

Motivic Integration*

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The story of motivic integration started from Kontsevich's "Lectures at Orsay" [Kon95] on December 7, 1995. Motivic integration was introduced to prove a conjecture by Batyrev [Bat98]. However, Kontsevich himself didn't publish anything about them. The theory was developed and generalized mainly in the following directions [Nic10, §4]:

- Denef and Lesperance [DL99] developed a theory of *geometric* motivic integration on arbitrary algebraic varieties over a field of characteristic 0.
- Loeser and Sebag [Seb04; LS03] constructed a theory of motivic integration on formal schemes over a complete discrete valuation with perfect residue field k .
- Cluckers and Loeser [CL08] built a very general framework for motivic integration theories based on model theory. And a different model-theoretic approach was developed by Hrushovski and Kazhdan [HK06].

In this talk, I will discuss (part of) the first story. The first goal is to explain what is a motivic integral. Basically, we are to understand the following notation:

$$\int_{\substack{\text{domain of} \\ \text{integration } A \subseteq \mathcal{L}(X)}} \substack{\text{integrable} \\ \text{function } \Psi} \, d \substack{\text{motivic} \\ \text{measure } \mu_X} \in \substack{\text{value ring} \\ \text{of integration } \hat{\mathcal{M}}_S^{\hat{\mu}}}$$

Besides, a formula for change of variables will be introduced without proof. Finally we will introduce motivic zeta functions and their rationality.

Let k be a field. A k -variety is a *reduced separated k -scheme of finite type*. Many notions can be defined for arbitrary (at least perfect) field k . But to be sure, we can assume k is of characteristic 0 or simply assume $k = \mathbb{C}$.

1 Grothendieck Ring of Varieties

Let's first recall the *Grothendieck ring of k -varieties* $K_0(\text{Var}_k)$.

It is the abelian group generated by isomorphism classes $[X]$ of separated k -schemes of finite type X subject to the "scissor relations": $[X] = [X \setminus Y] + [Y]$ if Y is a closed subscheme of X . It has a natural ring structure with multiplication given by

$$[X_1] \cdot [X_2] := [X_1 \times_k X_2]$$

for any separated k -schemes X_1 and X_2 of finite type. Put $\mathbb{L} := [A_k^1]$ and $1 = \text{Spec } k$. Then denote by $\mathcal{M}_k := K_0(\text{Var}_k)[\mathbb{L}^{-1}]$ the localization by inverting \mathbb{L} .

Remark 1 Thanks to the scissor relations, we have $[X_{\text{red}}] = [X]$. Hence in the above definition, we could use " k -varieties" instead of "separated k -schemes of finite type". But one needs to be careful that if k is not perfect, then the fiber product of two reduced k -schemes may not be reduced.

*updates see <https://haoyun.github.io/files/16WS-FS-Motivic-Integration.pdf>

Remark 2 These two rings $K_0(\text{Var}_k)$ and \mathcal{M}_k are quite mysterious. It's known that $K_0(\text{Var}_k)$ is not a domain if k is of characteristic 0 [Poo02]. It's either not known whether \mathbb{L} is a zero divisor in $K_0(\text{Var}_k)$.

Naumann[??] found zero-divisors in $K_0(\text{Var}_k)$ for k a finite field and these are non-zero even after localizing at \mathbb{L} – thus for a finite field \mathcal{M}_k is not a domain.

Example 1 $[\mathbb{P}^n] = \mathbb{L}^n + \mathbb{L}^{n-1} + \dots + \mathbb{L} + 1$. $1 := [\text{Spec } k]$.

Example 2 Using weak factorization theorem, it can be show $K_0(\text{Var}_k)$ could be generated by $[X]$ with X smooth and $[\tilde{X}] - [\tilde{Y}] = [X] - [Y]$ [Loo02, §4].

Given a k -variety S , we can also define relative versions $K_0(\text{Var}_S)$ and \mathcal{M}_S in the same way. We leave out the details and introduce a more delicate one.

1.1 The $\hat{\mu}$ -equivariant Grothendieck Ring

Let S be an algebraic variety over k . An S -variety X is a k -variety X equipped with a k -morphism $X \rightarrow S$. The category of S -varieties is denoted by Var_S , in which, the arrows are morphisms over S .

For any $n \in \mathbb{N}$, let

$$\mu_n := \text{Spec } k[x]/(x^n - 1)$$

be the group scheme of n -th root of unity. Then $(\mu_n)_{n \in \mathbb{N}}$ form a projective system. So we can define

$$\hat{\mu} := \varprojlim \mu_n$$

the projective limit, which is a *pro-variety*.

Let X be an S -variety. A *good μ_n -action on X* is a group action

$$\alpha : \mu_n \times_k X \rightarrow X$$

over S , such that each orbit is contained in an affine subvariety of X . The last condition is automatically satisfied if X is a quasi-projective variety. A good $\hat{\mu}$ -action on X is an action of $\hat{\mu}$ on X which factors through a good μ_n -action, for some n .

For a fixed k -variety S , the *monodromic Grothendieck group* $K_0^{\hat{\mu}}(\text{Var}_S)$ is the abelian group generated by symbols $[X, \hat{\mu}]$ (sometimes also denoted by $[X/S, \hat{\mu}]$), where X is an S -variety with a good $\hat{\mu}$ -action, subject to relations:

1. $[X, \hat{\mu}] = [Y, \hat{\mu}]$ if X and Y are isomorphic as S -varieties with μ -actions,
2. $[X, \hat{\mu}] = [Y, \hat{\mu}] + [X \setminus Y, \hat{\mu}]$, whenever Y is a closed subvariety with the $\hat{\mu}$ -action induced from that on X , and
3. $[S \times_k V, \hat{\mu}] = [S \times_k \mathbb{A}_k^n, \mu]$ where V is the affine n -space with any linear $\hat{\mu}$ -action and \mathbb{A}_k^n is that with the trivial action.

Similar to $K_0(\text{Var}_k)$, the group $K_0^{\hat{\mu}}(\text{Var}_S)$ has a ring structure induced by fiber product.

Remark 3 The above construction also applies to general groups instead of $\hat{\mu}$.

Denote by $\mathcal{M}_S^{\hat{\mu}}$ the localization $K_0^{\hat{\mu}}(\text{Var}_S)[\mathbb{L}^{-1}]$, where \mathbb{L} is the class in $K_0^{\hat{\mu}}(\text{Var}_S)$ of $S \times_k \mathbb{A}_k^1$ with the trivial $\hat{\mu}$ -action.

Example 3 If $Y \rightarrow X$ is a (Zariski)-locally trivial fibration with fiber Z , then $[Y] = [X] \cdot [Z]$.

Let X be an S -variety with a good $\hat{\mu}$ -action, which factors through a good μ_m -action). Denote by $\bar{X} := X/\mu_m$. Then there is a well defined map [Loo02, Lemma 5.1]

$$\mathcal{M}_S^{\hat{\mu}} \rightarrow \mathcal{M}_S, \quad X \rightarrow \bar{X}$$

This works because μ_m is a finite abelian group.

1.2 Completed Grothendieck Ring

The completed Grothendieck ring $\hat{\mathcal{M}}_k$ was also first introduced by Kontsevich [Kon95].

For any $m \in \mathbb{Z}$, let $F_m \mathcal{M}_k$ be the subgroup of \mathcal{M}_k spanned by $[Z] \mathbb{L}^{-r}$ with $\dim \leq m + r$. One checks that $F_m \mathcal{M}_k \cdot F_n \mathcal{M}_k \subseteq F_{m+n} \mathcal{M}_k$. So we can complete \mathcal{M} by setting

$$\hat{\mathcal{M}}_k := \varprojlim \mathcal{M}_k / F_m \mathcal{M}_k.$$

This is called the *dimensional completion*, and is also a ring.

There is another way to define the completion (see [Bli11, §2.1] and [Bat98]). In \mathcal{M}_k , we define \mathbb{L}^{-1} to have dimension -1 . Consider the composition of $\dim : \mathcal{M}_k \rightarrow \mathbb{Z} \cup \{-\infty\}$ with $\exp : \mathbb{Z} \cup \{-\infty\} \rightarrow \mathbb{R}_{\geq 0}$ (set $\exp(-\infty) := 0$). It defines a map

$$\delta_k : \mathcal{M}_k \rightarrow \mathbb{R}_{\geq 0},$$

which can be verified to be a *non-archimedean* norm. Then $\hat{\mathcal{M}}_k$ is the completion with respect to this norm. This viewpoint makes the convergence in $\hat{\mathcal{M}}_k$ easier: a series converges if and only if the norm of the summands goes to 0, in other words, the dimension goes to $-\infty$.

$\hat{\mathcal{M}}_k^{\text{non-arch}}$ dimensional completion and completion with non-archimedean norm.

Remark 4 It is not known whether the natural map $\mathcal{M}_k \rightarrow \hat{\mathcal{M}}_k$ is injective (see [Loo02, footnote 3] and [DL01, §4.3]).

Example 4 In $\hat{\mathcal{M}}_k$, it holds that [Bli11, Exercise 2.6]

$$\sum_{i \geq 0} \mathbb{L}^{-vi} = \frac{1}{1 - \mathbb{L}^{-v}}.$$

The completion works in relative case and equivariant case. Details are left out.

2 Arc Spaces

Suppose that X is k -variety of finite type. Consider the functor (Weil restriction)

$$\text{Var}_k \rightarrow \text{Set}, \quad T \mapsto \text{Hom}_k(T \times_k k[t]/t^{n+1}, X)$$

This functor is represented by a variety $\mathcal{L}_n(X)$ of finite type over k . In other words, we have bijection

$$\text{Hom}_k(T, \mathcal{L}_n(X)) = \text{Hom}_k(T \times_k k[t]/t^{n+1}, X).$$

The scheme $\mathcal{L}_n(X)$ is called the *space of n -jets of X* . By the very definition, we have for any $m \geq n$, there is a natural morphism $\pi_m^n : \mathcal{L}_m(X) \rightarrow \mathcal{L}_n(X)$. It can be verified that π_m^n is an affine morphism for each $m \geq n$. Therefore, the projective limit

$$\mathcal{L}(X) := \mathcal{L}_\infty(X) := \varprojlim \mathcal{L}_m(X)$$

exists ([Stacks, Tag 01YX]) as a k -scheme and is reduced and separated if X is. But it's no longer of finite type in general. This scheme is called the *arc space of X* . By definition, for any field K/k , we have bijection

$$\text{Hom}_k(K, \mathcal{L}_\infty(X)) = \text{Hom}_k(K[[t]], X).$$

Suppose that $Y \rightarrow X$ is a morphism of k -schemes. There are obviously natural maps $\mathcal{L}_n(Y) \rightarrow \mathcal{L}_n(X)$, for all $n \in \mathbb{N}$, and $\mathcal{L}_\infty(Y) \rightarrow \mathcal{L}_\infty(X)$. Note also that any morphism $f : Y \rightarrow X$ induces natural morphisms $f_* : \mathcal{L}_\infty(Y) \rightarrow \mathcal{L}_\infty(X)$ and $f_n : \mathcal{L}_n(Y) \rightarrow \mathcal{L}_n(X)$. Clearly, $\mathcal{L}_0(X) = X$ and $\mathcal{L}_1(X) = \mathcal{T}X$.

Any point $\gamma \in \mathcal{L}_\infty(X)$, usually called an *arc*, corresponds to a map $\text{Spec } \kappa(\gamma) \rightarrow \mathcal{L}_\infty(X)$, where $\kappa(\gamma)$ is the residue field at γ . Hence by definition, it further corresponds to a map $\text{Spec } \kappa(\gamma)[[t]] \rightarrow X$. We will not distinguish this map with γ from now on. The image $\gamma(0)$ of $0 = (t) \in \text{Spec } \kappa(\gamma)[[t]]$ is usually called the *base point* or *origin* of the arc.

For any power series $\alpha(t) \in K[[t]]$, we define the order of $\alpha(t)$ to be the maximal $e \in \mathbb{N} \cup \{\infty\}$ such that $t^e | \alpha(t)$. If $s \in \mathcal{O}_{X, \gamma(0)}$, we define $\text{ord}_{s,t}(\gamma) := \text{ord}_t(\gamma^{-1}s)$, where $\gamma : \mathcal{O}_{X, \gamma(0)} \rightarrow \mathcal{O}_{\text{Spec } \kappa(\gamma)[[t]], 0} = \kappa(\gamma)[[t]]$ is the inverse image map. If $\mathcal{I} \subseteq \mathcal{O}_X$ is an ideal, we define

$$\text{ord}_{\mathcal{I}, t}(\gamma) := \text{ord}_{\mathcal{I}}(\gamma) = \min \left\{ \text{ord}_{s,t} \gamma : s \in \mathcal{I}_{\gamma(0)} \right\}.$$

Thus we get a map

$$\text{ord}_{\mathcal{I}} : \mathcal{L}_\infty(X) \rightarrow \mathbb{N} \cup \{\infty\}$$

and we can see that $\text{ord}_{\mathcal{I}}(\gamma) = \infty$ if and only if the image of γ lies inside the support of \mathcal{I} . In the same way we get maps for all $1 \leq n \in \mathbb{N}$,

$$\text{ord}_{\mathcal{I}} : \mathcal{L}_n(X) \rightarrow \mathbb{N} \cup \{0, 1, 2, \dots, n, \infty\}$$

If $E \subseteq X$ is an effective Cartier divisor, we write ord_E for the order map defined by the ideal sheaf corresponding to E .

Example 5 If $X = \text{Spec } k[x_1, \dots, x_n] = \mathbb{A}_k^n$ is the affine n -space over k . Then $\mathcal{L}_m(X) = \mathbb{A}_k^{n(m+1)}$. [Bli11, example 2.7].

Proposition 1 Let $X \rightarrow Y$ be a étale morphism of k -varieties, then

$$\mathcal{L}_m(X) \cong \mathcal{L}_m(Y) \times_Y X.$$

PROOF Follows from formally étaleness and direct computation [Bli11, Proposition 2,9].

Proposition 2 Suppose that X is smooth of pure dimension d over k . Then $\mathcal{L}_m(X)$ is an \mathbb{A}^{dm} -bundle over X . In particular, $\mathcal{L}_m(X)$ is smooth of pure dimension $d(m+1)$. In the same way, $\mathcal{L}_{m+1}(X)$ is a \mathbb{A}^d -bundle over $\mathcal{L}_m(X)$.

PROOF This is Smooth schemes are étale-locally like affine spaces [Stacks, Tag 054L]. Then use the previous example and proposition.

A Generalization – Greenberg Scheme See [Seb04; Loo02; Gre61; Gre63] for original discussion. [NS11] is a good introductory text. This topic It was introduced first in [Gre61; Gre63] over any Artinian local ring as to generalize Shimura’s [Shi55] “reduction modulo \mathfrak{p} ” to “reduction modulo \mathfrak{p}^{n+1} ” for all natural numbers n . A modern treatment on this topic could be found in [BG13]. There are also some discussion in [BLR90, §9.6].

Let (R, \mathfrak{m}) be a complete discrete valuation ring with *perfect* residue field k and fraction field K . For each $n \in \mathbb{N}$, set $R_n := R/\mathfrak{m}^{n+1}$. Consider the functor

$$\text{Alg}_k \rightarrow \text{Alg}_{R_n}, \quad A \mapsto \mathcal{R}_n(A)$$

where $\mathcal{R}_n(A)$ is defined separately in different cases (details omit.)

- equal characteristic. $R \cong k[[t]]$ once a uniformizer is chosen.
- mixed characteristics:
 - absolutely unramified.
 - absolutely ramified.

This construction leads to a functor

$$\mathrm{Var}_k \rightarrow \mathrm{Var}_{R_n}, \quad T = (T, \mathcal{O}_T) \mapsto (T, \mathcal{R}_n(\mathcal{O}_T)) := \mathcal{R}_n(T).$$

For any variety X over R_n , the so called *Greenberg functor* is defined as

$$\mathrm{Var}_k \rightarrow \mathrm{Set}, \quad T \mapsto \mathrm{Hom}_{R_n}(\mathcal{R}_n(T), X)$$

It is represented by a k -variety $G_n(X)$, called the *Greenberg realization*.

Now for any R -scheme X , set

$$\mathcal{X}_n := G_n(\mathcal{X} \times_R R_n), \text{ and } \mathcal{X}_\infty := \varprojlim \mathcal{X}_n$$

One easily see that in equal characteristic, if $\mathcal{X} = X \times_k R$ for some k -variety X , then $\mathcal{X}_n = \mathcal{L}_n(X)$ and $\mathcal{X}_\infty = \mathcal{L}_\infty(X)$.

All discussion in the previous part on $\mathcal{L}_\infty(X)$ generalizes to \mathcal{X}_∞ .

3 Motivic Measure

3.1 The tautological measure “on X ”

Recall that a subset of a variety X is *constructible* if it is a finite union of locally closed subvarieties of X . Any constructible subset $C \subseteq X$ defines an element $[C] \in \mathcal{M}_k$. Or more precisely, we can always write C as a finite disjoint union of locally closed subsets U_1, \dots, U_n and the scissor relations imply that

$$[C] := \sum_{i=1}^r [U_i]$$

in $K_0(\mathrm{Var}_k)$ does not depend on the choice of the partition (recall also $[X_{\mathrm{red}}] = [X]$).

The constructible subsets of X form a *Boolean algebra*, i.e., closed under complements, finite unions and finite intersections. Hence we obtain in a tautological manner a $K_0(\mathrm{Var}_k)$ -valued measure μ_X defined on this Boolean algebra.

For any morphism $f : Y \rightarrow X$, we define we can define the direct image:

$$(f_*\mu_Y)(U) := \mu_Y(f^{-1}(U))$$

for any constructible U subset of X .

Example 6 See [Nic10, §4.2] for some specialization morphisms.

3.2 The motivic measure “on $\mathcal{L}_\infty(X)$ ”

Our goal is to define a measure on an interesting algebra of subsets of $\mathcal{L}_\infty(X)$ in such a way that its direct image under $\pi_X : \mathcal{L}_\infty(X) \rightarrow \mathcal{L}_0(X) = X$ “is” the tautological measure μ_X when X is smooth.

Remark 5 As we will see later, the convention differs. Some authos, e.g. [Loo02], requires the direct image is μ_X , but others, e.g. [DL01], requires the direct image to be a multiple of μ_X .

3.2.1 Naive Motivic Measure

Assume that X is of pure relative dimension d . A subset $A \in \mathcal{L}_\infty(X)$ is *stable* if for some n ,

1. $\pi_n(A)$ is constructible in $\mathcal{L}_n(X)$ and $A = \pi_n^{-1}\pi_n(A)$.
2. for all $m \geq n$, the projection $\pi_{m+1}(A) \rightarrow \pi_m(A)$ is a locally trivial fibration.¹

¹piecewise-trivial fibration in [Loo02]

The second condition is superfluous in case X/k is smooth as it was pointed in Proposition 2. The collection $\tilde{\mathcal{B}}(X)$ of of stable subsets of $\mathcal{L}_\infty(X)$ is a boolean algebra. Define

$$\tilde{\mu}_X : \tilde{\mathcal{B}}(X) \rightarrow \mathcal{M}_k, \quad A \mapsto [\pi_m(A)]\mathbb{L}^{-(m+1)d},^2$$

and this is called the *naive motivic measure on X* .

We call $A \subseteq \mathcal{L}_\infty(X)$ a *cylinder*, if $A = \pi_n^{-1}B$ for some constructible subset $B \subseteq \mathcal{L}_n(X)$. If X is smooth, all cylinders are stable. And in smooth case, the stable sets are precisely the cylinders.

3.2.2 Motivic Measure

Consider the composition $\mu_X : \tilde{\mathcal{B}}(X) \rightarrow \mathcal{M}_k \rightarrow \hat{\mathcal{M}}_k$, and we shall extend this map to be what we will call a *motivic measure*.

A subset $A \subseteq \mathcal{L}_\infty(X)$ is *measurable* if for every (negative) integer m there is a stable subset $A_m \subseteq \mathcal{L}_\infty(X)$ and a sequence $(C_i \subseteq \mathcal{L}_\infty(X))_{i=0}^\infty$ of stable subsets such that the symmetric difference³ $A \triangle A_m$ is contained in $\cup_{i \in \mathbb{N}} C_i$ with $\dim C_i < m$ for all i and $\dim C_i = -\infty$ for $i \rightarrow \infty$.

Proposition 3 *The measurable subsets of $\mathcal{L}(X)$ form a boolean subalgebra*

$$\mu_X(A) := \lim_{m \rightarrow -\infty} \mu_X(A_m).$$

In particular, the above limit exists in $\hat{\mathcal{M}}_k$ and it's value only depends on A .

PROOF See [Loo02, Prop. 2.2]

So a countable union of stable sets $A = \cup_{n \in \mathbb{N}} A_n$ with $\lim_{i \rightarrow \infty} \dim A_n = -\infty$ is measurable and

$$\mu_X(A) = \lim_{n \rightarrow \infty} \mu_X(\cup_{k \leq n} A_k).$$

The boolean algebra of measurable subsets of $\mathcal{L}_\infty(X)$ is denoted by $\mathcal{B}(X)$. Thus the *motivic measure* is the map

$$\mu_X : \mathcal{B}(X) \rightarrow \hat{\mathcal{M}}_k, \quad A \mapsto \mu_X(A).$$

4 Transformation Rule

A function $\Psi : \mathcal{L}_\infty(X) \rightarrow \hat{\mathcal{M}}_k$ is *measurable* or *integrable* if each fibre of Ψ is measurable and the sum $\sum_a \mu_X(\Psi^{-1}(a))a$ converges. That is to say, there are at most countably many nonzero terms $\mu_X(\Psi^{-1}(a_i))a_i$, $i \in \mathbb{N}$, and $\mu_X(\Psi^{-1}(a_i))a_i \in F_{m_i}\hat{\mathcal{M}}_k$ with $\lim m_i = -\infty$. The *motivic integration* of Ψ is then by definition the value of this series:

$$\int_{\mathcal{L}_\infty(X)} \Psi \, d\mu_X := \sum_i \mu_X(\Psi^{-1}(a_i))a_i$$

Of course, we can define the integrable function $\Psi : A \rightarrow \hat{\mathcal{M}}_k$ and its itegration over A .

There is a special kind of measurable functions given by ideals $\mathcal{I} \subseteq \mathcal{O}_X$:

$$\mathbb{L}^{-\text{ord}_{\mathcal{I}}} : \mathcal{L}_\infty(X) \longrightarrow \hat{\mathcal{M}}_k.$$

(For any $n \in \mathbb{N}$, the condition $\text{ord}_{\mathcal{I}}(\gamma) = n$ depends only on on the n jet of γ and it defines a constructible $C_n = \{\gamma \in \mathcal{L}_n(X) : \text{ord}_{\mathcal{I}}(\gamma) = n\}$. Hence the fibers $\mathbb{L}^{-\text{ord}_{\mathcal{I}}}$ are measurable. One can show that $\mu_X(C_n) \cdot \mathbb{L}^{-n}$ has dimension no more than $d - n$, hence the series converges. See [Bli11, §2.4–2.5] for details.) By definition, we have

$$\int_{\mathcal{L}_\infty(X)} \mathbb{L}^{-\text{ord}_{\mathcal{I}}} \, d\mu_X = \sum_{n=0}^\infty \mu_X(C_n)\mathbb{L}^{-n}.$$

²as pointed out before, [Loo02] uses the factor \mathbb{L}^{-md}

³ $A \triangle B := (A \cup B) \setminus (A \cap B)$.

Lemma 1 *Let R be a ring. Let M be a finite R -module. Choose a presentation*

$$R^{\oplus J} \rightarrow R^{\oplus n} \rightarrow M \rightarrow 0$$

of M . Let $A = (a_{ij})_{i=1, \dots, n; j \in J}$ be the matrix of the first map, Then the ideal $\text{Fit}_k(M)$ generated by the $(n-k) \times (n-k)$ minors of A is independent of the choice of the presentation.

PROOF See [Stacks, Tag 07Z8] for a proof. The remark [Stacks, Tag 02FD] is also interesting.

Let $h : Y \rightarrow X$ be a morphism of k -varieties. The *Jacobian ideal* $\mathcal{J}_h \subseteq \mathcal{O}_Y$ of h is the 0-th Fitting ideal $\text{Fitt}_0(\Omega_{Y/\mathcal{O}_X}^1)$.

Proposition 4 ([Loo02, Prop. 3.2]) *Let $h : Y \rightarrow X$ be a proper birational k -morphism of pure dimensional k -varieties such that Y is smooth over k . If A is a measurable subset of $\mathcal{L}_\infty(Y)$ with $H|_A$ injective, where $H : \mathcal{L}_\infty(Y) \rightarrow \mathcal{L}_\infty(X)$ is the morphism induced by h , then $H(A)$ is measurable and*

$$\mu_X(H(A)) = \int_A \mathbb{L}^{-\text{ord}_{\mathcal{J}_h}} d\mu_Y.$$

5 Motivic Zeta Function

Let k be any field, and X a smooth k -variety of pure dimension d . Let $f : X \rightarrow \mathbb{A}^1$ be a k -morphism and $n \geq 1$ be an integer.

View f as a global section of \mathcal{O}_X , we have

$$\text{ord}_t : \mathcal{L}_n(X) \rightarrow \{1, \dots, n, \infty\}, \quad \text{and} \quad \text{ord}_t : \mathcal{L}_n(X) \rightarrow \mathbb{N} \cup \{\infty\},$$

defined by (f) as discussed before.⁴ Set

$$\mathcal{X}_n := \{\gamma \in \mathcal{L}_n(X) : \text{ord}_t \gamma = n\}$$

to be the locally closed sub-variety of $\mathcal{L}_n(X)$ consist of arcs exactly of order n .

If we set $X_0 := f^{-1}(0) \subseteq X$ to be the closed subvariety defined by f . Then $\pi_0^n(\mathcal{X}_n) \subseteq X_0$ where $\pi_0^n : \mathcal{L}_n(X) \rightarrow X$. So the restriction of π_0^n to \mathcal{X}_n gives \mathcal{X}_n an X_0 -scheme structure. Moreover, there is natural map

$$\text{ac} : \mathcal{X}_n \rightarrow \mathbb{G}_{m,k} = \mathbb{A}_k^1 - \{0\}$$

that sends each $\gamma \in \mathcal{X}_n$ to the coefficients of t^n of the corresponding (truncated) power series. There is natural $\mathbb{G}_{m,k}$ -action on \mathcal{X}_n given by $\gamma \cdot a = \gamma(at)$, where $\gamma(t)$ is the power series corresponding to γ . Clearly, $\text{ac}(\gamma \cdot a) = a^n \text{ac}(\gamma)$. If we set $\mathcal{X}_{n,1}$ to be the fiber over 1 under the map ac , then the \mathbb{G}_m -action on \mathcal{X}_n induces a good μ_m -action on $\mathcal{X}_{n,1}$, hence a good action of $\hat{\mu}$. We can also consider \mathcal{X}_n as a $X_0 \times_k \mathbb{G}_{m,k}$ -scheme via

$$(\pi_0^n, \text{ac}) : \mathcal{X}_n \rightarrow X_0 \times_k \mathbb{G}_{m,k}.$$

Now it makes sense to talk about

$$[\mathcal{X}_{n,1}/X_0, \hat{\mu}] \in \mathcal{M}_{X_0}^{\hat{\mu}} \quad \text{and} \quad [\mathcal{X}_n/X_0] \in \mathcal{M}_{X_0}.$$

The *motivic zeta function* of $f : X \rightarrow \mathbb{A}_k^1$ is the power series

$$Z_f(T) := \sum_{n \geq 1} [\mathcal{X}_{n,1}/X_0, \hat{\mu}] \mathbb{L}^{-nd} T^n \in \mathcal{M}_{X_0}^{\hat{\mu}}[[T]].$$

⁴ There is an alternative way (essentially the same) to define the order. Note that the image of γ under the induced map $\mathcal{L}_\infty(X) \rightarrow \mathcal{L}_\infty(\mathbb{A}_k^1)$ is an arc in \mathbb{A}_k^1 , which is canonically identified with a power series. So the order of γ with respect to f can be defined as the order of this power series.

and the *native motivic zeta function* of f is defined as the power series

$$Z_f^{\text{naive}}(T) := \sum_{n \geq 1} [\mathcal{X}_n/X_0] \mathbb{L}^{-nd} T^n \in \mathcal{M}_{X_0}[[T]].$$

One can verify that \mathcal{X}_n as a $(X_0 \times \mathbb{G}_{m,k})$ -variety, is isomorphic to the quotient of $\mathcal{X}_{n,1} \times_k \mathbb{G}_{m,k}$ under the $\hat{\mu}_n$ -action defined by $a \cdot (\phi, b) = (\phi \cdot a, a^{-1}b)$. The projection $\mathcal{X}_n \rightarrow \mathcal{X}_{n,1}/\mu_n$ gives μ_n a \mathbb{G}_m bundle structure.

Assume the following setting as usual. Let (Y, h) be a resolution of f . This means that Y is a smooth irreducible k -variety, $h : Y \rightarrow X$ is proper, such that its restriction $Y \setminus h^{-1}(X_0) \rightarrow X \setminus X_0$ is an isomorphism and that $h^{-1}(X_0)$ has only normal crossings. Suppose $E = \text{div}(f \circ h) = \sum N_i E_i$ with E_i being the irreducible components, and $\text{div}(\mathcal{J}_h) = \sum (v_i - 1)E_i$. For each $I \subseteq \text{Irr}(E)$, set $E_I = \cap_{i \in I} E_i$ and $E_I^0 = E_I \setminus \cup_{j \notin I} E_j$. Set $N_I := \text{gcd}(N_i)$.

Moreover, we construct two kinds of auxiliary schemes.

1. For each $i \in \text{Irr}(E)$, let U_i be the normal bundle of E_i minus the zero section. Hence U_i is a \mathbb{G}_m bundle over E_i . For each $I \subseteq \text{Irr}(E)$, set U_I to be the product of bundles $U_i|_{E_i^0}$, which is a $\mathbb{G}_m^{|I|}$ bundle over E_I^0 .

2. Consider the following construction:

$$\begin{array}{ccc} \tilde{E}_I^0 & \longrightarrow & E_I^0 \\ \downarrow & & \downarrow \\ \tilde{Y} & \xrightarrow{\text{normalization}} & Y \\ & \downarrow & \downarrow h \\ & & X \\ & \downarrow & \downarrow f \\ \mathbb{A}_k^1 & \xrightarrow{m \text{ power}} & \mathbb{A}_1^k \end{array}$$

Make a base change of $f \circ h$ along the m -th power map of \mathbb{A}_k^1 , and normalize, then we get a μ_m covering $\tilde{Y} \rightarrow Y$. Take \tilde{E}_I^0 to be a connected component of the preimage of E_I^0 in \tilde{Y} . Then $\tilde{E}_I^0 \rightarrow E_I^0$ is a Galois covering with Galois group a subgroup of μ_{N_I} . If $I \neq \emptyset$, \tilde{E}_I^0 lies over X_0 . So $[\tilde{E}_I^0/X_0, \mu_{N(I)}]$ is well defined element in $\mathcal{M}_{X_0}^{\hat{\mu}}$.

Theorem 1 *It holds that*

$$Z_f(T) = \sum_{\emptyset \neq I \subseteq \text{Irr}(E)} (\mathbb{L} - 1)^{|I|-1} [\tilde{E}_I^0/X_0; \mu_{N(I)}] \prod_{i \in I} \frac{\mathbb{L}^{-v_i} T^{N_i}}{1 - \mathbb{L}^{-v_i} T^{-N_i}} \in \mathcal{M}_{X_0}^{\hat{\mu}}[[T]]$$

and

$$Z_f^{\text{naive}}(T) = \sum_{\emptyset \neq I \subseteq \text{Irr}(E)} (\mathbb{L} - 1)^{|I|} [E_I^0/X_0] \prod_{i \in I} \frac{\mathbb{L}^{-v_i} T^{N_i}}{1 - \mathbb{L}^{-v_i} T^{-N_i}} \in \mathcal{M}_{X_0}[[T]]$$

PROOF (IDEAS) Recall that we have

$$[\mathcal{X}_{n,1}] = \mathbb{L}^{(n+1)d} \mu_X(\pi_n^{-1}(\mathcal{X}_{n,1})) \in \mathcal{M}_k$$

where $\pi_n^{-1}(\mathcal{X}_{n,1}) \in \mathcal{L}_{\infty}(X)$ is a cylinder. The next step is to compute $\mu_X(\pi_n^{-1}(\mathcal{X}_{n,1}))$ using transformation rule:

$$\mu_X(\pi_n^{-1}(\mathcal{X}_{n,1})) = \int_{H^{-1}\pi_n^{-1}(\mathcal{X}_{n,1})} \mathbb{L}^{-\text{ord}_{\mathcal{J}_H}} d\mu_Y$$

This can be computed using U_I constructed above: If we set $U_I(1)$ to be the fiber of U_I over 1 under the natural map $U_I \rightarrow \mathbb{G}_m$ induced by the natural projection composed with $f \circ h$, then

the covering map $\widetilde{E}_I^0 \rightarrow E_I^0$ factors through $U_I(1)$ and moreover, $U_I(1)$ is a $\mathbb{G}_m^{|I|-1}$ -bundle over \widetilde{E}_I^0 – that’s where the factor $(\mathbb{L} - 1)^{|I|-1}$ comes.

Recall that \mathcal{X}_n is a \mathbb{G}_m -bundle over $\mathcal{X}_{n,1}/\mu_n$. So under the map $\mathcal{M}_{X_0}^{\hat{\mu}} \rightarrow \mathcal{M}_{X_0}$, $[\mathcal{X}_{n,1}, \mu_n]$ has image $(\mathbb{L} - 1)^{-1}[\mathcal{X}_n]$ in \mathcal{M}_{X_0} . Hence the image of $(\mathbb{L} - 1)Z_f(T)$ in $\mathcal{M}_{X_0}[[T]]$ is $Z_f^{\text{naive}}(T)$. Meanwhile, the image of $[\widetilde{E}_I^0/X_0; \mu_{N(I)}]$ in \mathcal{M}_{X_0} is exactly $[E_I^0/X_0]$. That is why we have one more $(\mathbb{L} - 1)$ in the explicit expression of $Z_f^{\text{naive}}(T)$.

See [DL02, Theorem 2.4] and [Loo02, Theorem 5.4].

6 The Topological Zeta Functions

Let $\mathcal{M}_{S,\text{loc}}$ resp. $\mathcal{M}_{S,\text{loc}}^{\hat{\mu}}$, be the ring obtained from \mathcal{M}_S , resp. $\mathcal{M}_S^{\hat{\mu}}$ by inverting the elements⁵ $[\mathbb{P}_k^i \times_k S] = 1 + \mathbb{L} + \mathbb{L}^2 + \dots + \mathbb{L}^i$ for all $i \in \mathbb{N}$.

Let $k = \mathbb{C}$. Evaluating $Z_f^{\text{naive}}(T)$ at \mathbb{L}^{-s} , and apply the topological Euler characteristic χ_{Top} , we get (recall $\chi_{\text{Top}}(\mathbb{P}_{\mathbb{C}}^n) = n + 1$)

$$Z_{\text{Top}}(f, s) := \chi_{\text{Top}}(Z_f^{\text{naive}}(\mathbb{L}^{-s})) = \sum_{\emptyset \neq I \subseteq \text{Irr}(E)} \chi_{\text{Top}}(E_I^0) \prod_{i \in I} \frac{\chi_{\text{Top}}(X_0)}{sN_i + v_i} \in \mathbb{Q}(s)^6$$

This is called the untwisted topological zeta function of f .

Remark 6 There is also a version of twisted topological zeta function defined by evaluating $(\mathbb{L} - 1)Z(T)$ at $T = \mathbb{L}^{-s}$ and applying the equivariant topological Euler characteristic. See [DL01, §3.4].

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⁵in the original paper [Nic10], it was written \mathbb{P}_k^i , but this does not in the relative Grothendieck ring.

⁶the factor $\chi_{\text{Top}}(X_0)$ is missing in [DL01]. Usually, one specialize the zeta function to a point $x \in X_0$, in which case, we do not have such a factor.

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