

Incomplete "Proof" of : " $\leftarrow$ " is continuous. We need to know that

small neighborhoods in Gromov-Hausdorff topology map to small neighborhoods in the weak topology.

So let  $(T, \varphi)$  be a <sup>free</sup> metric  $F_n$  tree (well determined up to equivariant isometry). Let  $T/F_n$  have  $g$  edges

$e_1, \dots, e_g$  of lengths  $t_1, \dots, t_g$ ,  $\sum_i t_i = 1$ .

A neighborhood of  $(T, \varphi)$  in the weak topology always contains a neighborhood consisting of <sup>free</sup> metric  $F_n$ -trees

$(T', \varphi')$  s.t.  $T'/F_n$  contains a forest

$F$  s.t. each edge of  $F$  has length  $< \delta$  and after collapsing  $F$  we obtain a graph which can be identified with  $T/F_n$  via a homeomorphism which is up to  $\delta$  an isometry, i.e. if the homeomorphism maps the edge  $a_i$  to the edge  $e_i$  then  $|\ell(a_i) - \ell(e_i)| < \delta$ .

Thus shrinking the inverse image of  $F$  in  $T'$  equivariantly and adjusting the lengths of the remaining edges in  $T'$  equivariantly we get  $(T, \varphi)$  from  $(T', \varphi')$ .

We now have to find  $\{x_1, \dots, x_r\} \subset T$

$\{g_1, \dots, g_s\} \subset T$ ,  $\varepsilon > 0$  such that  $\bigvee_{x_1, \dots, x_r, g_1, \dots, g_s, \varepsilon} (T, \varphi)$  is contained in this neighborhood.

To find the appropriate points  $x_0, \dots, x_r$  we first pass to  $\Gamma_T = T/F_n$ . Let  $T_0$  be a maximal tree in  $\Gamma_T$  and  $e_1, \dots, e_n$  the edges of  $\Gamma_T$  not in  $T_0$ . We think of these edges as being oriented (Then they correspond to a basis of  $\pi_1(\Gamma_T, x_0)$ ,  $x_0$  any vertex; but not necessarily to the standard basis of  $\pi_1(\Gamma_T, x_0)$  provided by the action  $\psi$ ).

The inverse image of  $T_0$  in  $T$  is a disjoint union of trees  $g(\tilde{T}_0)$ ,  $g \in F_n$ , where  $\tilde{T}_0$  is one component of the inverse image of our choice. Each  $g(\tilde{T}_0)$  is projected isometrically onto  $T_0$ .

To each  $e_i$  corresponds a unique lift,  $\tilde{e}_i$  which is the lift with initial point  $\iota(\tilde{e}_i)$  in  $\tilde{T}_0$ .

Then there exists a unique  $w_i \in F_n$  such that  $w_i(\tau(\tilde{e}_i)) \in T_0$ . (This point projects to  $\tau(e_i)$  in  $T_0$ ).

Let  $x_1, x_2, \dots, x_k$  be the vertices of  $\tilde{T}_0$  and  $x_{k+1}, \dots, x_{k+n}$  the vertices  $\tau(\tilde{e}_1), \dots, \tau(\tilde{e}_n)$ .

Then we take for our definition of the neighborhood of  $(T, \psi)$  the points

$x_1, \dots, x_{k+n}$ , the group elements  $1, w_1, \dots, w_n$   <sup>$w_0 = 1$</sup>

and  $\varepsilon > 0$  such that  $G \in \langle \text{Min}\{\delta, \text{Min}\{\ell(a) : a \in E_{\Gamma_T}\}\} \rangle$

We claim, that any  $(T', \varphi')$  in this neighborhood is contained in the  $\delta$ -neighborhood of  $(T, \varphi)$  in the weak topology.

First notice that for every  $x_1, \dots, x_k$  (the ~~points~~ <sup>vertices</sup> of  $\tilde{T}_0$ ) we have at least three neighbors among

$\{w_i(x_j) : 0 \leq i \leq n, 1 \leq j \leq k+n\}$  in the tree  $T$ .

So let  $x_{r_1}, x_{r_2}, x_{r_3}$  be neighbors of  $x_r$ . Then

$d(x_{r_i}, x_{r_j}) = d(x_{r_i}, x_r) + d(x_r, x_{r_j})$  for  $i \neq j$ .  
Here some  $x_{r_i}$  could be of the form  $w_a(x_b)$ ,  $1 \leq b \leq k+n$ .

Let  $\tilde{x}_1, \dots, \tilde{x}_{k+n}$  be the points figuring in the Gromov-Hausdorff definition, and let  $1 \leq r \leq k$

if  $x_{r_1}, x_{r_2}, x_{r_3}$  are neighbors of  $x_r$  let

$\tilde{x}_{r_1}, \tilde{x}_{r_2}, \tilde{x}_{r_3}$  be the corresponding points for  $\tilde{x}_r$

(here  $\tilde{x}_{r_i} = w_a(\tilde{x}_b)$ , if  $x_{r_i} = w_a(x_b)$ ).

If  $d'(\tilde{x}_{r_i}, \tilde{x}_{r_j}) = d'(\tilde{x}_{r_i}, \tilde{x}_r) + d'(\tilde{x}_r, \tilde{x}_{r_j})$

then  $\tilde{x}_r$  must be a vertex in  $T'$ .

otherwise, without loss of generality we have  $d'(\tilde{x}_{r_1}, \tilde{x}_{r_2}) < d'(\tilde{x}_{r_1}, \tilde{x}_r) + d'(\tilde{x}_r, \tilde{x}_{r_2})$

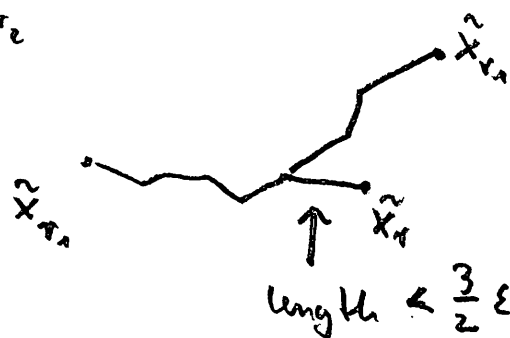
But

$$d(x_{r_1}, x_{r_2}) = d(x_{r_1}, x_r) + d(x_r, x_{r_2})$$

while all corresponding  $d'(\tilde{\phantom{x}}, \tilde{\phantom{x}})$  distances differ at most by  $\varepsilon$  from their counterparts in  $T$ .

Thus 
$$d'(\tilde{x}_{r_1}, \tilde{x}_{r_2}) > d'(\tilde{x}_{r_1}, \tilde{x}_r) + d(\tilde{x}_r, \tilde{x}_{r_2}) - 3\varepsilon$$

This means that on our shortest path from  $\tilde{x}_{r_1}$  to  $\tilde{x}_{r_2}$  we backtrack at most by a path of length  $\frac{3}{2}\varepsilon$  on our path from  $\tilde{x}_{r_1}$  to  $\tilde{x}_r$  before moving off to  $\tilde{x}_{r_2}$ .



Thus in a  $\frac{3}{2}\varepsilon$ -neighborhood of  $\tilde{x}_r$  is a vertex of  $T'$ .

Consider for  $r = 1, \dots, k$  the set of vertices

$V_r$  of  $T'$  of distance at most  $\frac{3}{2}\varepsilon$  from  $\tilde{x}_r$ .

For  $1 \leq r, s \leq k$ ,  $r \neq s$  we have

$$d'(\tilde{x}_r, \tilde{x}_s) > d(x_r, x_s) - \varepsilon > 5\varepsilon$$

Thus, if  $v_1 \in V_r, v_2 \in V_s, r \neq s, d'(v_1, v_2) \geq 3\epsilon,$

Thus we get the following picture. For each  $r=1, \dots, k,$

let  $T'_r$  be the convex hull of  $V_r$  in  $T',$  for each

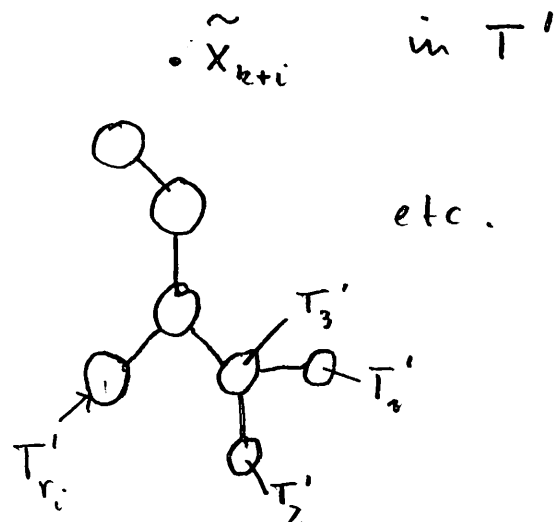
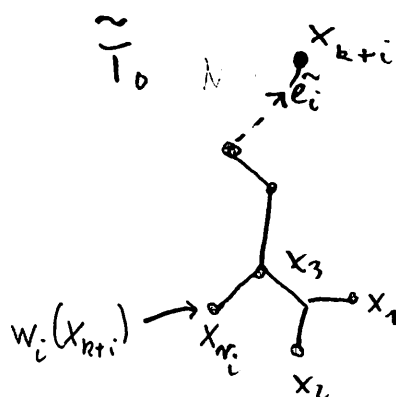
$1 \leq r, s \leq k, r \neq s,$  such that there is an edge  $e_{rs}$  in  $\tilde{T}_0$

from  $x_r$  to  $x_s$  there is a shortest path  $\tilde{e}_{rs}$  in  $T'$  from

$T'_r$  to  $T'_s$  and if  $(v, s) \neq (v', s')$  then  $\tilde{e}_{rs}$  intersects

$\tilde{e}_{r's'}$  if at all then only at one of the ends of  $\tilde{e}_{rs}.$  No

$\tilde{e}_{rs}$  is a constant path. (Its length being  $\geq d(x_r, x_s) - 5\epsilon$ )



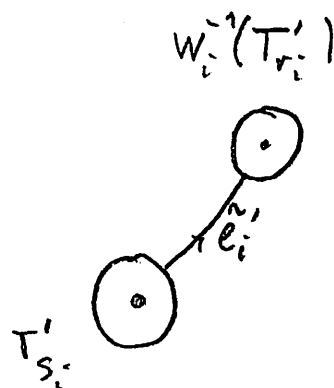
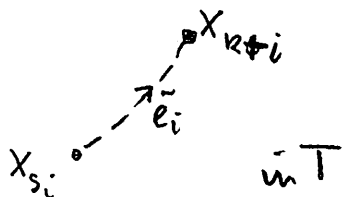
Now look at  $w_i(\tilde{x}_{k+i})$  Then

$0 = d(x_{r_i}, w_i(x_{k+i}))$ . Thus  $d(w_i(\tilde{x}_{k+i}), \tilde{x}_{r_i}) < \epsilon$

i.e.  $w_i(\tilde{x}_{k+i}) \in T'_{r_i}$ . We transport  $T'_{r_i}$  via

$w_i^{-1}$  to a neighborhood  $w_i^{-1}(T'_{r_i})$  of  $\tilde{x}_{k+i}$ . If  $z(\tilde{e}_i)$

$= x_{s_i}$  denote the shortest path from  $T'_{s_i}$  to  $w_i^{-1}(T'_{r_i})$  by

$\tilde{e}_i$ 


$$x_{k+i} = W_i^{-1}(x_{r_i})$$

Passing to  $T'/F_n$  what we have constructed in  $T'$  up to here is a finite graph which is obtained (up to homeomorphism) from  $T'/F_n$  by replacing some vertices of  $T'/F_n$  by <sup>small</sup> trees. Consequently, denoting what we constructed,

$$\text{i.e. } \bigcup_{r=1}^k T_r' \cup \bigcup_{r=k+1}^{k+i} W_i^{-1}(T_{r_i}') \\ \cup \bigcup_{1 \leq r \leq k} \tilde{e}_{r_s} \cup \bigcup_{i=1}^n \tilde{e}_i$$

by  $T''$ , then  $\pi_1(T''/F_n) \cong F_n$  unless there is an element  $g \in F_n$  with  $g \notin \{1, w_1^{\pm 1}, \dots, w_n^{\pm 1}\}$  which maps a point of  $T''$  to a point of  $T$ .

[Here we simply assume that this is not the case. This follows basically from the fact that there is no such element mapping a point of  $\tilde{T}_0 \cup \bigcup_{i=1}^n \tilde{e}_i$  to a point in this set]

Then there can be no further vertex in  $\tilde{E}_i'$ ,  $i=1, \dots, n$ , apart from their endpoints. Otherwise, since vertices have valence  $\leq 3$ ,  $\pi_1(T'/F_n)$  is isom. to a free group of rank  $> n$ .

### 6.3 Contracting $\text{Out}_n$ . Intuitive ideas.

If  $X$  is contractible then there exists by definition a homotopy  $F: X \times I \longrightarrow X$  from  $\text{id}_X$  to a constant map, say  $c_{x_0}$ ,  $x_0 \in X$ . Then for every  $x \in X$  we have a path  $t \longmapsto F(x, t)$  in  $X$  beginning at  $x$ , ending at  $x_0$  and the paths depend continuously on  $x$ .

Usually, in concrete situations, there is a good candidate for  $x_0$ , and the paths  $w_x$  are to a certain degree natural.

Our natural candidate is the tree  $T_0$ , the universal cover of  $R_n$  with the standard action of  $F_n = \pi_1(R_n, *)$  on  $T_0$ , and all edges of length  $\frac{1}{n}$ .

Now let  $(T, \varphi)$  be a representative of any element of  $\text{Out}_n$ .

The idea is to create a path  $T_t$ ,  $0 \leq t \leq \infty$  with  $T_\infty = T$  from  $T_0$  to  $T$  using a map

$f: T_0 \rightarrow T$ . The map is supposed to be equivariant and at least piecewise an isometry. For this we will actually have to change the lengths of edges in  $T_0$ . This will not cause much of a problem, because with this we vary  $T_0$  only in an  $(n-1)$ -simplex  $\Delta^{n-1}$  times  $\mathbb{R}_{>0}$ .

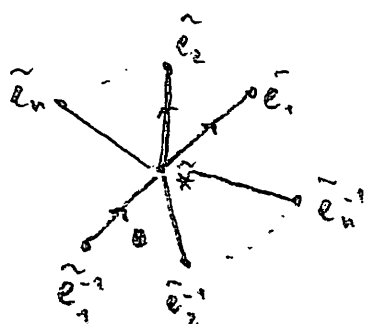
We first choose (for the moment 'any') point

$P \in T$  and define  $f(*) = P$ . Since we want  $f$  to be equivariant  $f$  is now defined on all vertices of  $T_0$

since the vertices of  $T_0$  are  $g(*)$ ,  $g \in F_n$ . Thus

$f(g(*)) = g(P)$ . We extend  $f$  to the edges of  $T_0$

(corresponding to the standard generators and their translates)



by giving them the length

$$d'(P, e_i P)$$

and mapping them isometrically.

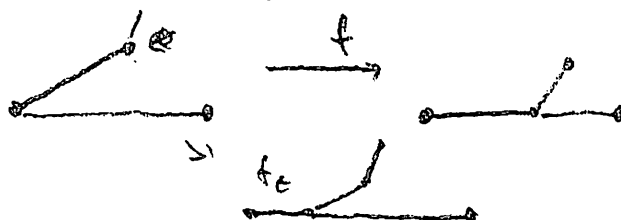
Should  $f$  be an isometry, then we have  $T \cong T_0$  and there is nothing to be done; we are somewhere in our simplex.

Otherwise, since  $f$  induces a homotopy equivalence

$$T_0 / F_n \longrightarrow T / F_n$$

some folding must occur. The idea is to break up this folding into a continuous family

$f_t$  of foldings with  $t \rightarrow \infty$  having  $f_t \rightarrow f$ .





So  $T_t$  is some quotient of  $T_0$  and  $T$  a quotient of  $T_t$  6.38

and  $f_t$  the quotient map.

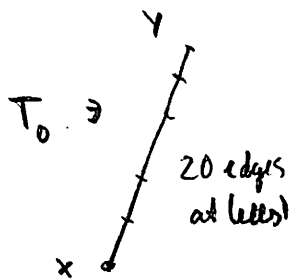
Now, how do we define  $T_t$ .

We have to say, when

two distinct points of  $T_0$  are the same in  $T_t$ . A necessary condition is that they are the same in  $T$ .

So let  $x \neq y$  in  $T_0$  with  $f(x) = f(y)$ . Let

$$T_{xy} = f([x, y]_{T_0})$$



Since  $\rightarrow$  10 edges



image of  $[x, y]_{T_0}$

If  $t \geq$  maximal distance of any point in  $f([x, y]_{T_0})$  from  $f(x)$  then  $x$  and  $y$  are identified in  $T_t$ .

Give  $T_t$  the maximal metric on edges such that  $T_0 \rightarrow T_t$  is a 1-Lipschitz map.

By looking at the quotients we know that (up to equivariance) only finitely many foldings occur. So there is a  $t_0$  such that  $T_t = T_{t_0}$  for all  $t \geq t_0$ .