

# Essential skeleton and relation to birational geometry

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The main reference is [MN13], §4.5, 4.6, 6.1, 6.3, 6.4

## 1. Kontsevich-Sorbelen skeleton

$X$  - smooth, conn., sep.  $K$ -scheme of dim  $n$   
 $w$  -  $\neq 0$  rational  $m$ -pluricanonical form on  $X$ ,  $m > 0$   
 i.e.  $\exists$  a rational section  $(w, s)/\mathcal{O}_X(m)$  of  $\mathcal{O}_X(m)$

Recall: a rational section of a line bundle gives a Cartier divisor

Recall:  $wt_w(x) = \begin{cases} \frac{m}{N}, & \text{if } x \in X^{\text{div}}, \text{ for } m - m = \text{mult. of } E \text{ in } \text{div}_x(w) \\ & N = \text{mult. of } E \text{ in } \mathcal{X}_x \\ \nu_x(\text{div}_x(w) + m(\mathcal{X}_x)_{\text{red}}) & \text{for } x \in \text{Sk}(X) \\ \sup_{\substack{\mathcal{X}\text{-sncd models} \\ \text{s.t. } x \in \mathcal{X}_\eta}} \{wt_w(\mathcal{X}_\eta(x))\} \in \mathbb{R} \cup \{+\infty\} & \text{for } x \in X^{\text{an}} \end{cases}$

where  $\mathcal{X}_\eta: \mathcal{X}_\eta \rightarrow \text{Sk}(X)$

Def. (weight of  $X$ )  $wt_w(X) := \inf \{wt_w(x) \mid x \in X^{\text{div}}\} \in \mathbb{R} \cup \{+\infty\}$

Def.  $x \in X^{\text{an}}$  is  $w$ -essential if  $wt_w(x) = wt_w(X)$

Def. Kontsevich-Sorbelen skeleton of  $(X, w)$   
 $\text{Sk}(X, w) := \{w\text{-essential points}\}$

Prop. The skeleton  $\text{Sk}(X, w)$  is birat. invariant:  
 if  $h: Y \rightarrow X$  birational morphism of connected, smooth  $K$ -schemes,  
 then  $h^{\text{an}}: Y^{\text{an}} \rightarrow X^{\text{an}}$  induces a homeo between  $\text{Sk}(Y, h^*w)$  and  $\text{Sk}(X, w)$ .

Pf:  $\exists$  Enough for  $Y = U \hookrightarrow X$ .

But  $U^{\text{div}} = X^{\text{div}} \checkmark$   
 $U^{\text{div}} = X^{\text{div}} \leftarrow$  because we can glue any model  $\mathcal{U}$  of  $U$  to  $X$  along  $\mathcal{U}_K$ , obtaining a model of  $X$ .  
 and  $wt_{h^*w}(y) = wt_w(h(y))$  for  $h$ -an open immersion (Fei's talk)

Assume now  $X$  - proper,  $\mathcal{X}$  - proper sncd-model, and  $w$  is regular on whd  
 We will give an description of  $\text{Sk}(X, w)$  in terms of  $\mathcal{X}$   $X$ !

Write  $\mathcal{X}_K = \sum_{i \in I} N_i E_i$

denote as usual  $\mu_i := m := \text{mult. of } E_i \text{ in } \text{div}_x(w)$ .

Def.  $\emptyset \neq J \subset I$ ,  $\xi :=$  a generic point of  $\bigcap_{j \in J} E_j$

$\xi$  is  $w$ -essential if  $\forall_j \frac{\mu_j}{N_j} = \min \{ \frac{\mu_i}{N_i} \mid i \in I \}$  and  $\xi$  is not in the zero locus of  $w$  on  $X$

Thm. With the above assumptions:  
 $\bullet$   $w|_w(X) = \min \{ w|_w(x) \mid x \in X^{\text{red}} \} = \min \{ w|_w(x) \mid x \in X^{\text{un}} \} = \min \{ \frac{\mu_i}{N_i} \mid i \in I \}$  (2)

$\bullet$   $Sk(X, w) = \{ x \in X^{\text{un}} \mid x \in w|_w(x) = w|_w(X) \}$

$\bullet$   $Sk(X, w) = \bigcup_{\text{open faces in } Sk(X)} \text{corresponding to the } w\text{-essential points of } X_w \subset Sk(X)$

In particular, it does not depend on  $X$  (only on  $X, w$ )  
 It is a non-empty compact subspace of  $X$ .

Pf: Observe that such a union is compact as if some open face belongs to it, also its open face of its boundaries belong and so on. This is because if some  $J \subset I$  gives a  $w$ -essential point of  $X_k$ , then any subset  $J' \subset J$  gives an  $w$ -essential point as well.

It is clear that  $\min \{ w|_w(x) \mid x \in X^{\text{un}} \} = \min \{ \mu_i / N_i \mid i \in I \}$  (we use the fact that  $w$  is regular here)

As  $X$  is proper, then  $X^{\text{un}} = X^{\text{red}}$   
 So for any  $x \in X^{\text{un}}$ ,  $w|_w(x) \geq w|_w(\rho_X(x))$  (we use [4.4.5, MN13], we use the fact that  $w$  is regular here)  
 so we can only look at  $x \in Sk(X)$

Here  $w|_w(x) = v_x(\text{div}_X(w)) + m(X_w, \text{red})$

$\geq \sum_{i \in I} \mu_i v_x(E_i) \geq \min \{ \frac{\mu_i}{N_i} \mid i \in I \}$

thanks to the assumption

that  $w$  is regular on whole  $X$

Explanation:  
 $\text{div}_X(w) = \sum N_i E_i + \text{"closure of div}_X(w)\text{"}$   
 $w\text{-regular} \Rightarrow v_x(\text{"closure of div}_X(w)\text{"}) \geq 0$   
 basically by definition of monomial pts

On the other hand, if  $x$  corresponds to an essential point, the two inequalities are equalities (that the first inequality is equality in this case was stated in Fei's talk. ~~This result is just~~ We use the fact that  $\xi \in \xi$  in the definition of  $w$ -essential point is not in the zero locus of  $w$ .)

To see that the second inequality becomes equality is just a computation from the definition

The above computation finishes the proof

as  $\sum \mu_i v_x(E_i) = \sum \frac{\mu_i}{N_i} N_i v_x(E_i) = \sum \frac{\mu_i}{N_i} N_i d_i \geq \min \{ \frac{\mu_i}{N_i} \mid i \in I \} \sum N_i d_i = \min \{ \frac{\mu_i}{N_i} \mid i \in I \}$

And the inequality is equality precisely for  $w$ -essential points.

Ex.  $X$  - smooth, prop. /  $k$ . Assume  $K_{X/k} = 0$  and  $X$  is a proper sucd model, such that  $w_{X/R}$  is trivial.  
 Then  $Sk(X) = \bigcup_{\text{closed faces of } Sk(X) \text{ corresp. to irred. components of } X_w} \text{of maximal multiplicity}$   
 In particular, if  $X_w$  is reduced,  $Sk(X) = Sk(X)$

Ex. It was important to assume that  $\omega$  has no poles.  
 For example, let  $X = \mathbb{P}^1_K = \text{Proj } K[x, y]$  and  $\omega = \mathcal{O}(-d)$ .  
 Then we see that  $\text{wt}_\omega(X) = -\infty$  and  $\text{Sk}(X, \omega) = \emptyset$ .  
 Indeed, take  $\tilde{X}$  as a model  $\tilde{X} = \mathbb{P}^1_R$  and blow up a point corresponding to  $y=0$  at  $\tilde{x}_k$ , then and denote this blow-up by  $Y_n$ .  
 Then  $\text{wt}_\omega(Y_n) = \nu_{Y_n}(\text{div}_X(\omega) + (\tilde{x}_k)_{\text{red}}) = -2n + n = -n$ ,  
 where  $Y_n$  is the divisorial pt corresponding to the exceptional divisor on  $Y_n$ .

2. The essential skeleton

$X$  - smooth, connected, proper  $K$ -variety

Def.  $\text{Sk}(X) := \bigcup_{\substack{\omega\text{-non-zero, regular,} \\ \text{pluricanonical forms on } X}} \text{Sk}(X, \omega) \subset X^{\text{an}}$  "the essential skeleton"

Prop. The essential skeleton is a birational invariant.

Pf:  $\omega$ 's are the same on both spaces  
 $\text{Sk}(X, \omega)$ 's are birat. invariants

$\text{Sk}(\tilde{X})$  are highly dependent on  $\tilde{X}$ .  $\text{Sk}(X)$  is an answer.

Remark. Thm. 5.3.4 of [MN13] ~~gives~~ is a hard theorem telling that in some cases  $\text{Sk}(X, \omega)$  is connected. We don't have time for that, however.

We now explain the relation of above theory with birational geometry. ~~adds~~ We only give a sketch.

3. The weight function of a coherent ideal sheaf

$F$  - field,  $\text{char } F = 0$   
 $X$  - connected, smooth  $F$ -variety  
 $\mathcal{I}$  - non-zero coherent ideal sheaf on  $X$   
 $\nu$  - divisorial valuation on  $F(X)$  s.t. has a center on  $X$  and this center  $\text{CZ}(\nu)$

Then  $\exists h: Y \rightarrow X$  a log res. of  $\mathcal{I}$  s.t. the ~~red~~ closure of the center of  $\nu$  on  $Y$  is a divisor  $E$ . We can and will assume, that  $h$  is ~~iso~~ ~~outside~~  $\mathcal{I}$  over  $X \setminus \text{CZ}(\nu)$  (we will always choose log resolutions in this way)

(Def. ~~center~~ center of  $\nu = \{x \in X \mid \mathcal{O}_{X, x} \subset \mathcal{O}_\nu\}$ ,  $\nu := \{x \in F(X) \mid \text{div}(x) \geq 0\}$   
 It is an irreducible closed subset of  $X$  or it is empty)

Then  $\nu = r \cdot \text{ord}_E$

Denote  $N := \text{mult. of } E \text{ in } Z(\mathcal{I} \mathcal{O}_Y)$ ,  $\mu^{-1} := \text{mult. of } E \text{ in } K_Y$

$\text{wt}_X(\nu) := \frac{\mu}{N}$  "weight of  $\nu$  w.r.t.  $X$ ."

$\min_{\nu \text{ as above}} \text{wt}_X(\nu) = \text{lct}(X, \mathcal{I}) \leftarrow \text{log canonical threshold}$

Fact:  $\text{lct}$  can be computed with a single resolution; (4)  
 $Y \rightarrow X$  any log resolution of  $X$ , then if  $Z(\mathbb{I}\mathcal{O}_Y) = \sum_{i \in I} N_i E_i$   
 and  $K_Y/X = \sum_{i \in I} (\mu_i - 1) E_i$   
 then  $\text{lct} = \min \{ \frac{\mu_i}{N_i} \mid i \in I \}$

Def (not really important) "quasi-monomial valuation with center in  $Z(\mathbb{I})$ "  
 $\pi: Y \rightarrow X$  k-nat and  $Y$ -regular and connected  
 $y = (y_1, \dots, y_r)$  is a syst. of alg. coords at a point  $\eta \in Y$   
 To every  $\alpha = (\alpha_1, \dots, \alpha_r) \in \mathbb{R}_{\geq 0}^r$  one can associate a valuation on  $\widehat{F(X)}$ :  
 if  $f \in \mathcal{O}_{Y, \eta}$  is written in  $\widehat{\mathcal{O}_{Y, \eta}}$  as  $f = \sum_{\beta \in \mathbb{Z}^r} c_{\beta} y^{\beta}$ , with each  $c_{\beta} \in \widehat{\mathcal{O}_{Y, \eta}}$   
 either zero or a unit, then  
 $\text{val}_{\alpha}(f) = \min \{ \langle \alpha, \beta \rangle \mid c_{\beta} \neq 0 \}$

If  $\nu$  is a quasi-monomial val., then we set  
 $\text{wt}_X(\nu) = \frac{\nu(K_Y/X + Z(\mathbb{I}\mathcal{O}_Y))}{\nu(Z(\mathbb{I}\mathcal{O}_Y))}$   
 where  $h: Y \rightarrow X$  is a log resolution of  $X$  s.t.  $(Y, Z(\mathbb{I}\mathcal{O}_Y)_{\text{red}})$   
 is adapted to  $\nu$ , i.e.  
 $\nu$  can be described at some point  $\eta \in Y$  with respect  
 to coords  $y_1, \dots, y_r$  such that each  $y_i$  defines at  $\eta$  an  
 irreducible component of  $Z(\mathbb{I}\mathcal{O}_Y)_{\text{red}}$

and where, for every effective divisor  $D$  on  $Y$ , we write  
 $\nu(D) = \min \{ \nu(f) \mid f \in \mathcal{O}(-D) \}$   
 with  $\xi$  the center of  $\nu$  on  $Y$ .

The defn does not depend on the choice of  $h$ .

$Y$  - sch. of f.b.  $/X$   
 $\hat{Y} :=$  the formal  $\mathbb{I}\mathcal{O}_Y$ -adic completion of  $Y$ .

There is " $\hat{X}_{\eta}$ " (see [Tho7, 1.7])  
 analytic space  $/F$ ,  $F$  with trivial abs. val.  
 $\hat{X}_{\eta} = (\text{generic fiber of } \hat{X}) \rightarrow \text{points that lie on the analyt. of } Z(\mathbb{I}) \subset \hat{X}$

- $\text{red } \hat{X} : \hat{X}_{\eta} \rightarrow Z(\mathbb{I})$
- {quasi-monomial valuations} on  $X$  with center in  $Z(\mathbb{I}) \subset \hat{X}$  "quasi-monomial points"
- each log resol.  $Y \rightarrow X$  of  $X$  gives rise to  $\text{Sk}(\hat{Y}) \subset \hat{X}_{\eta}$   
 quasi-monomial pts  $\xrightarrow{\nu_x}$  such that  $\nu$  is adapted to  $\nu_x$
- contraction  $g_Y : \hat{X}_{\eta} \rightarrow \text{Sk}(\hat{Y})$  that can be extended to a strong deform.
- $\text{wt}_X(x) \geq \text{wt}_X(g_Y(x))$  for every quasi-monomial pt  $x$  on  $\hat{X}_{\eta}$
- define  $\text{wt}_X : \hat{X}_{\eta} \rightarrow \mathbb{R} \cup \{+\infty\}$ ,  $x \mapsto \text{wt}_X(x) = \sup_{\substack{h: Y \rightarrow X \\ \text{log resol. of } X}} \text{wt}_X(g_Y(x))$

4. Comparison of the weight functions (we only give an overview of what is true)

$R := F[[t]]$ ,  $K := F((t))$

$X$  - conn., gm. of dim  $n+1$ ,  $F$ -variety,  $\mathbb{Q}$ -coherent id. sh. on  $X$ .

$Z(\mathcal{I}\mathcal{O}_Y) = \sum_{i \in I} N_i E_i$ ,  $K_{Y/X} = \sum_{i \in I} (\mu_i - 1) E_i$

Let  $\xi \in Z(\mathcal{I}\mathcal{O}_Y)$ . locally at  $\xi$  (say at  $u \in U \ni \xi$ )  $\mathcal{I}\mathcal{O}_U$  is gen. by a regular function  $f$ .  
 (by a defn of log resolution of  $(X, Y)$   $\mathcal{I}\mathcal{O}_Y$  defines a divisor)

$f: U \rightarrow \mathbb{A}_F^1 = \text{Spec } F[t]$   
 define  $U$  by  $U \rightarrow V$   
 $\text{Spec } R \rightarrow \text{Spec } F[t]$

$U$  is an mcd model for  $U_K$

Choose some volume form  $\phi \in \Gamma(V, \Omega_{V/\mathbb{A}^1}^{n+1})$ ,  $U$  - a nbhd of  $h(\xi)$   
 shrink  $U$  and get  $U \subset h^{-1}(V)$  and  $U \setminus Z(f)$  smooth over  $V$

~~$\phi$  on  $V$  induces a volume form on  $U \setminus Z(f)$~~

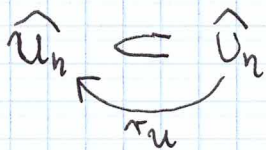
$h^* \phi|_{(U \setminus Z(f))}$  induces a volume form  $\omega \in \Omega_{U/\mathbb{A}^1}^n(U \setminus Z(f))$   
 that is unique that satisfy  $\omega \wedge df = h^* \phi$  in  $\Omega_{U/\mathbb{A}^1}^{n+1}(U \setminus Z(f))$

It induces a volume form  $\omega \in \Omega_{U_K}^n$  (some open)

(\*) we have  $\text{div}_U(\omega) = \sum_{i \in I} (\mu_i - 1)(E_i \cap U)$  (see [NS07, lem. 9.6])

$\text{red}_\varphi^{-1} \hat{U}_Y$  can be identified with the subspace of  $\hat{U}_n := \text{red}_\varphi^{-1}(U \cap Z(\mathcal{I}\mathcal{O}_Y)) \subset \hat{X}_n$

This embedding has an retraction



given by:  $r_U(x)$  is the unique point in  $\text{red}_\varphi^{-1}(\text{red}_\varphi(x))$  such that

$\forall_{\text{geom. red}_{\varphi(x)}} \log(r_U(x)) = \log(x) + 1/n \cdot \log(x)$

One can prove using (\*) that

$\text{wt}_x|_{\mathcal{O}_n} = \text{wt}_\omega \circ r_U$

where  $\text{wt}_\omega$  is associated to  $(U_K, \omega)$

References: [MN13] Mustata, Nicaise Height functions on non-archim. m.  
 [Th07] A. Thuillier. Geometrie toroidale et geometrie analytique.  
 [NS07] Nicaise, Sebag The motivic zeta function