$  cyclic of order prime to *p*,  $G_i/G_{i+1} = \operatorname{fin} pr$  of cyclic gr of order *p*.

#### Definition

Sw (S/R) ≤ n iff N ≤ n + 1; Sw (S/R) = 0 iff S/R tame.
 [Kerz-Schmidt] X/k sm, k perfect, Y → X fin étale is tame if ∀ sm curve C → X, Y ×<sub>X</sub> C → C is tame .
 → π<sub>1</sub>(X, x) → π<sup>t</sup><sub>1</sub>(X, x) tame quotient.

• tame allows non-perfect res fields: res field ext should be sep and ram index prime to p

• if has good comp  $X \hookrightarrow \bar{X}$ , defn agrees with Grothendieck's defn: tame at the codim 1 points in  $\bar{X} \setminus X$ 

# Tame Lefschetz /k of char. p > 0

#### Theorem (Drinfeld)

X/k sm quasi-proj,  $\exists C \rightarrow X, x \in C$  sm curve st  $\pi_1^t(C, x) \twoheadrightarrow \pi_1^t(X, x)$ .

if  $X \hookrightarrow ar{X}$  good compactification, then any ci of sm ample divisors in good position wrt  $ar{X}\setminus X$  does it (E-Kindler)

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# Ramification in geometry: definition

#### Definition

Given  $X \hookrightarrow \overline{X}$  normal comp /k perfect, D eff div supp in  $\overline{X} \setminus X$ , then 1)  $Y \to X$  finite étale has ramification bounded by D if  $\forall C \to X$  sm curve, Sw  $(Y \times_X C/C) \leq D \times_{\overline{X}} \overline{C}$ ; 2)  $\overline{\mathbb{Q}}_{\ell}$ - loc sys  $\mathcal{V}_{\rho}$  defined by  $\rho : \pi_1(X, x) \to GL_r(\overline{\mathbb{Z}}_{\ell}) \subset GL_r(\overline{\mathbb{Q}}_{\ell})$  has ramification bounded by D iff Galois cover  $\pi : X_{\overline{\rho}} \to X$  defined by  $\overline{\rho} : \pi_1(X, x) \to GL_r(\overline{\mathbb{F}}_{\ell})$  has ramification bounded by D (depends only on  $(\overline{\rho})^{ss}$ ). 3)  $\pi^* \mathcal{V}_{\rho}$  tame: say  $\pi$  tamifies  $\rho$ . 4) A sm curve  $C \to X$  is a Lefschetz curve for a family  $\mathcal{S} = \{\mathcal{V}\}$  if  $\mathcal{V}|_C$ keeps the same monodromy  $\forall \mathcal{V} \in \mathcal{S}$ .

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# Theorem (L. Lafforgue dim 1, Deligne in higher dim, cor Langlands corr) X sm q-proj/k, then $\exists$ only finitely many $\overline{\mathbb{Q}}_{\ell}$ - simple loc sys $\mathcal{V}$ with (r, D) bounded, up to twist by a char. of k.

Analog of the Hermite-Minskowski thm: # field K,  $\exists$  only fin many ext L/K of bounded deg and disc

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#### Corollary

1) (r, D) bounded, then  $\exists \pi : Y \to X$  finite étale which tamifies all  $\mathcal{V}$  with (r, D) bounded ('covers' from title). 2) Given (r, D),  $\exists$  Lefschetz curve for all  $\mathcal{V}$  with bound (r, D) ('curves' from title).

### on Proof of Corollary

1) take cover  $\pi: X_{\oplus_{\mathrm{fin}}\bar{
ho}} \to X$ 

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2)a) top gr th:  $\pi_1(C, x) \to \pi_1(X, x) \twoheadrightarrow I \subset GL_r(\mathcal{O}_E)$  surj  $(E/\mathbb{Q}_\ell \text{ finite})$  iff  $\pi_1(C, x) \to \pi_1(X, x) \twoheadrightarrow \overline{I} \subset GL_r(\mathcal{O}_E/\mathfrak{m}_E^2)$  surj  $(\mathcal{O}_E/\mathfrak{m}^4 \text{ for } \ell = 2)$ ;

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2)b) Hilbert irreducibility (or Bertini if we allow ext  $\mathbb{F}_{q^m} \supset \mathbb{F}_q$ )  $\Rightarrow \exists C$ .

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# How to bound the ramification if $k = \bar{k}$ ?

The notion of ramification bounded by D is purely geometric, i.e. depends only on cover  $(Y \to X)_{\bar{k}}$  or  $\mathcal{V}|_{\pi_1(X_{\bar{k}}, x)}$ .

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To 1) 'covers':  $/k = \bar{k}$ , (r, D) bounded, then  $\nexists \pi : Y \to X$  finite étale which tamifies all simple  $\mathcal{V}$  with (r, D) bounded: given Sw, Witt-Artin-Schreier covers with Galois gr  $\mathbb{Z}/p^n \forall n \ge 1$  with this Sw exist (Brylinski-Kato).

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To 1) 'covers':  $/k = \bar{k}$ , (r, D) bounded, then  $\nexists \pi : Y \to X$  finite étale which tamifies all simple  $\mathcal{V}$  with (r, D) bounded: given Sw, Witt-Artin-Schreier covers with Galois gr  $\mathbb{Z}/p^n \ \forall n \ge 1$  with this Sw exist (Brylinski-Kato).

To 2) 'curves' (Deligne):  $/k = \bar{k}$ , X, (r, D),  $\exists$  Lefschetz curve for all  $\mathcal{V}$  with bounded (r, D)?

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# To 'covers': Tamifying up to codim 2

### Definition (E-S)

 $\pi: Y \to X$  finite connected *tamifies*  $\mathcal{V}$  *outside of codim* 2 if there is a normal compactification  $Y \hookrightarrow \overline{Y}$  st  $\pi^* \mathcal{V}$  is tame at codim 1 points of  $\overline{Y}$ .

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#### Theorem (E-S)

X sm q-proj  $/k = \overline{k}$ , given (r, D),  $\exists n \in \mathbb{N}$ ,  $\forall \mathcal{V}$  with rank  $\leq r$  and ramification bounded by D,  $\exists \pi_{\mathcal{V}} : Y_{\mathcal{V}} \to X$  of deg  $\leq n$  which tamifies  $\mathcal{V}$  up to codim 2.

For  $X \rightsquigarrow R$ , R complete dvr with res field k, (E-Kindler-S)

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# On Proof of Thm

1) reduce to X affine; via  $X \to \mathbb{A}^d$  finite étale, reduce to

$$X = \mathbb{A}^d \hookrightarrow \overline{X} = \mathbb{P}^d;$$

2) prove local thm on k(Z)[[t]] using (E-K-S), Z = P<sup>d</sup> \ A<sup>d</sup> to produce a finite étale extension of k(Z)((t)) tamifying V|<sub>k(Z)((t))</sub>;
3) use Harbater-Katz-Gabber to extend to a finite étale cover of Cm/k(Z);
4) close it up to get the normal finite cover of P<sup>d</sup>, then of X.

### To 'curves': rank 1 case

Theorem (Kerz-S.Saito if  $X \hookrightarrow \overline{X}$  good compactification, E-S in general)  $/k = \overline{k}, X \hookrightarrow \overline{X}$  normal compactification,  $D, \exists$  Lefschetz curve for (1, D).

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### On Proof.

- 1) reduce to Artin-Schreier;
- 2)  $\{\mathcal{V}\}$  with  $(r, D) \subset \{\mathcal{W}\}$  with  $(r, D \cap X^{\operatorname{reg}})$  (less curves to test).

3) use coh description (Kerz-S.Saito) on  $X^{\text{reg}}$  and finiteness of Frobenius invariant  $\mathcal{O}$ -modules of local coh gr along  $\overline{X} \setminus X^{\text{reg}}$  to prove:  $\exists N \ge 1$  so  $\{\mathcal{W}\}$  with  $(r, D \cap X^{\text{reg}}) \subset \{\mathcal{V}\}$  with (r, ND).

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# Application of classical Bertini theorem

Theorem (E-S)

 $\exists K/k \text{ alg. cl. of purely tr. fin. gen. field } /k, C_K \rightarrow X \text{ curve } /K \text{ st} \pi_1(C_K, x) \twoheadrightarrow \pi_1(X, x).$ 

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It is an illustration of the fact that if C is not proper, 1)  $\pi_1(C, x)$  does <u>not</u> satisfy base change; 2) there is <u>no</u> specialization map  $\pi_1(C, x) \rightarrow \pi_1(C_k, x_k)$  for a specialization  $K \rightsquigarrow k$ .

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