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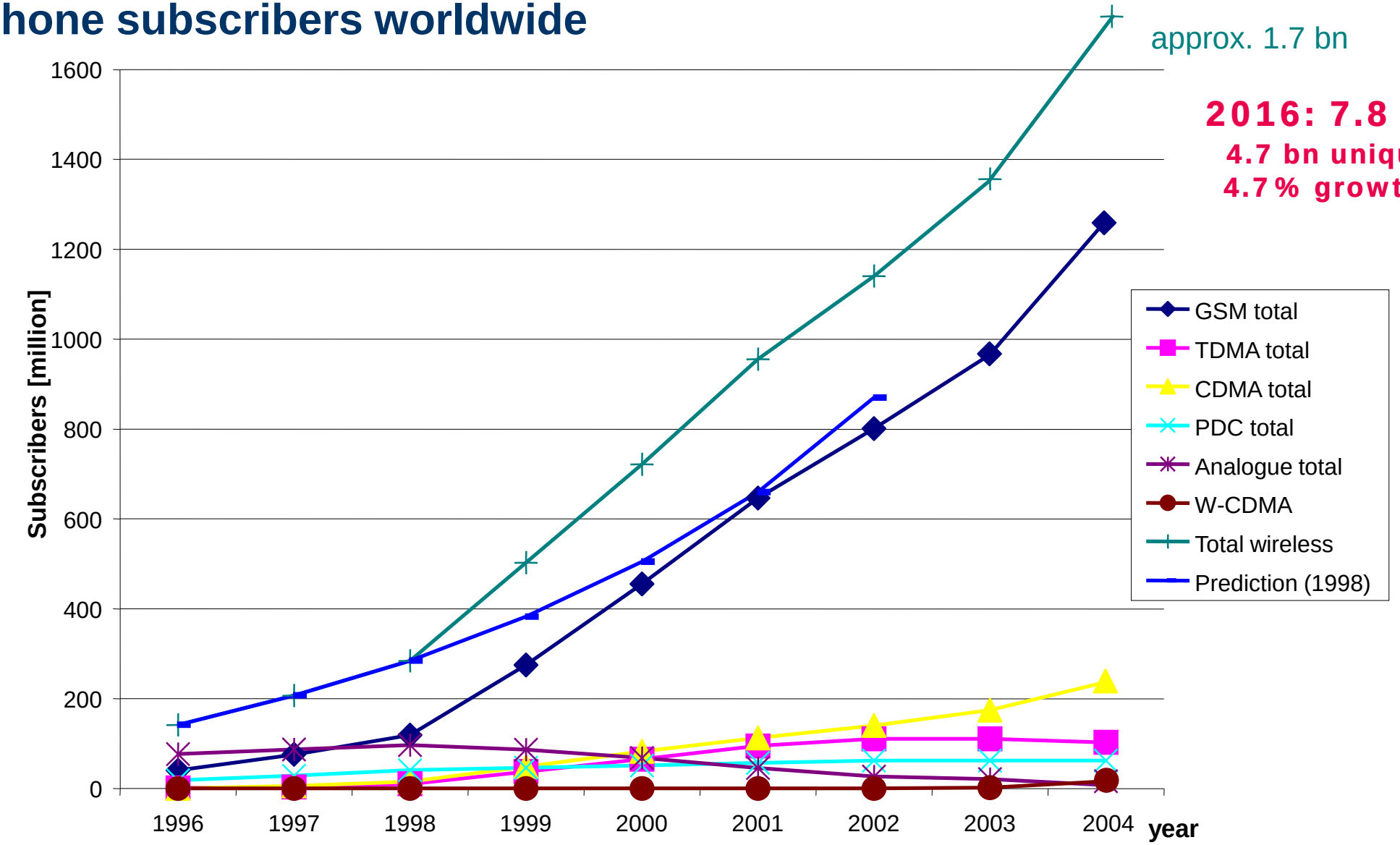
Mobile Communications
Chapter 4: Wireless Telecommunication Systems

Market
GSM
TETRA
UMTS/IMT-2000
LTE/LTE advanced

Mobile phone subscribers worldwide

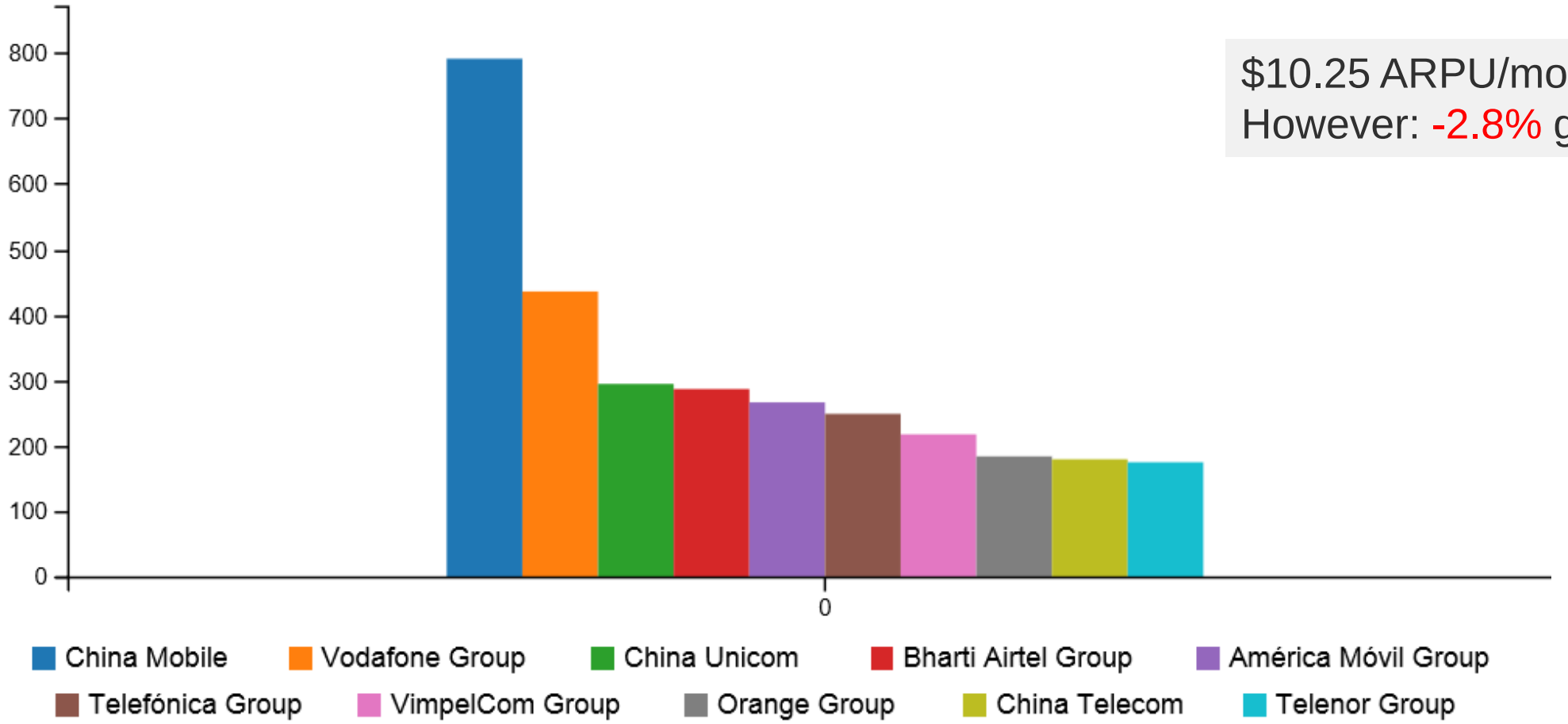


Top mobile markets (2013/2014)

The 100 million club: the top 14 mobile markets by number of subscriptions								
	Country	Mobile subscriptions in millions	Population in millions source: World bank	% of population	3G/4G subscriptions in millions	% of population	Sources: subs; 3G subs	Last update
	World	6,587.4m	7,046m	93.5%	1,876.6m	26.6%	Informa	06/13
1	China	1,246.3m	1,351m	92.3%	448.3m	33.2%	China Mobile China Unicom China Telecom	02/14
2	India	Active: 772.6m; total: 893.3m	1,237m	62.5%	41.95m	3.4%	TRAI	01/14
3	United States	345.2m	313.9m	110.0%	287.4m	91.6%	Informa	06/13
4	Indonesia	285.0m	246.9m	115.4%	45.5m	18.4%	Informa	06/13
5	Brazil	272.6m	198.7m	137.2%	110.2m	55.5%	Anatel/Teleco	02/14
6	Russia	237.1m	143.5m	165.2%	41.2m	28.7%	Informa	06/13
7	Japan	137.9m	127.6m	108.0%	108.8m	85.3%	TCA	02/14
8	Vietnam	127.7m	88.8m	143.8%	18.0m	20.3%	Informa	06/13
9	Pakistan	126.1m	179.2m	70.4%	N/A	N/A	Informa	06/13
10	Nigeria	Active: 128.6m; total: 175.0m	168.8m	76.2%	12.7m	7.5%	NCC Informa	02/14 06/13
11	Germany	113.6m	81.9m	138.7%	46.0m	56.2%	BNA Informa	06/13 06/13
12	Bangladesh	116.0m	154.7m	75.0%	34.6m*	22.3%*	BTRC Informa	09/13 06/13
13	Philippines	109.5m	96.7m	113.2%	16.6m	17.1%	Informa	06/13
14	Mexico	102.7m	120.8m	117.6%	19.8m	16.4%	Informa	06/13
Source: Paul Lambert, Informa (Q2 2013); national telecoms regulators * Bangladesh: mobile Web subscribers (not necessarily 3G)							via: mobiThinking	

Source: mobithinking.com

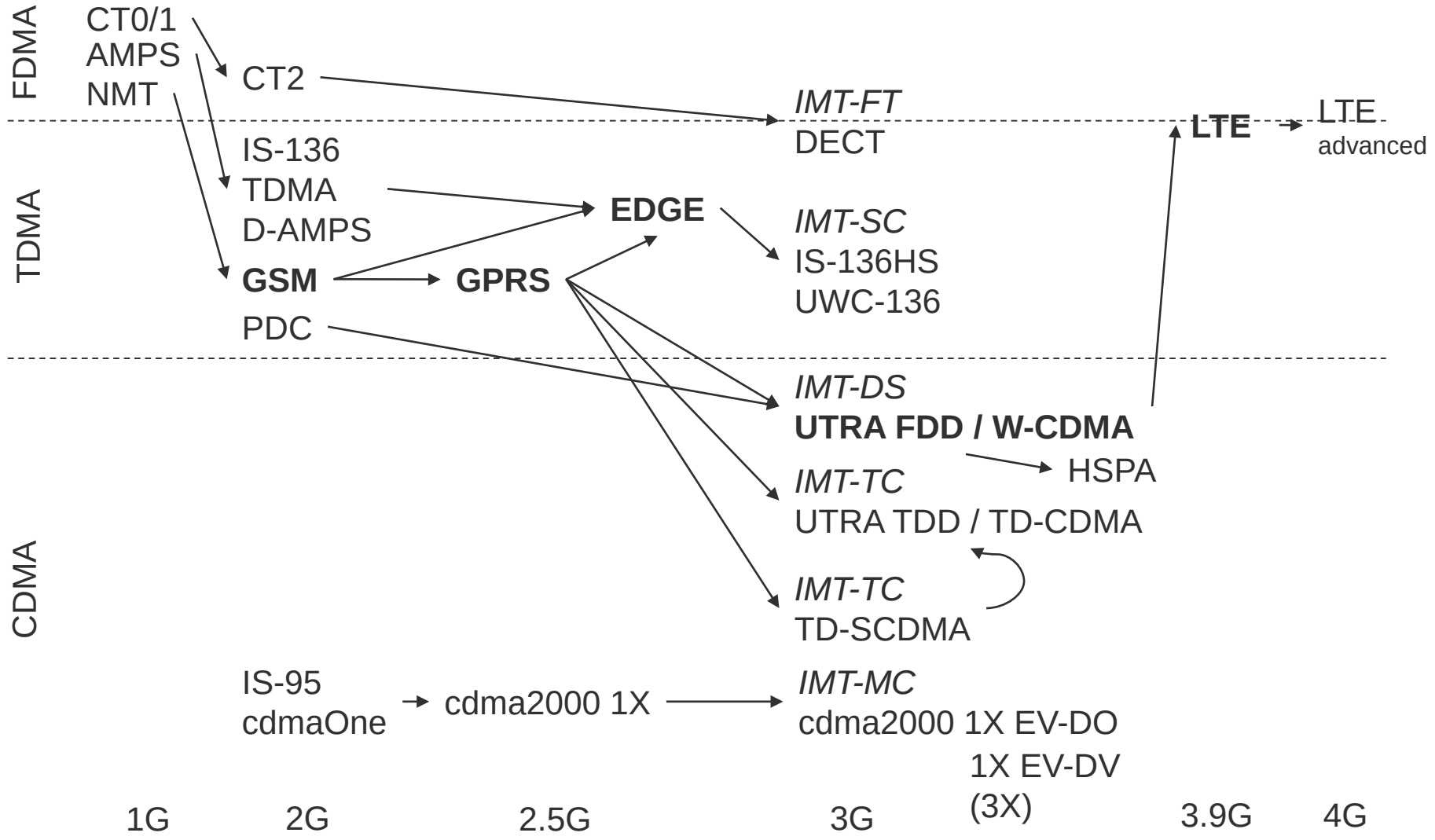
World largest mobile network operators 2014



$10.25 ARPU/month
However: -2.8% growth/year

Source: GSMAIntelligence

Development of mobile telecommunication systems



Some press news…

16th April 2008: The GSMA, the global trade group for the mobile industry, today announced that total connections to GSM mobile communications networks have now passed the **3 Billion** mark globally. The third billion landmark has been reached just four years after the GSM industry surpassed its first billion, and just two years from the second billionth connection. The 3 Billion landmark has been surpassed just 17 years after the first GSM network launch in 1991. Today more than 700 mobile operators across 218 countries and territories of the world are adding **new connections at the rate of 15 per second, or 1.3 million per day**.

11 February 2009: The GSMA today announced that the mobile world has celebrated its **four billionth connection**, according to Wireless Intelligence, the GSMA's market intelligence unit. This milestone underscores the continued strong growth of the mobile industry and puts the global market on the path to reach a staggering **six billion connections by 2013**.

By **2014 3.4bn** people have **broadband**, 80% mobile!

Some more data…

Worldwide device shipments by segment (millions of units) according to Gartner Gartner			
Type of device	2013 sales	2014 sales	2015 sales
PCs desktop / laptop	296.1	276.7	263.0
Tablets (ultramobile)	195.4	270.7	349.1
Mobile phones	1,807.0	1,895.1	1,952.9
Other ultramobiles (hybrid and clamshell)	21.1	37.2	62.0
Total	**2,319.6**	**2,479.8**	**2,627.0**
Source: © Gartner (March 2014)			

Top 10 mobile phone manufacturers in 2013 (millions of units) according to Gartner				
Vendor	2013 sales	2013 market share	2012 sales	2012 market share
Samsung	444.4	24.6%	384.6	22.0%
Nokia	250.8	13.9%	333.9	19.1%
Apple	150.8	8.3%	130.1	7.5%
LG	69.0	3.8%	58.0	3.3%
ZTE	59.9	3.3%	67.3	3.9%
Huawei	53.3	2.9%	47.3	2.7%
TCL	49.5	2.7%	37.2	2.1%
Lenovo	45.3	2.5%	28.2	1.6%
Sony	37.6	2.1%	31.4	1.8%
Yulong	32.6	1.8%	18.6	1.1%
Others	613.7	34.0%	609.6	34.9%
TOTAL	**1,807.0**	**100%**	**1,746.2**	**100%**
Source: © Gartner (Feb 2014)				

Source: mobithinking.com

How does it work?

How can the system locate a user?

Why don’t all phones ring at the same time?

What happens if two users talk simultaneously?

Why don’t I get the bill from my neighbor?

Why can an Australian use her phone in Berlin?

Why can’t I simply overhear the neighbor’s communication?

How secure is the mobile phone system?

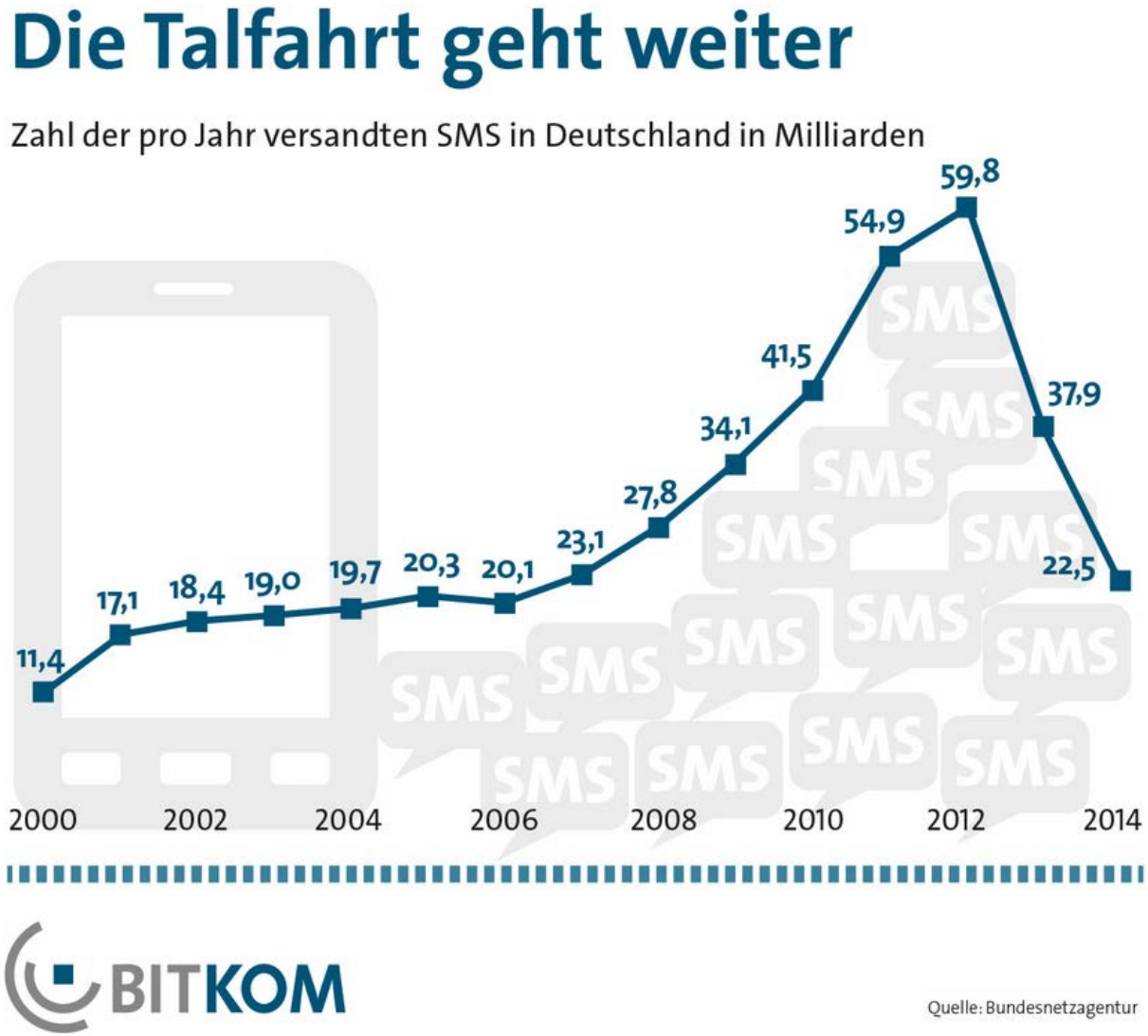
What are the key components of the mobile phone network?

GSM: Overview

GSM
- formerly: Groupe Spéciale Mobile (founded 1982)
- now: Global System for Mobile Communication
- Pan-European standard (ETSI, European Telecommunications Standardisation Institute)
- simultaneous introduction of essential services in three phases (1991, 1994, 1996) by the European telecommunication administrations (Germany: D1 and D2)
  - ➔ seamless roaming within Europe possible

Today many providers all over the world use GSM (>220 countries in Asia, Africa, Europe, Australia, America)
- more than 4,2 billion subscribers in more than 700 networks
- more than 75% of all digital mobile phones use GSM
- over 29 billion SMS in Germany in 2008, (> 10% of the revenues for many operators)
- [be aware: these are only rough numbers…]
- See e.g. www.gsmworld.com

Good bye SMS?


Die Talfahrt geht weiter
Zahl der pro Jahr versandten SMS in Deutschland in Milliarden
11,4
17,1
18,4
19,0
19,7
20,3
20,1
23,1
27,8
34,1
41,5
54,9
59,8
37,9
22,5
2000
2002
2004
2006
2008
2010
2012
2014
BITKOM
Quelle: Bundesnetzagentur

Performance characteristics of GSM (wrt. analog sys.)

Communication
mobile, wireless communication; support for voice and data services

Total mobility
international access, chip-card enables use of access points of different providers

Worldwide connectivity
one number, the network handles localization

High capacity
better frequency efficiency, smaller cells, more customers per cell

High transmission quality
high audio quality and reliability for wireless, uninterrupted phone calls at higher speeds (e.g., from cars, trains)

Security functions
access control, authentication via chip-card and PIN

Disadvantages of GSM

There is no perfect system!!

- no end-to-end encryption of user data
- no full ISDN bandwidth of 64 kbit/s to the user, no transparent B-channel

reduced concentration while driving

electromagnetic radiation

abuse of private data possible

roaming profiles accessible

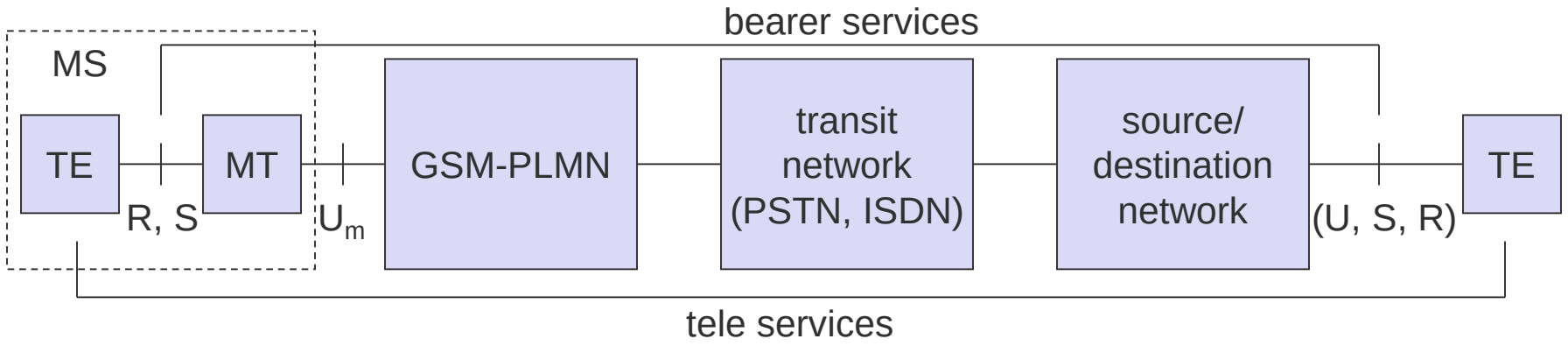
high complexity of the system

several incompatibilities within the GSM standards

GSM: Mobile Services

GSM offers
- several types of connections
  - voice connections, data connections, short message service
- multi-service options (combination of basic services)

Three service domains
- Bearer Services
- Telematic Services
- Supplementary Services



Bearer Services

Telecommunication services to transfer data between access points

Specification of services up to the terminal interface (OSI layers 1-3)

Different data rates for voice and data (original standard)

- data service (circuit switched)
  - synchronous: 2.4, 4.8 or 9.6 kbit/s
  - asynchronous: 300 - 1200 bit/s
- data service (packet switched)
  - synchronous: 2.4, 4.8 or 9.6 kbit/s
  - asynchronous: 300 - 9600 bit/s

Today (classical GSM!): data rates of approx. 50 kbit/s possible – will be covered later! (far more with new modulation)

Tele Services I

Telecommunication services that enable voice communication via mobile phones

All these basic services have to obey cellular functions, security measurements etc.

Offered services

- mobile telephony
  - primary goal of GSM was to enable mobile telephony offering the traditional bandwidth of 3.1 kHz
- Emergency number
  - common number throughout Europe (112); mandatory for all service providers; free of charge; connection with the highest priority (preemption of other connections possible)
- Multinumbering
  - several ISDN phone numbers per user possible

Tele Services II

Additional services

- Non-Voice-Teleservices
  - group 3 fax
  - voice mailbox (implemented in the fixed network supporting the mobile terminals)
  - electronic mail (MHS, Message Handling System, implemented in the fixed network)
  - ...

- Short Message Service (SMS)
  - alphanumeric data transmission to/from the mobile terminal (160 characters) using the signaling channel, thus allowing simultaneous use of basic services and SMS
  - (almost ignored in the beginning then the most successful add-on! – but more and more replaced by IP-based messaging)

Supplementary services

Services in addition to the basic services, cannot be offered stand-alone

Similar to ISDN services besides lower bandwidth due to the radio link

May differ between different service providers, countries and protocol versions

Important services

- identification: forwarding of caller number
- suppression of number forwarding
- automatic call-back
- conferencing with up to 7 participants
- locking of the mobile terminal (incoming or outgoing calls)
- ...

Architecture of the GSM system

GSM is a PLMN (Public Land Mobile Network)

several providers setup mobile networks following the GSM standard within each country

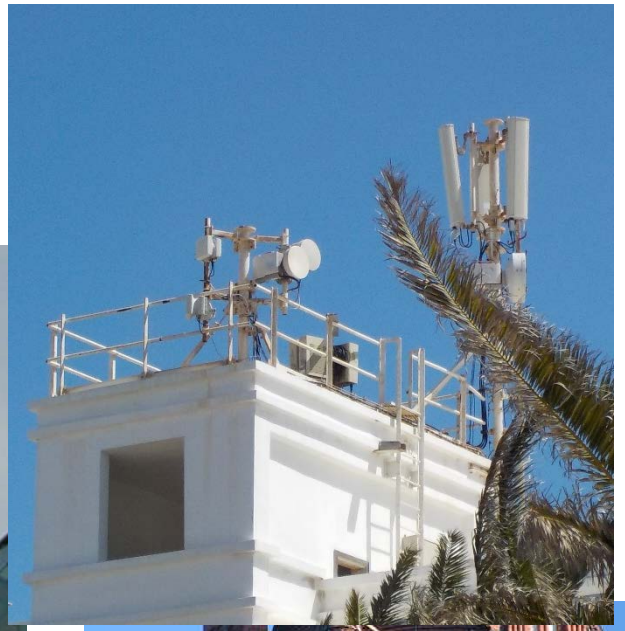
components
- MS (mobile station)
- BS (base station)
- MSC (mobile switching center)
- LR (location register)

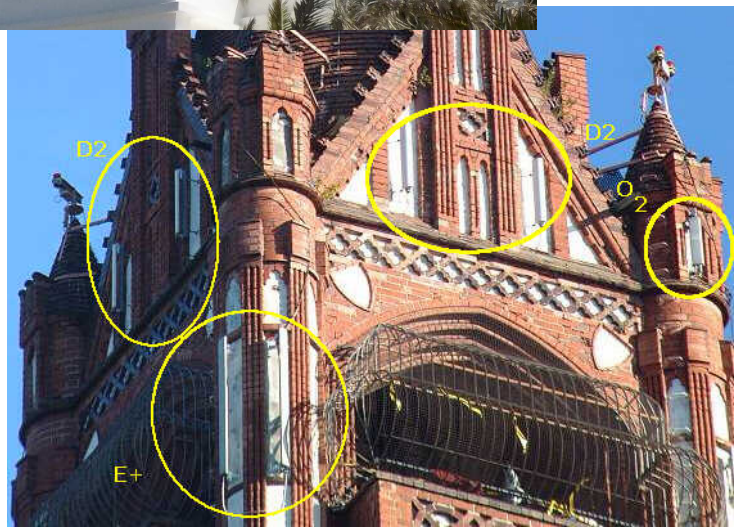
subsystems
- RSS (radio subsystem): covers all radio aspects
- NSS (network and switching subsystem): call forwarding, handover, switching
- OSS (operation subsystem): management of the network

Ingredients 1: Mobile Phones, PDAs & Co.

The visible but smallest part of the network!

Ingredients 2: Antennas





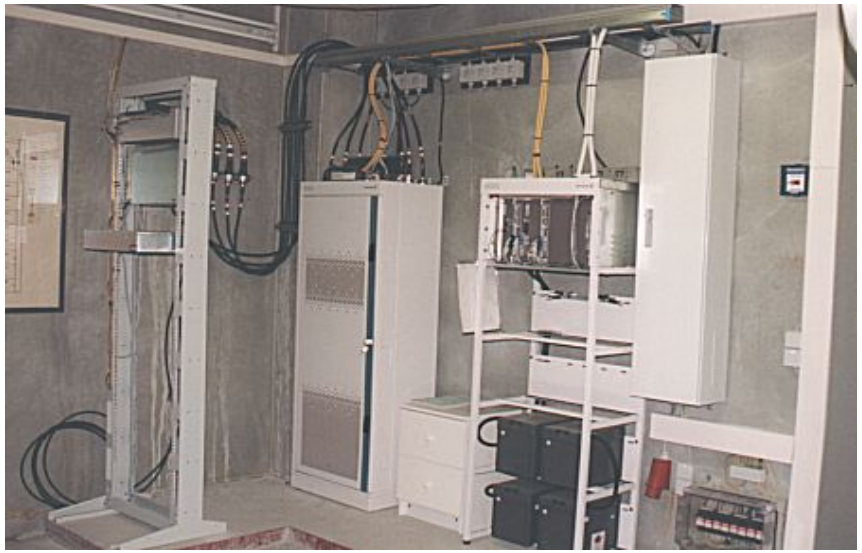
Still visible – cause many discussions…

Ingredients 3: Infrastructure 1

Microwave links

Base Stations

Cabling



Ingredients 3: Infrastructure 2

Switching units

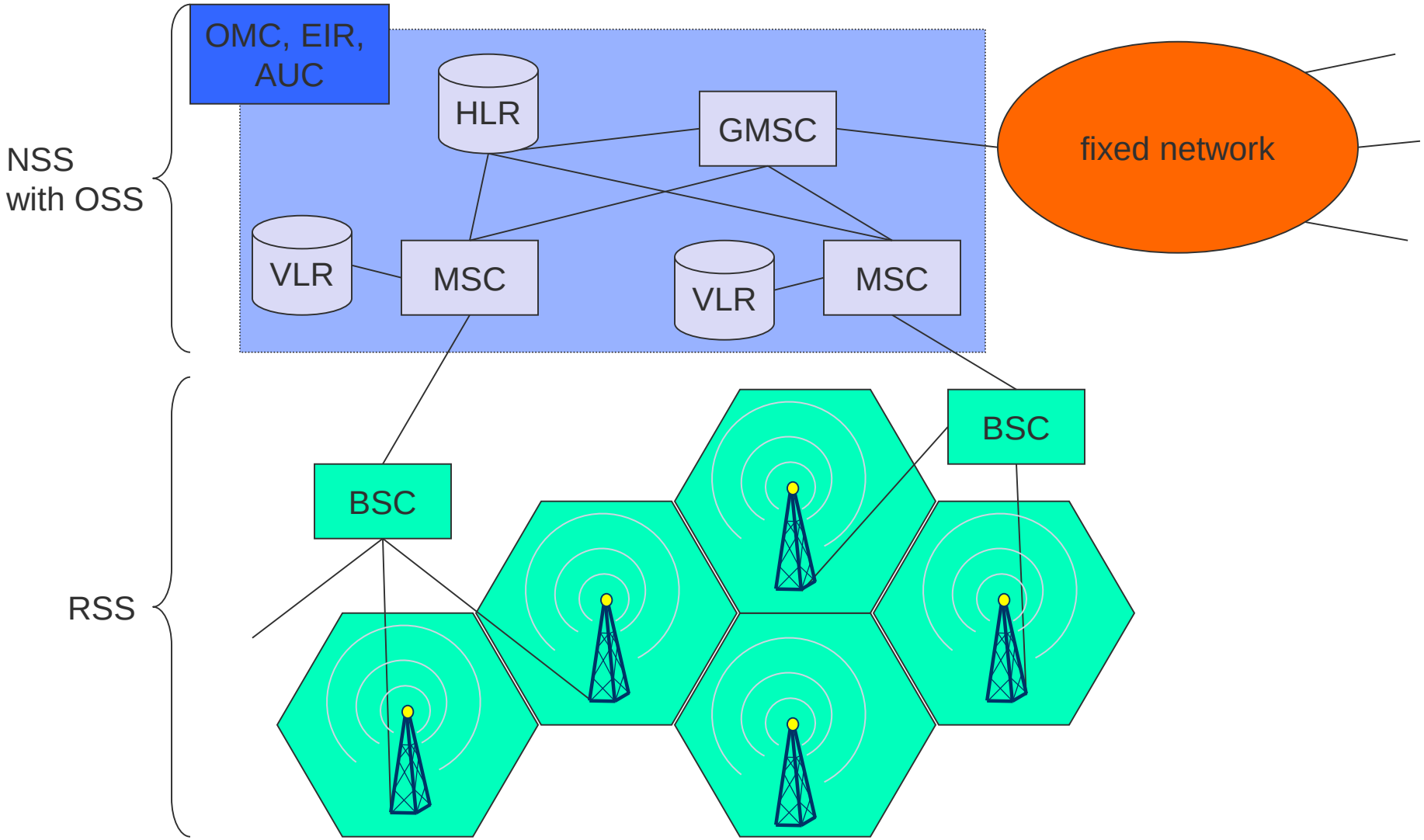
Management

Data bases

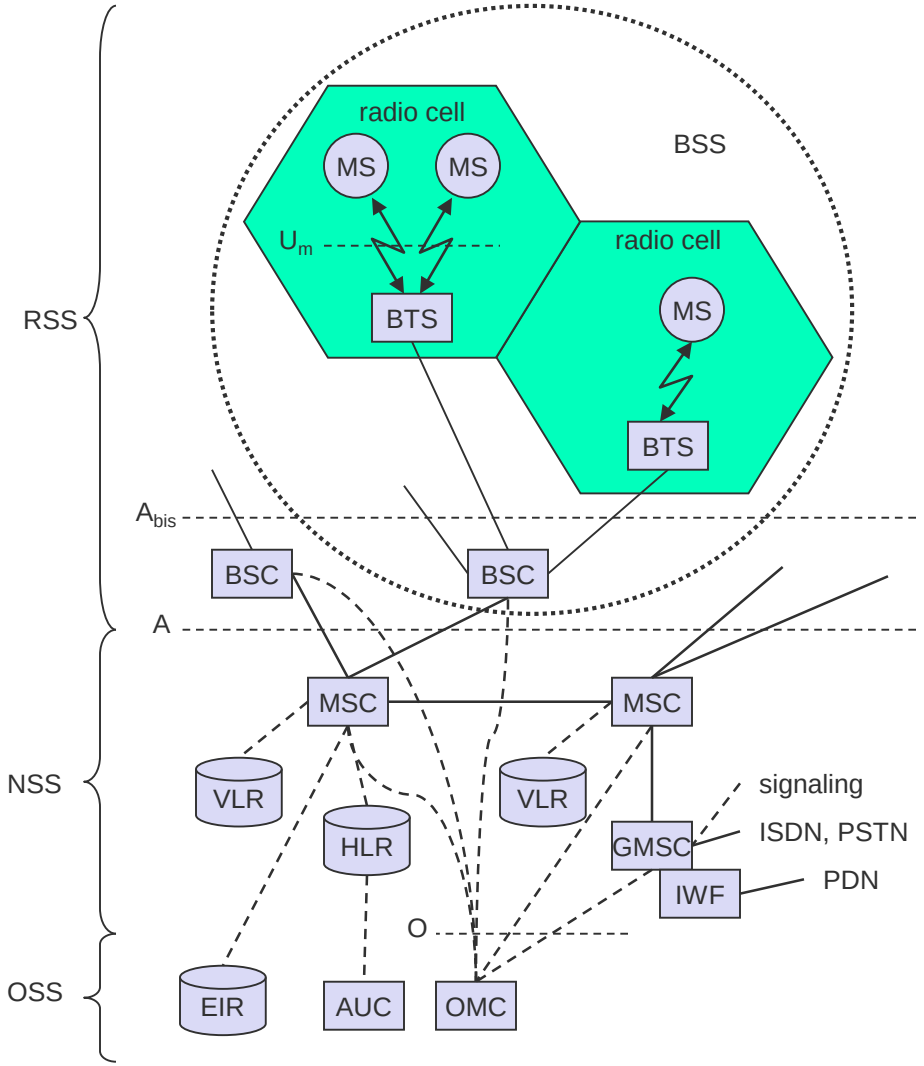
Monitoring

Not „visible“, but comprise the major part of the network (also from an investment point of view…)

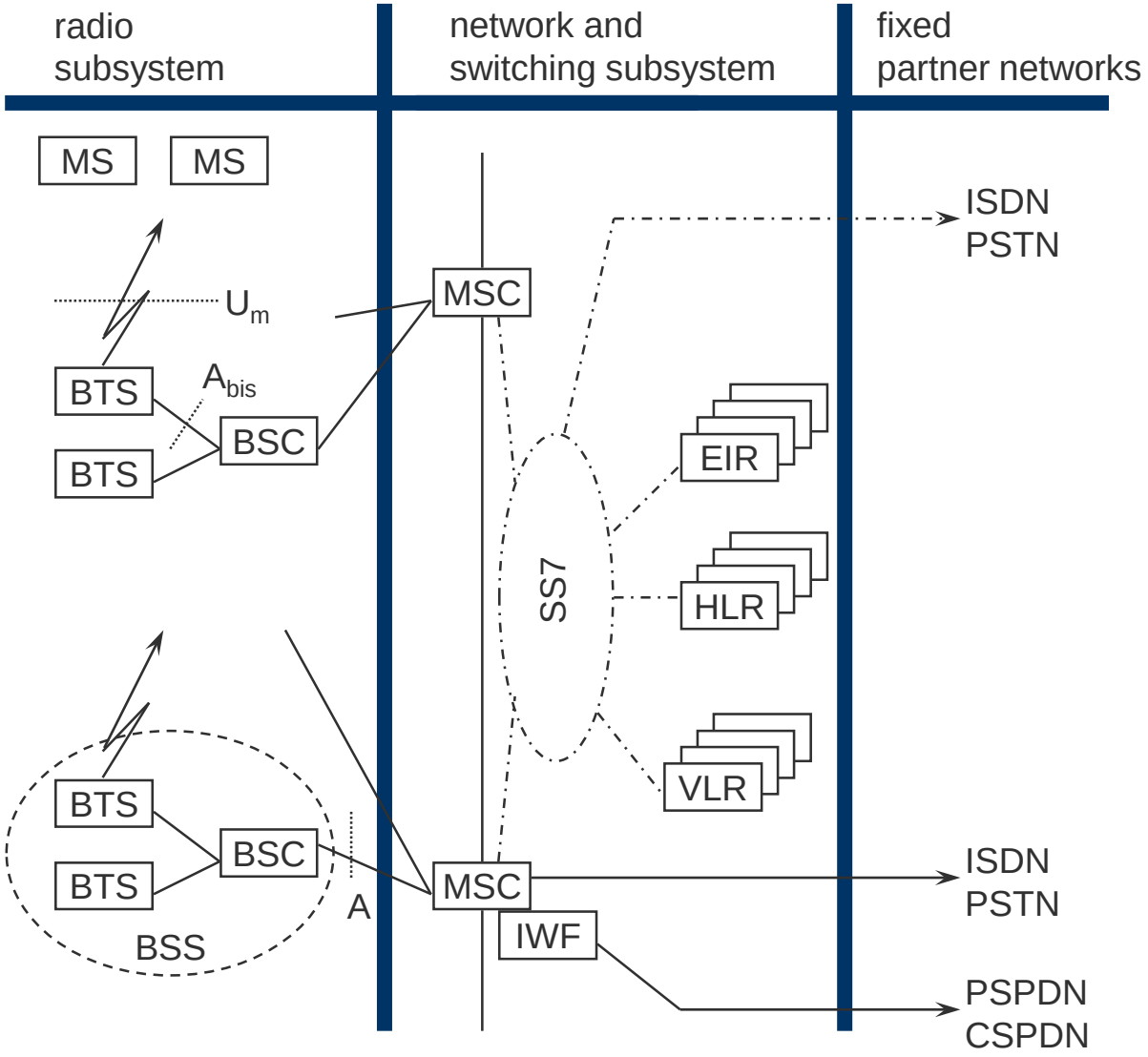
GSM: overview



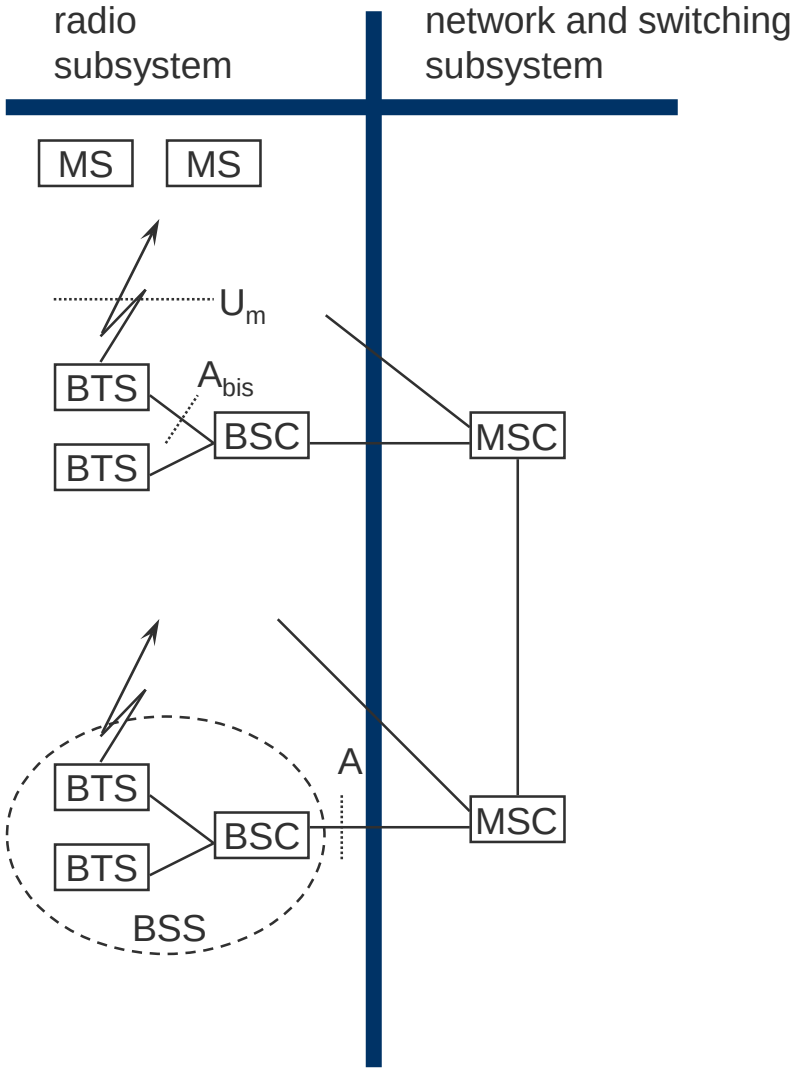
GSM: elements and interfaces



GSM: system architecture



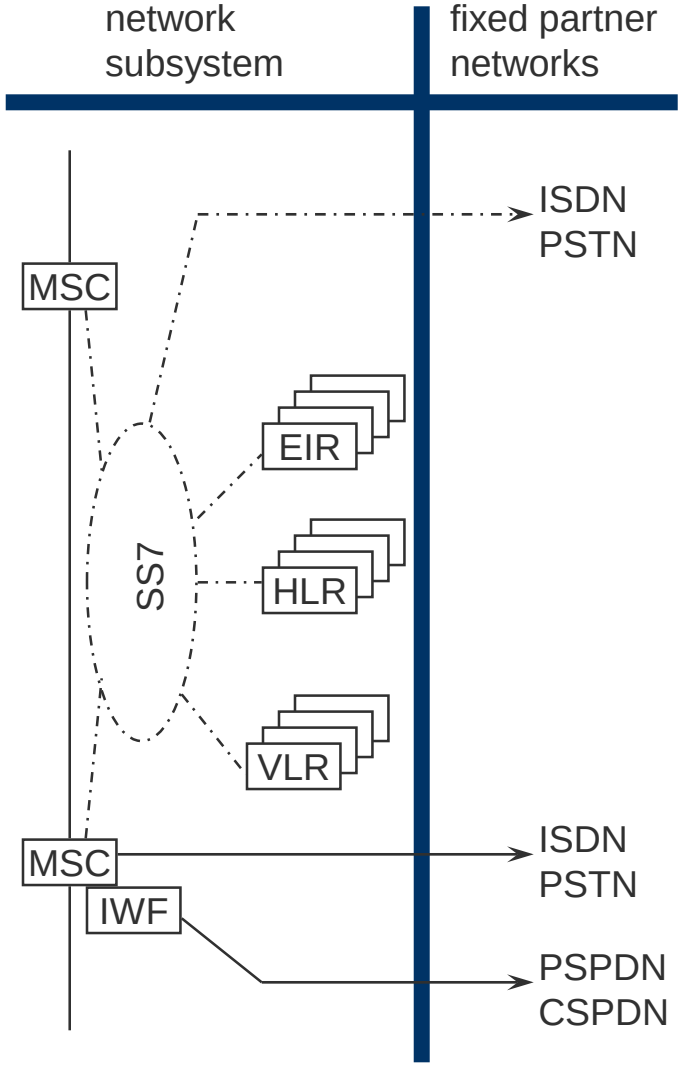
System architecture: radio subsystem



Components
- *MS* (Mobile Station)
- *BSS* (Base Station Subsystem): consisting of
  - *BTS* (Base Transceiver Station): sender and receiver
  - *BSC* (Base Station Controller): controlling several transceivers

Interfaces
- U_m : radio interface
- A_{bis} : standardized, open interface with 16 kbit/s user channels
- *A*: standardized, open interface with 64 kbit/s user channels

System architecture: network and switching subsystem



- Components
  - MSC (Mobile Services Switching Center):
  - IWF (Interworking Functions)
  - ISDN (Integrated Services Digital Network)
  - PSTN (Public Switched Telephone Network)
  - PSPDN (Packet Switched Public Data Net.)
  - CSPDN (Circuit Switched Public Data Net.)

- Databases
  - HLR (Home Location Register)
  - VLR (Visitor Location Register)
  - EIR (Equipment Identity Register)

Radio subsystem

The Radio Subsystem (RSS) comprises the cellular mobile network up to the switching centers

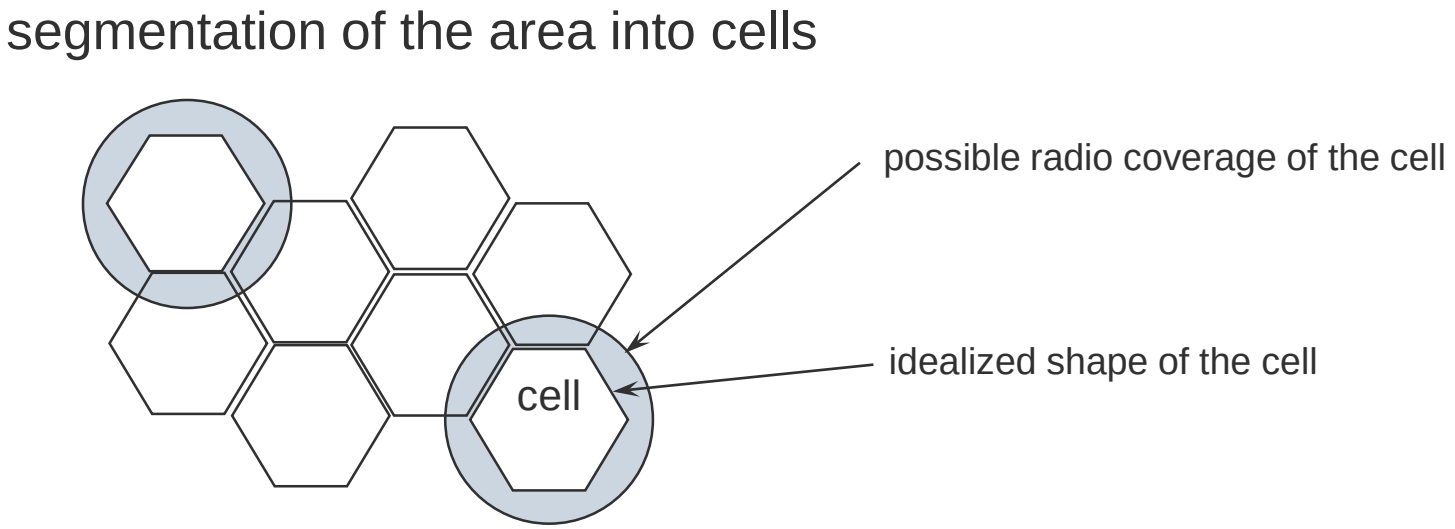
Components

- Base Station Subsystem (BSS):
  - Base Transceiver Station (BTS): radio components including sender, receiver, antenna - if directed antennas are used one BTS can cover several cells
  - Base Station Controller (BSC): switching between BTSs, controlling BTSs, managing of network resources, mapping of radio channels (U_m) onto terrestrial channels (A interface)

  - BSS = BSC + sum(BTS) + interconnection

- Mobile Stations (MS)

GSM: cellular network



- use of several carrier frequencies
- not the same frequency in adjoining cells
- cell sizes vary from some 100 m up to 35 km depending on user density, geography, transceiver power etc.
- hexagonal shape of cells is idealized (cells overlap, shapes depend on geography)
- if a mobile user changes cells handover of the connection to the neighbor cell

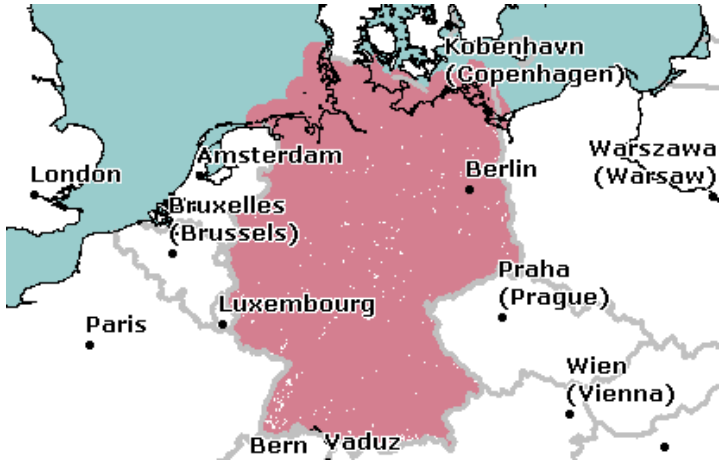
GSM frequency bands (examples)

Type	Channels	Uplink [MHz]	Downlink [MHz]
GSM 850	128-251	824-849	869-894
GSM 900 classical extended	0-124, 955-1023 124 channels +49 channels	876-915 890-915 880-915	921-960 935-960 925-960
GSM 1800	512-885	1710-1785	1805-1880
GSM 1900	512-810	1850-1910	1930-1990
GSM-R exclusive	955-1024, 0-124 69 channels	876-915 876-880	921-960 921-925

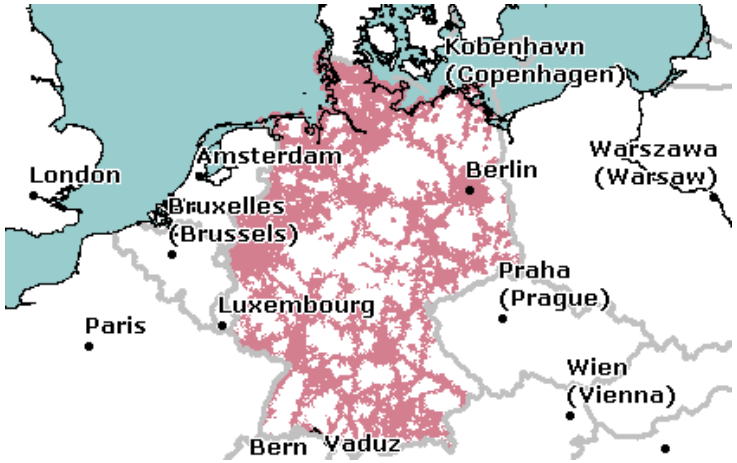
- Additionally: GSM 400 (also named GSM 450 or GSM 480 at 450-458/460-468 or 479-486/489-496 MHz)
- Please note: frequency ranges may vary depending on the country!
- Channels at the lower/upper edge of a frequency band are typically not used

Example coverage of GSM networks (www.gsmworld.com)

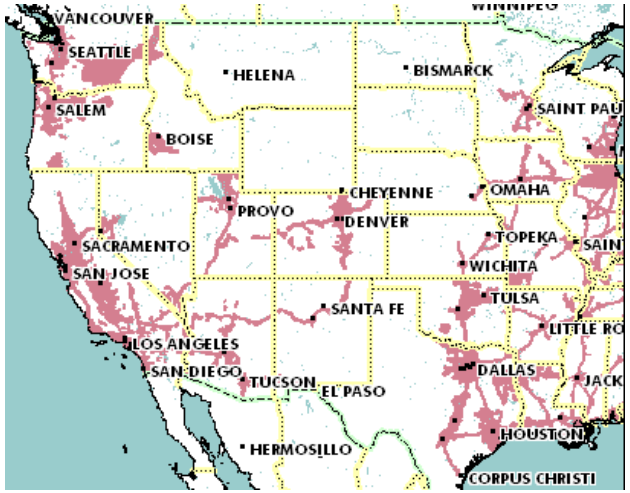
T-Mobile (GSM-900/1800) Germany



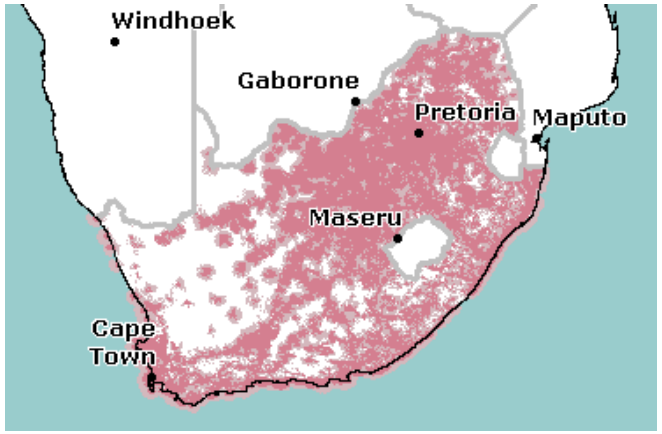
O_2 (GSM-1800) Germany



AT&T (GSM-850/1900) USA



Vodacom (GSM-900) South Africa



Base Transceiver Station and Base Station Controller

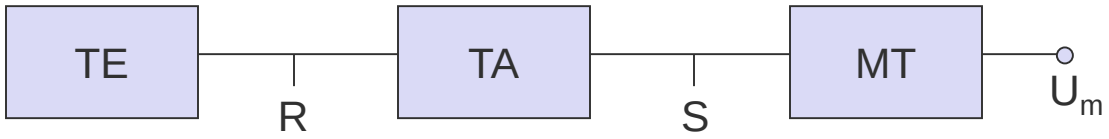
Tasks of a BSS are distributed over BSC and BTS
BTS comprises radio specific functions
BSC is the switching center for radio channels

Functions	BTS	BSC
Management of radio channels		X
Frequency hopping (FH)	X	X
Management of terrestrial channels		X
Mapping of terrestrial onto radio channels		X
Channel coding and decoding	X	
Rate adaptation	X	
Encryption and decryption	X	X
Paging	X	X
Uplink signal measurements	X	
Traffic measurement		X
Authentication		X
Location registry, location update		X
Handover management		X

Mobile station

Terminal for the use of GSM services

A mobile station (MS) comprises several functional groups
- -MT (Mobile Terminal):
  - -offers common functions used by all services the MS offers
  - -corresponds to the network termination (NT) of an ISDN access
  - -end-point of the radio interface (Um)
- -TA (Terminal Adapter):
  - -terminal adaptation, hides radio specific characteristics
- -TE (Terminal Equipment):
  - -peripheral device of the MS, offers services to a user
  - -does not contain GSM specific functions
- -SIM (Subscriber Identity Module):
  - -personalization of the mobile terminal, stores user parameters – more and more replaced by eSIM



Network and switching subsystem

NSS is the main component of the public mobile network GSM
-switching, mobility management, interconnection to other networks, system control

Components
-Mobile Services Switching Center (MSC)
controls all connections via a separated network to/from a mobile terminal within the domain of the MSC - several BSC can belong to a MSC
-Databases (important: scalability, high capacity, low delay)
-Home Location Register (HLR)
central master database containing user data, permanent and semi-permanent data of all subscribers assigned to the HLR (one provider can have several HLRs)
-Visitor Location Register (VLR)
local database for a subset of user data, including data about all user currently in the domain of the VLR

Mobile Services Switching Center

The MSC (mobile services switching center) plays a central role in GSM

- -switching functions
- -additional functions for mobility support
- -management of network resources
- -interworking functions via Gateway MSC (GMSC)
- -integration of several databases

Functions of an MSC

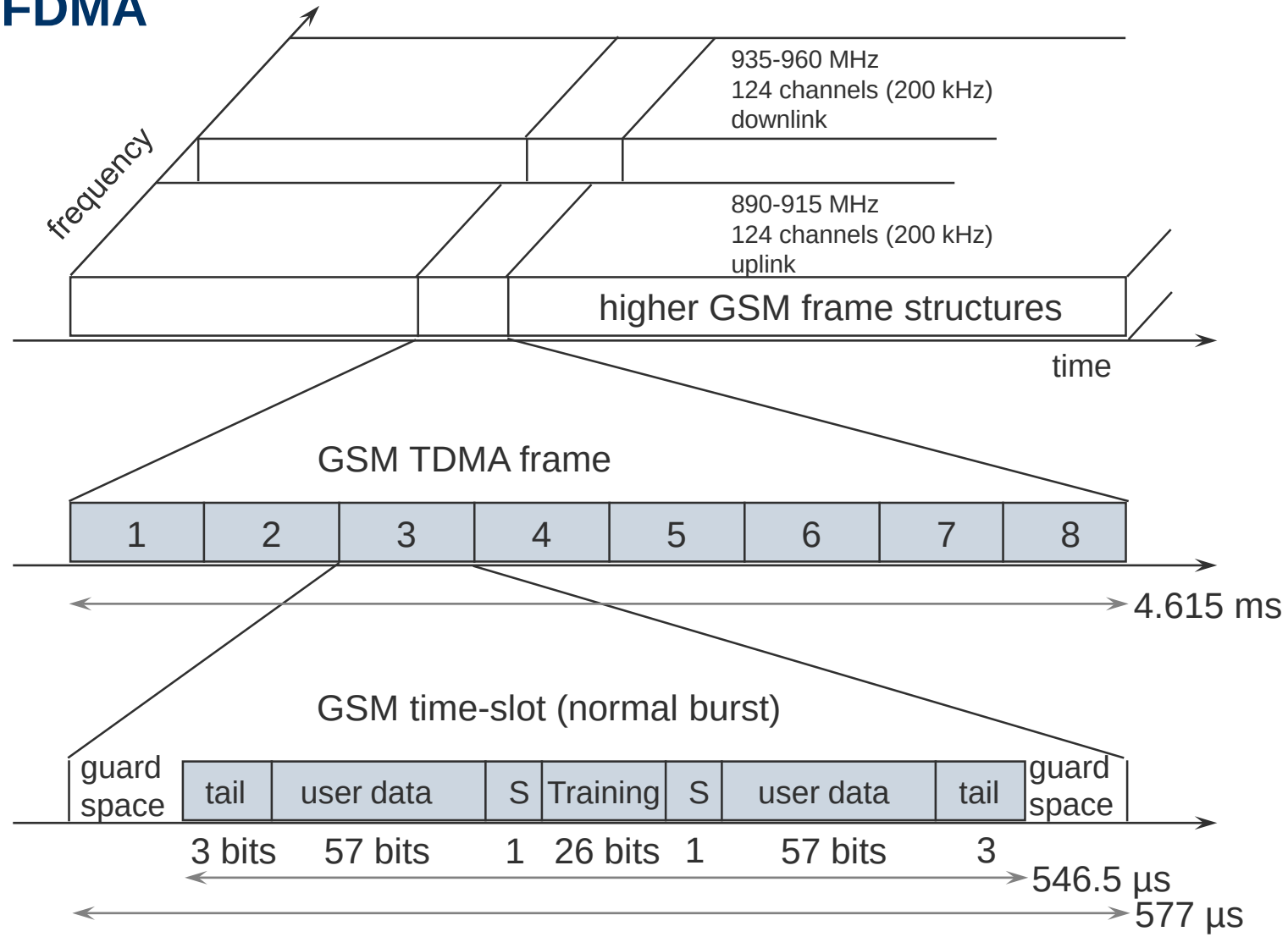
- -specific functions for paging and call forwarding
- -termination of SS7 (signaling system no. 7)
- -mobility specific signaling
- -location registration and forwarding of location information
- -provision of new services (fax, data calls)
- -support of short message service (SMS)
- -generation and forwarding of accounting and billing information

Operation subsystem

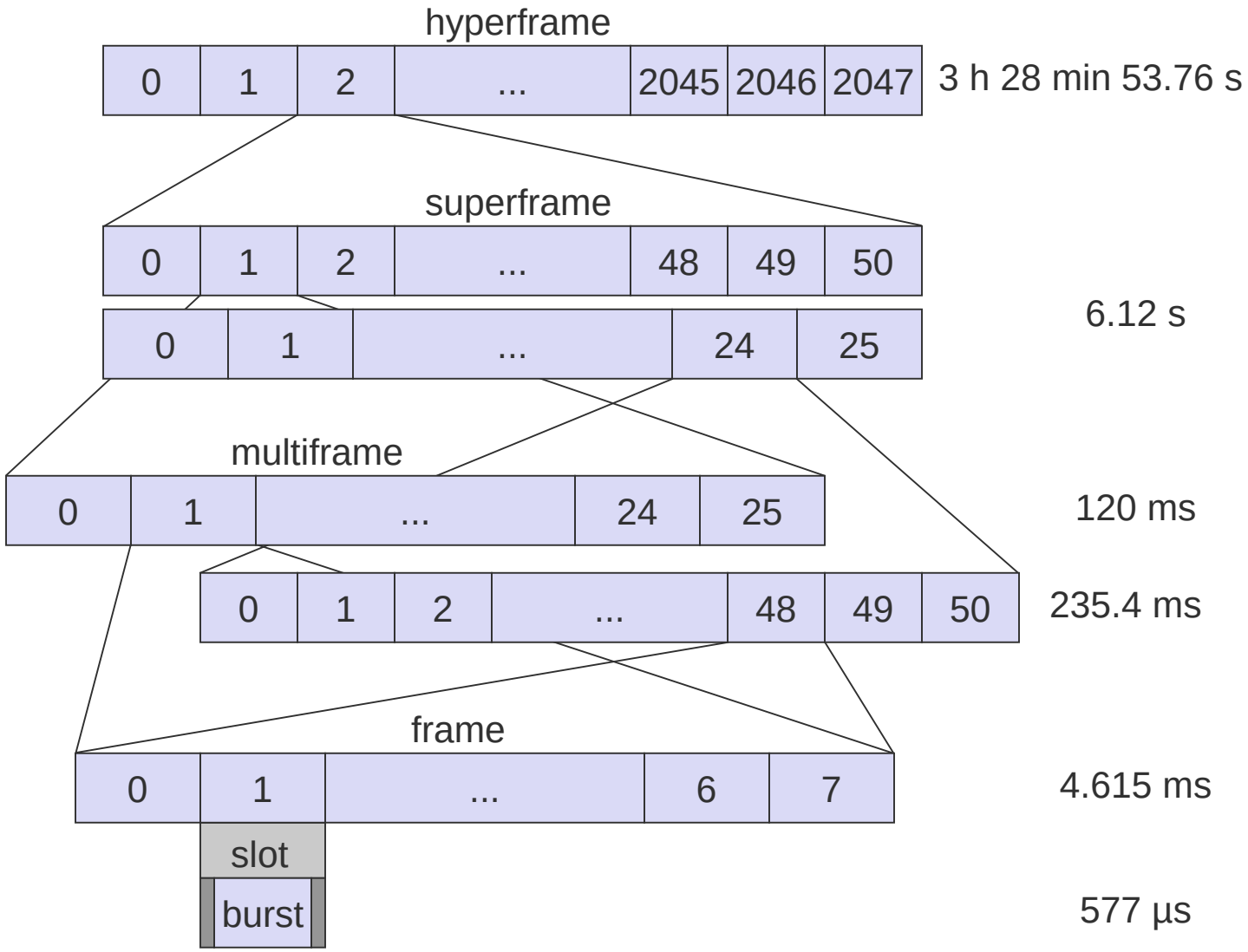
The OSS (Operation Subsystem) enables centralized operation, management, and maintenance of all GSM subsystems

Components
- -Authentication Center (AUC)
  - -generates user specific authentication parameters on request of a VLR
  - -authentication parameters used for authentication of mobile terminals and encryption of user data on the air interface within the GSM system
- -Equipment Identity Register (EIR)
  - -registers GSM mobile stations and user rights
  - -stolen or malfunctioning mobile stations can be locked and sometimes even localized
- -Operation and Maintenance Center (OMC)
  - -different control capabilities for the radio subsystem and the network subsystem

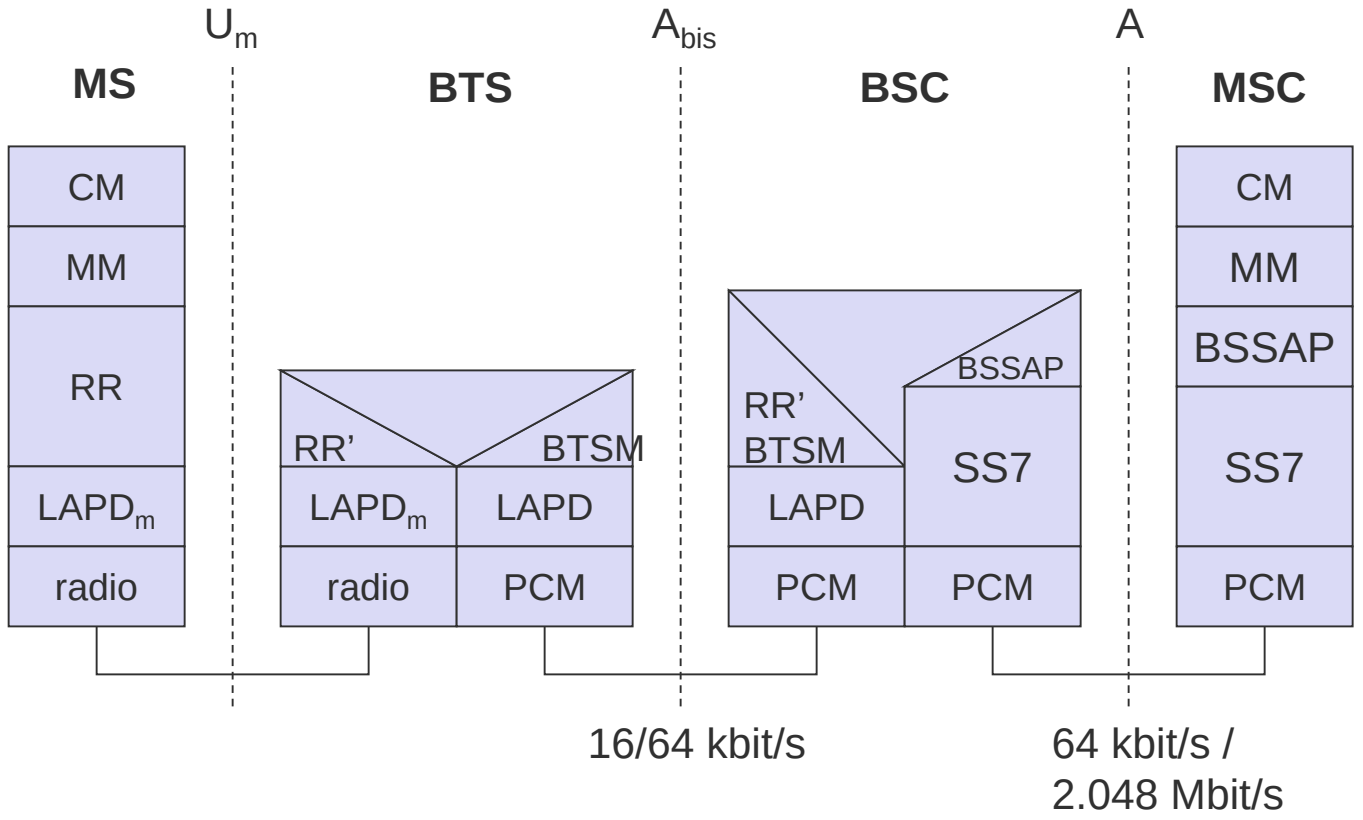
GSM - TDMA/FDMA



GSM hierarchy of frames

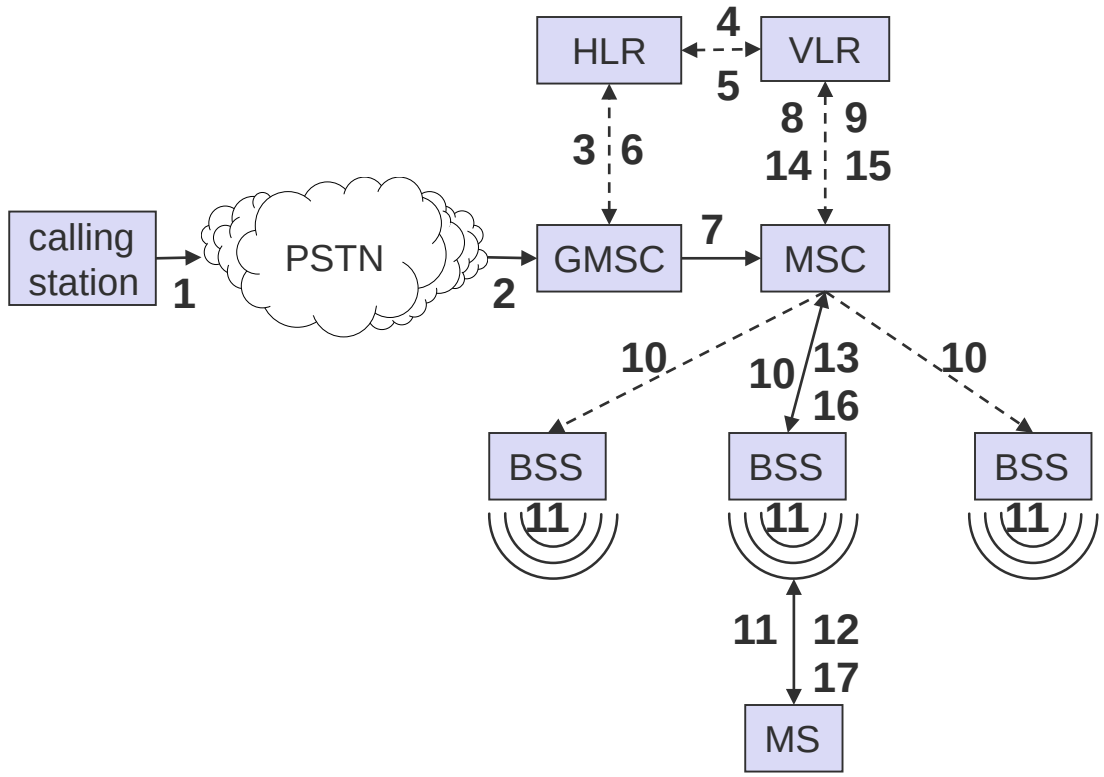


GSM protocol layers for signaling



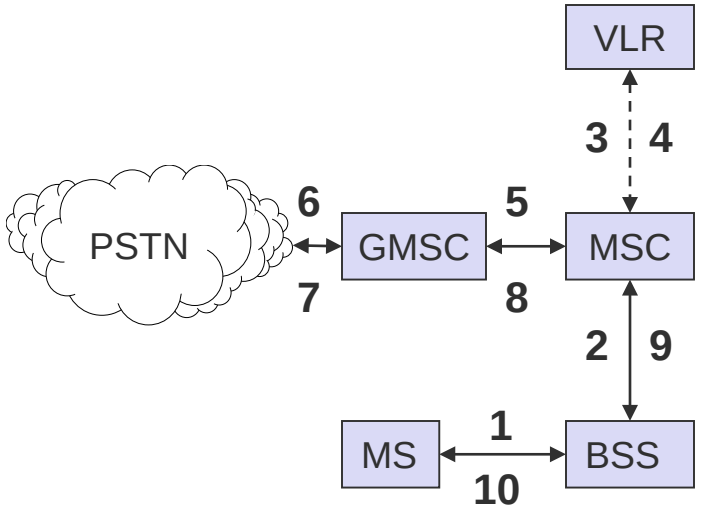
Mobile Terminated Call

1: calling a GSM subscriber
2: forwarding call to GMSC
3: signal call setup to HLR
4, 5: request MSRN from VLR
6: forward responsible MSC to GMSC
7: forward call to current MSC
8, 9: get current status of MS
10, 11: paging of MS
12, 13: MS answers
14, 15: security checks
16, 17: set up connection

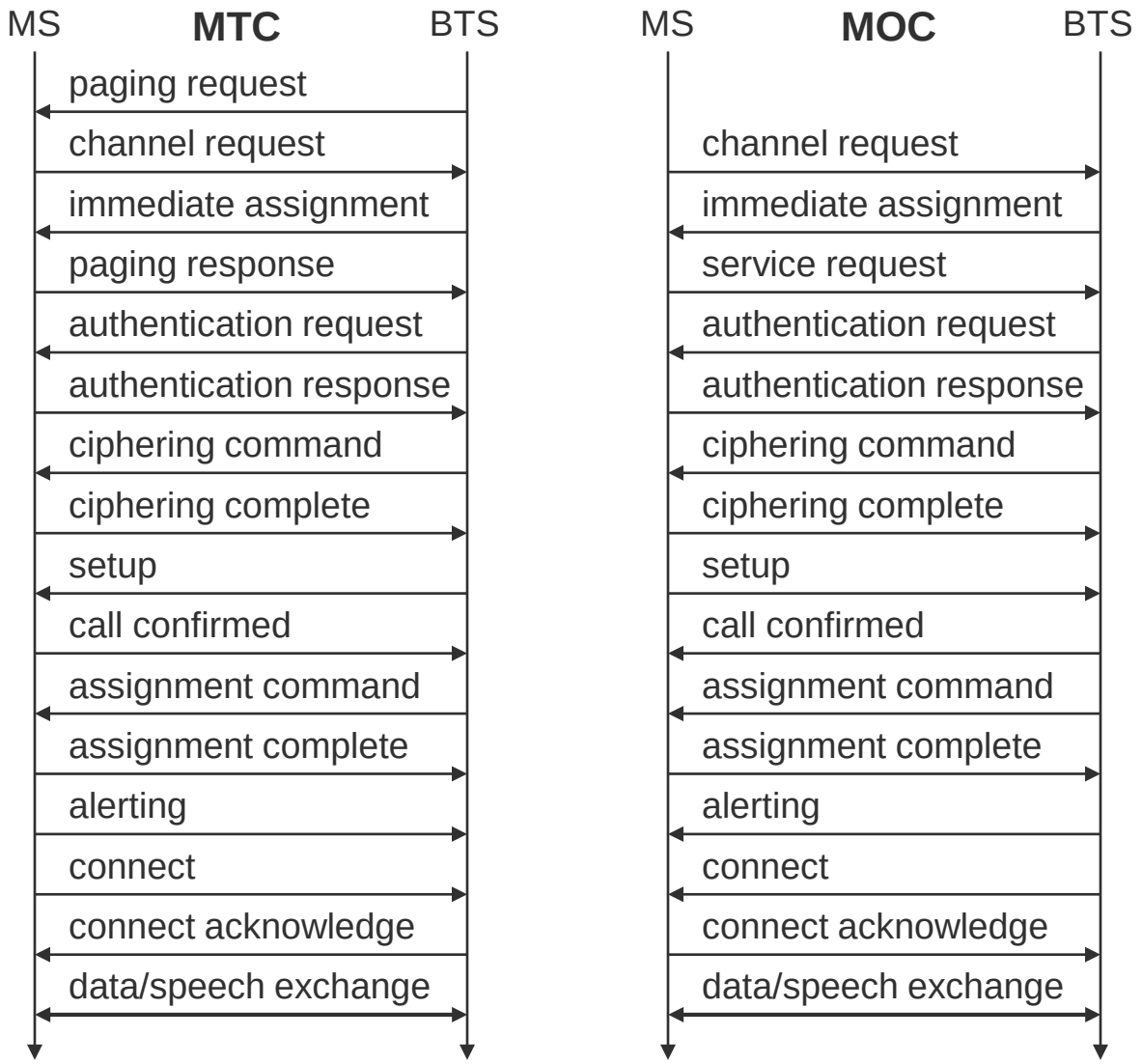


Mobile Originated Call

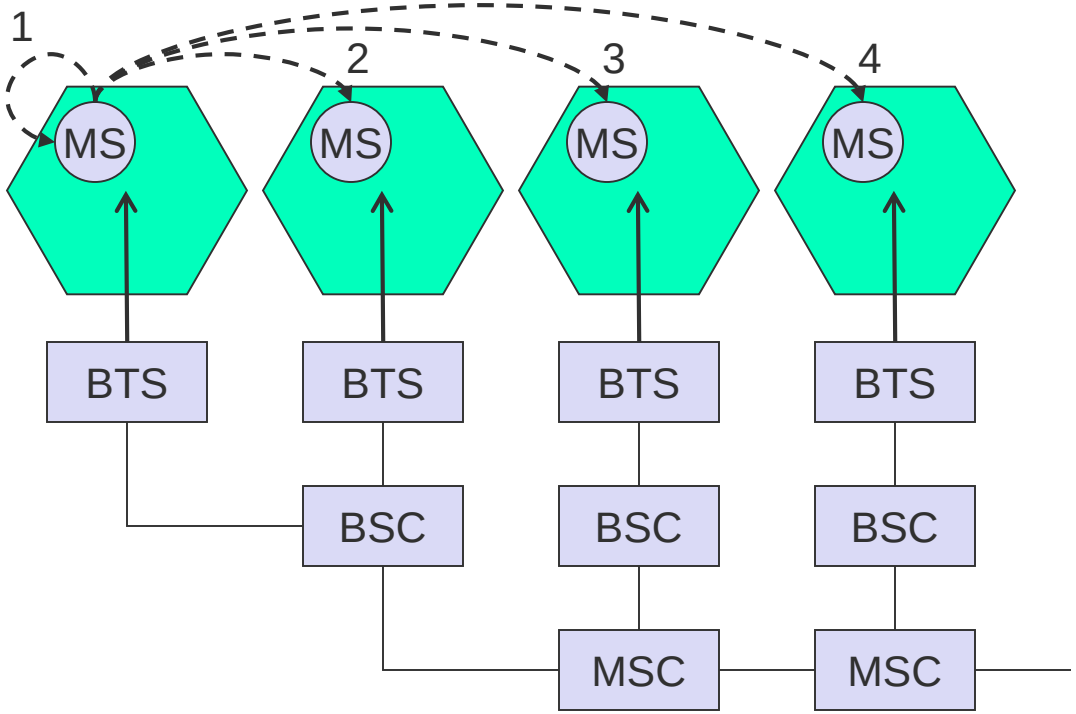
1, 2: connection request
3, 4: security check
5-8: check resources (free circuit)
9-10: set up call



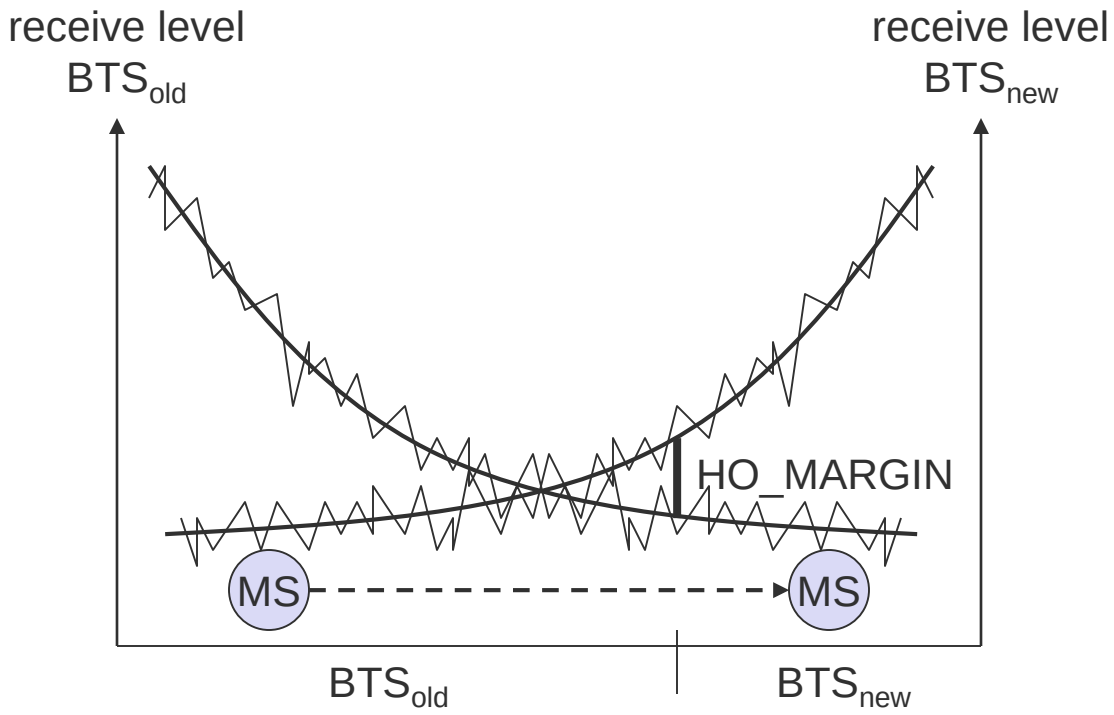
MTC/MOC


MS
MTC
BTS
paging request
channel request
immediate assignment
paging response
authentication request
authentication response
ciphering command
ciphering complete
setup
call confirmed
assignment command
assignment complete
alerting
connect
connect acknowledge
data/speech exchange
MS
MOC
BTS
channel request
immediate assignment
service request
authentication request
authentication response
ciphering command
ciphering complete
setup
call confirmed
assignment command
assignment complete
alerting
connect
connect acknowledge
data/speech exchange

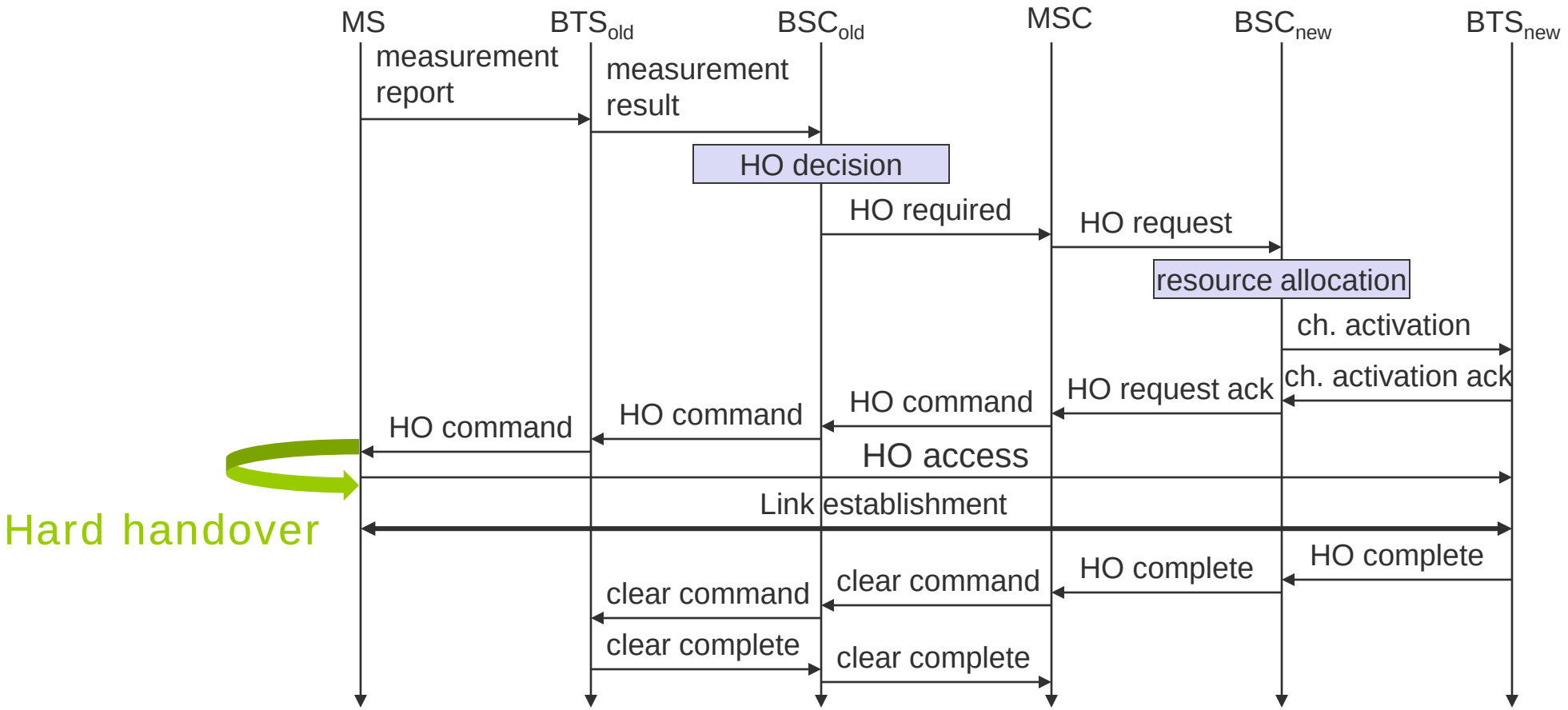
4 types of handover



Handover decision



Handover procedure



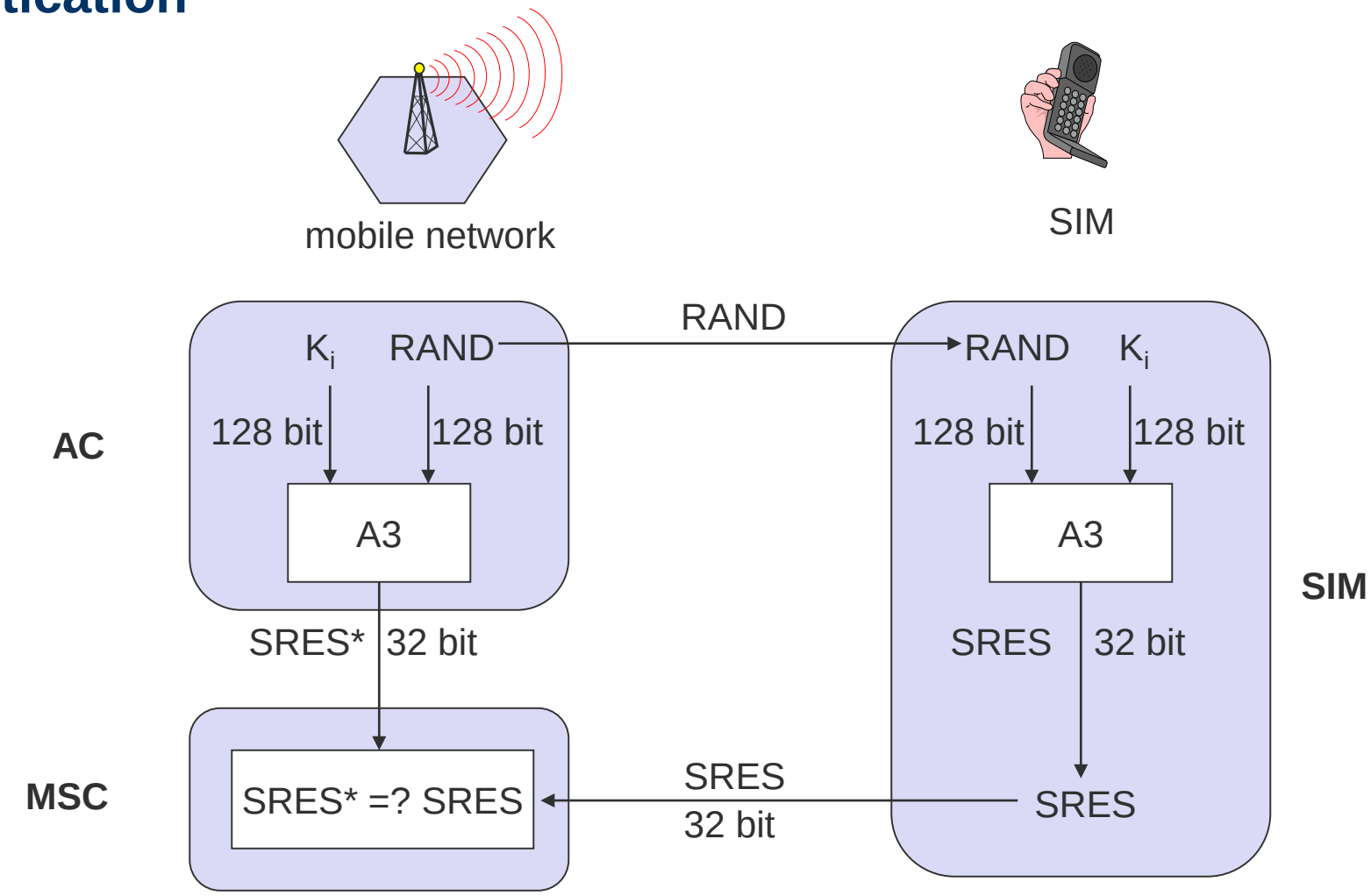
Security in GSM

Security services
- -access control/authentication
  - -user ⇔ SIM (Subscriber Identity Module): secret PIN (personal identification number)
  - -SIM ⇔ network: challenge response method
- -confidentiality
  - -voice and signaling encrypted on the wireless link (after successful authentication)
- -anonymity
  - -temporary identity TMSI (Temporary Mobile Subscriber Identity)
  - -newly assigned at each new location update (LUP)
  - -encrypted transmission

3 algorithms specified in GSM
- -A3 for authentication (“secret”, open interface)
- -A5 for encryption (standardized)
- -A8 for key generation (“secret”, open interface)

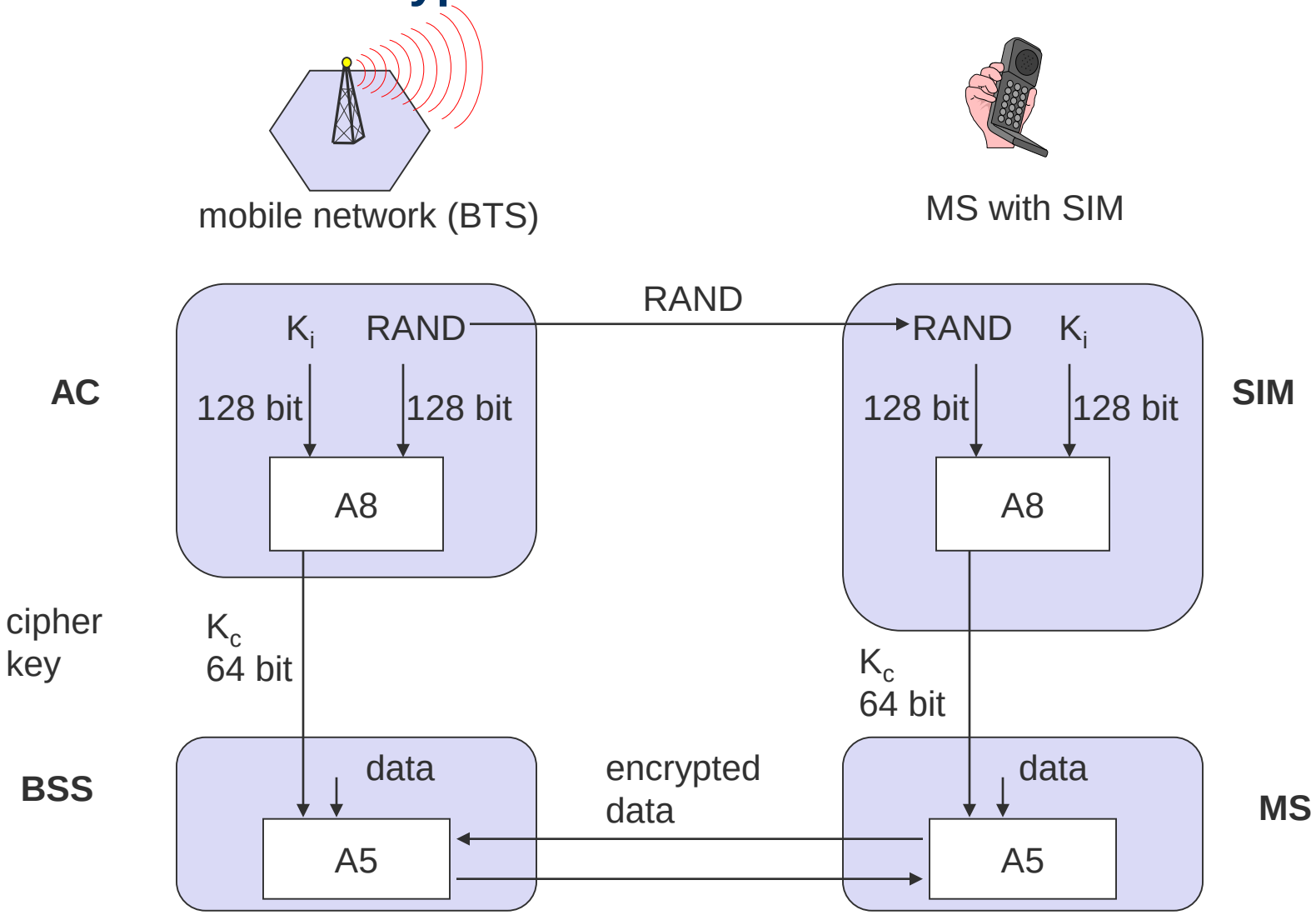
“secret”:
• A3 and A8 available via the Internet
• network providers can (and do) use stronger mechanisms

GSM - authentication



K_i: individual subscriber authentication key SRES: signed response

GSM - key generation and encryption



Data services in GSM I

Data transmission standardized with only 9.6 kbit/s
 -advanced coding allows 14.4 kbit/s
 -not enough for Internet and multimedia applications
HSCSD (High-Speed Circuit Switched Data)
 -mainly software update
 -bundling of several time-slots to get higher AIUR (Air Interface User Rate, e.g., 57.6 kbit/s using 4 slots @ 14.4)
 -advantage: ready to use, constant quality, simple
 -disadvantage: channels blocked for voice transmission

AIUR [kbit/s]	TCH/F4.8	TCH/F9.6	TCH/F14.4
4.8	1		
9.6	2	1	
14.4	3		1
19.2	4	2	
28.8		3	2
38.4		4	
43.2			3
57.6			4

Data services in GSM II

GPRS (General Packet Radio Service)
- -packet switching
- -using free slots only if data packets ready to send
  (e.g., 50 kbit/s using 4 slots temporarily)
- -standardization 1998, introduction 2001
- -advantage: one step towards UMTS, more flexible
- -disadvantage: more investment needed (new hardware)

GPRS network elements
- -GSN (GPRS Support Nodes): GGSN and SGSN
- -GGSN (Gateway GSN)
  - -interworking unit between GPRS and PDN (Packet Data Network)
- -SGSN (Serving GSN)
  - -supports the MS (location, billing, security)
- -GR (GPRS Register)
  - -user addresses

GPRS quality of service

Reliability class	Lost SDU probability	Duplicate SDU probability	Out of sequence SDU probability	Corrupt SDU probability
1	10^{-9}	10^{-9}	10^{-9}	10^{-9}
2	10^{-4}	10^{-5}	10^{-5}	10^{-6}
3	10^{-2}	10^{-5}	10^{-5}	10^{-2}

Delay class	SDU size 128 byte		SDU size 1024 byte	
	mean	95 percentile	mean	95 percentile
1	< 0.5 s	< 1.5 s	< 2 s	< 7 s
2	< 5 s	< 25 s	< 15 s	< 75 s
3	< 50 s	< 250 s	< 75 s	< 375 s
4	unspecified			

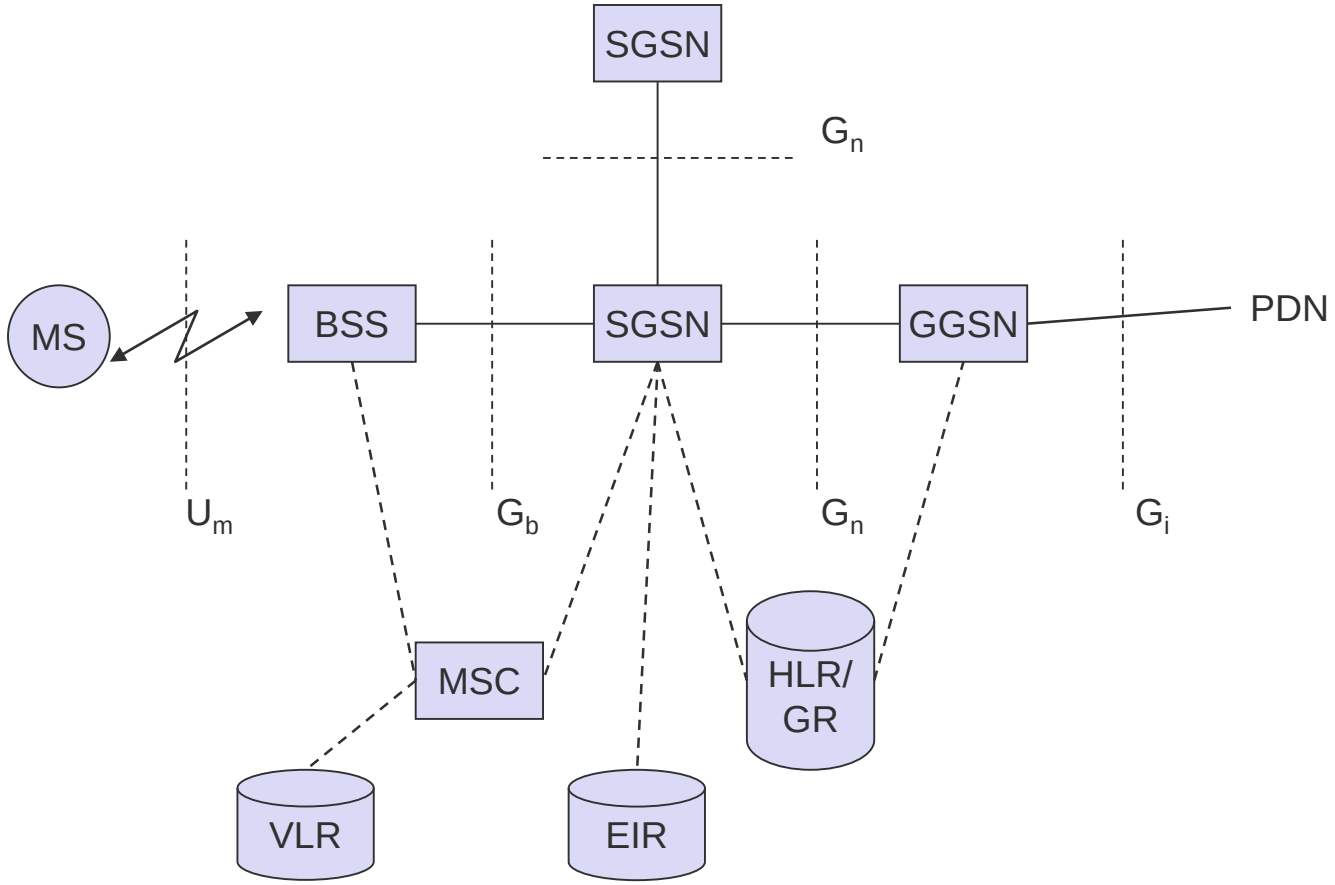
Examples for GPRS device classes

Class	Receiving slots	Sending slots	Maximum number of slots
1	1	1	2
2	2	1	3
3	2	2	3
5	2	2	4
8	4	1	5
10	4	2	5
12	4	4	5

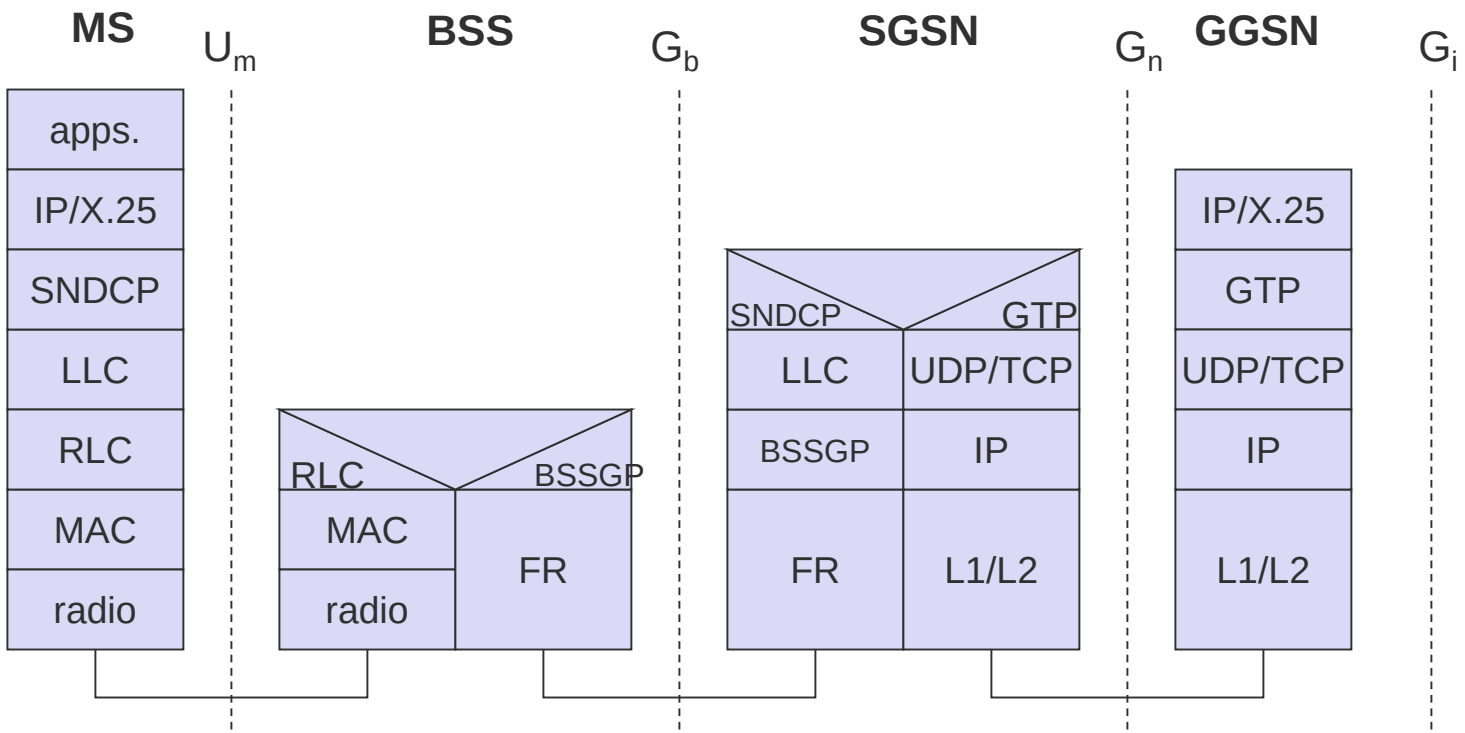
GPRS user data rates in kbit/s

Coding scheme	1 slot	2 slots	3 slots	4 slots	5 slots	6 slots	7 slots	8 slots
CS-1	9.05	18.1	27.15	36.2	45.25	54.3	63.35	72.4
CS-2	13.4	26.8	40.2	53.6	67	80.4	93.8	107.2
CS-3	15.6	31.2	46.8	62.4	78	93.6	109.2	124.8
CS-4	21.4	42.8	64.2	85.6	107	128.4	149.8	171.2

GPRS architecture and interfaces



GPRS protocol architecture



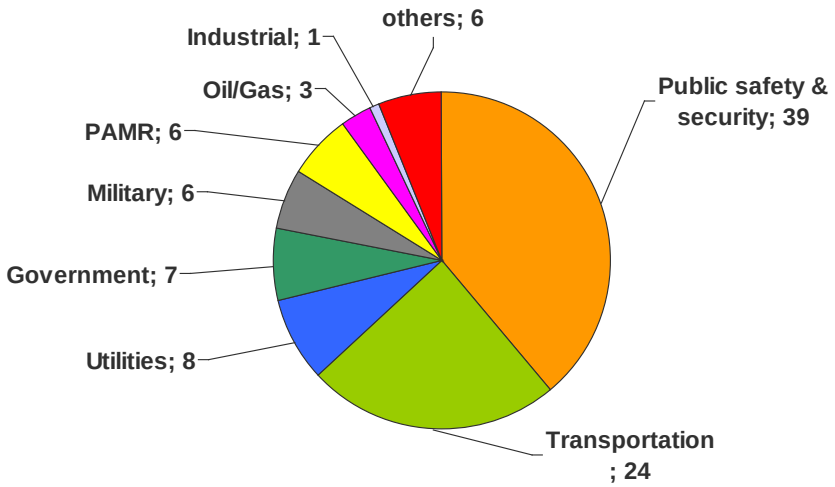
TETRA - Terrestrial Trunked Radio

Trunked radio systems
- -many different radio carriers
- -assign single carrier for a short period to one user/group of users
- -taxi service, fleet management, rescue teams
- -interfaces to public networks, voice and data services
- -very reliable, fast call setup, local operation

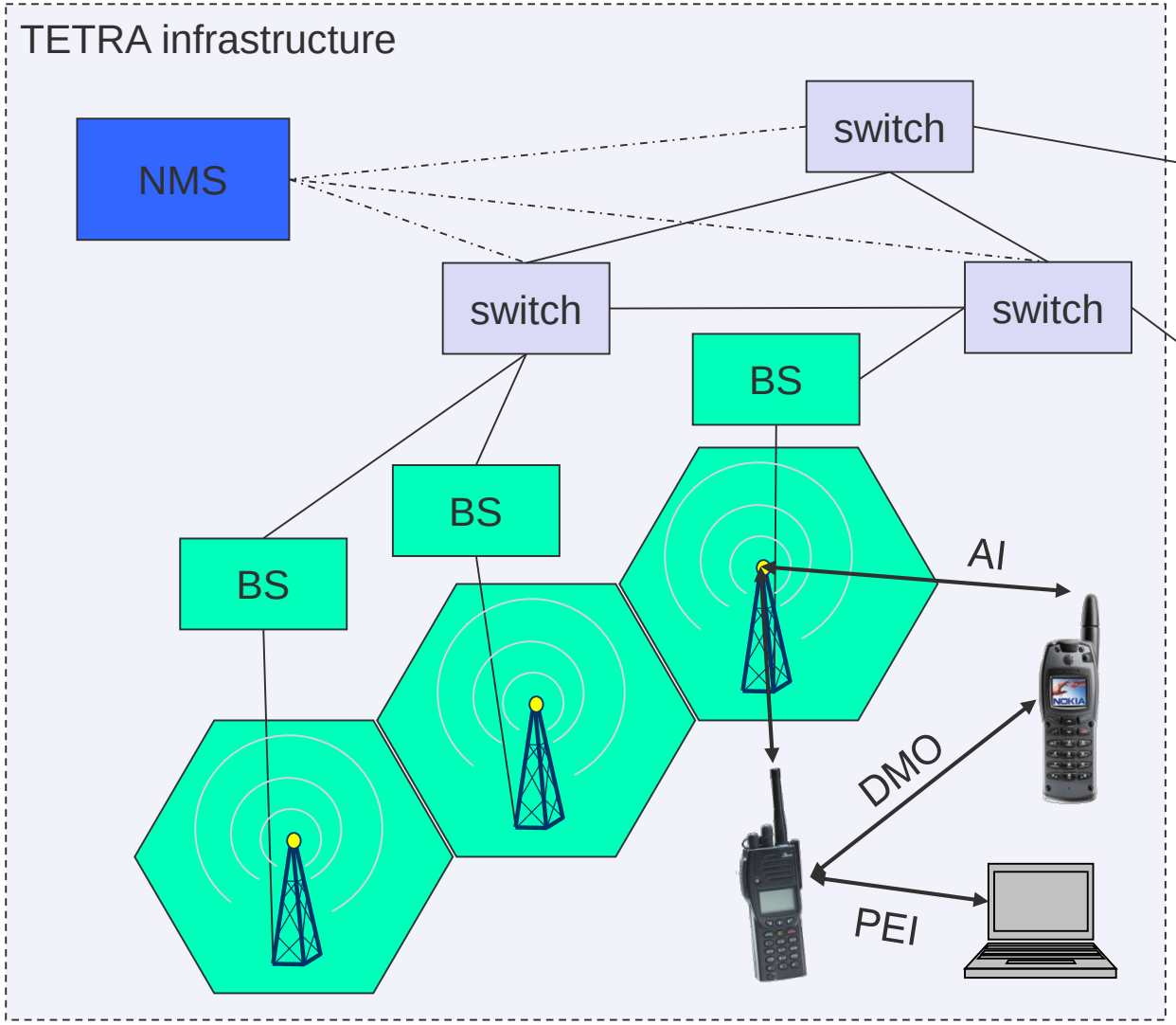
TETRA - ETSI standard
- -formerly: Trans European Trunked Radio
- -point-to-point and point-to-multipoint
- -encryption (end-to-end, air interface), authentication of devices, users and networks
- -group call, broadcast, sub-second group-call setup
- -ad-hoc (“direct mode”), relay and infrastructure networks
- -call queuing with pre-emptive priorities

TETRA – Contracts by Sector (percentage)

Used in over 70 countries, more than 20 device manufacturers



TETRA – Network Architecture

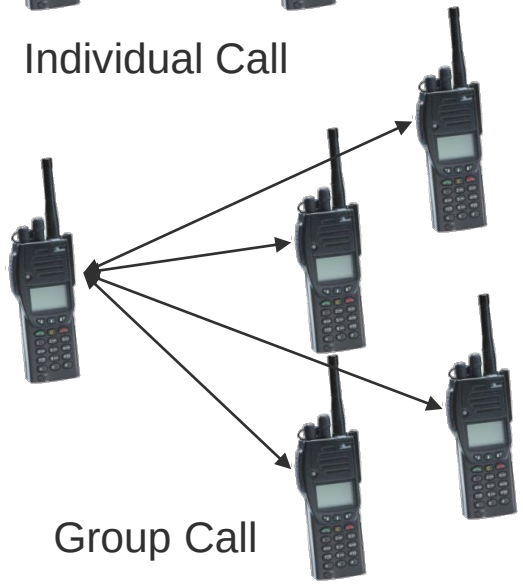


AI: Air Interface
BS: Base Station
DMO: Direct Mode Operation
ISI: Inter-System Interface
NMS: Network Management System
PEI: Peripheral Equipment Interface

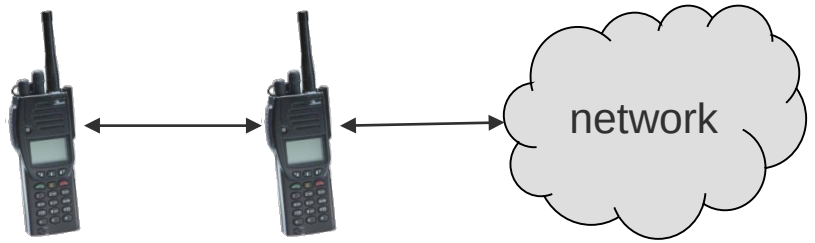
TETRA – Direct Mode I

Direct Mode enables ad-hoc operation and is one of the most important differences to pure infrastructure-based networks such as GSM, cdma2000 or UMTS.

Individual Call



Group Call

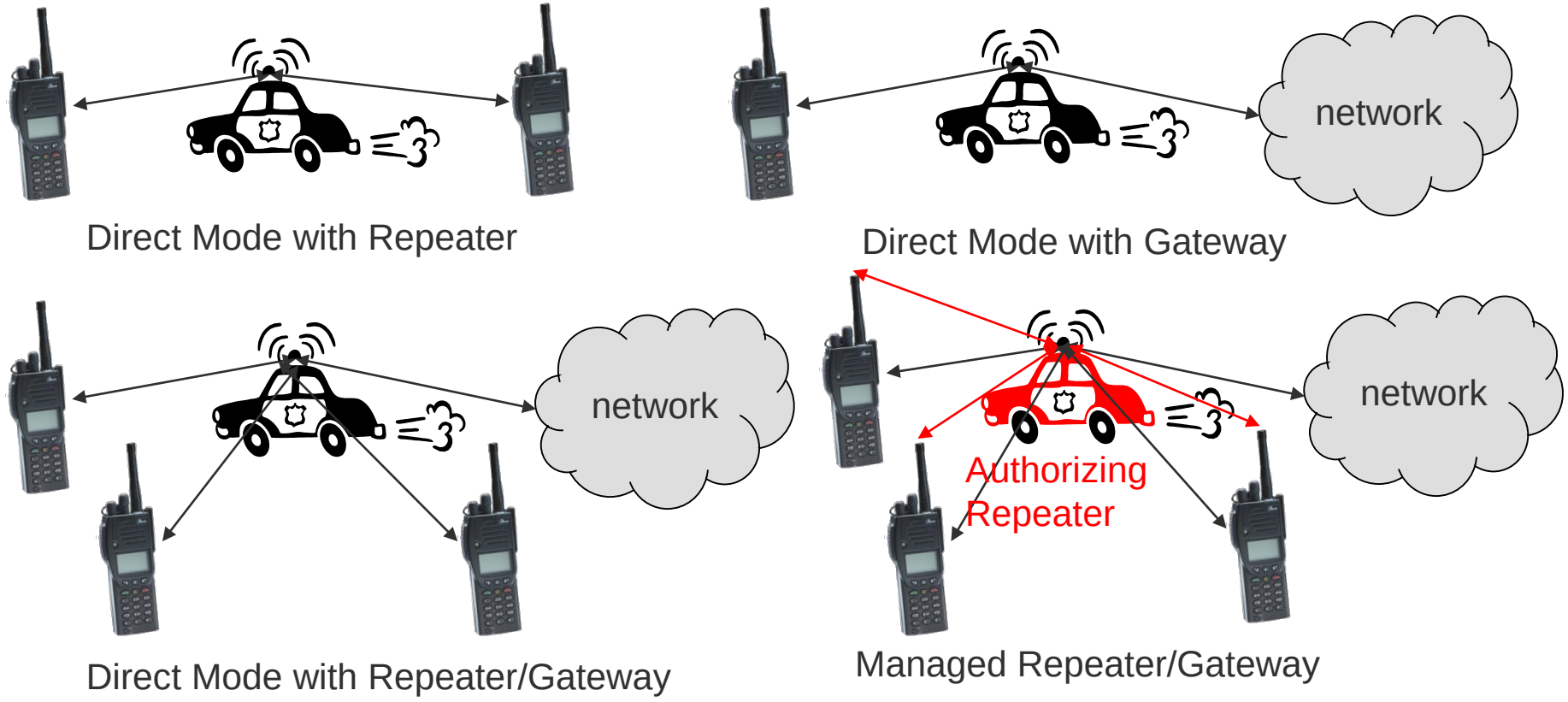


“Dual Watch” – alternating participation in Infrastructure and ad-hoc

Managed Direct Mode

TETRA – Direct Mode II

An additional repeater may increase the transmission range (e.g. police car)

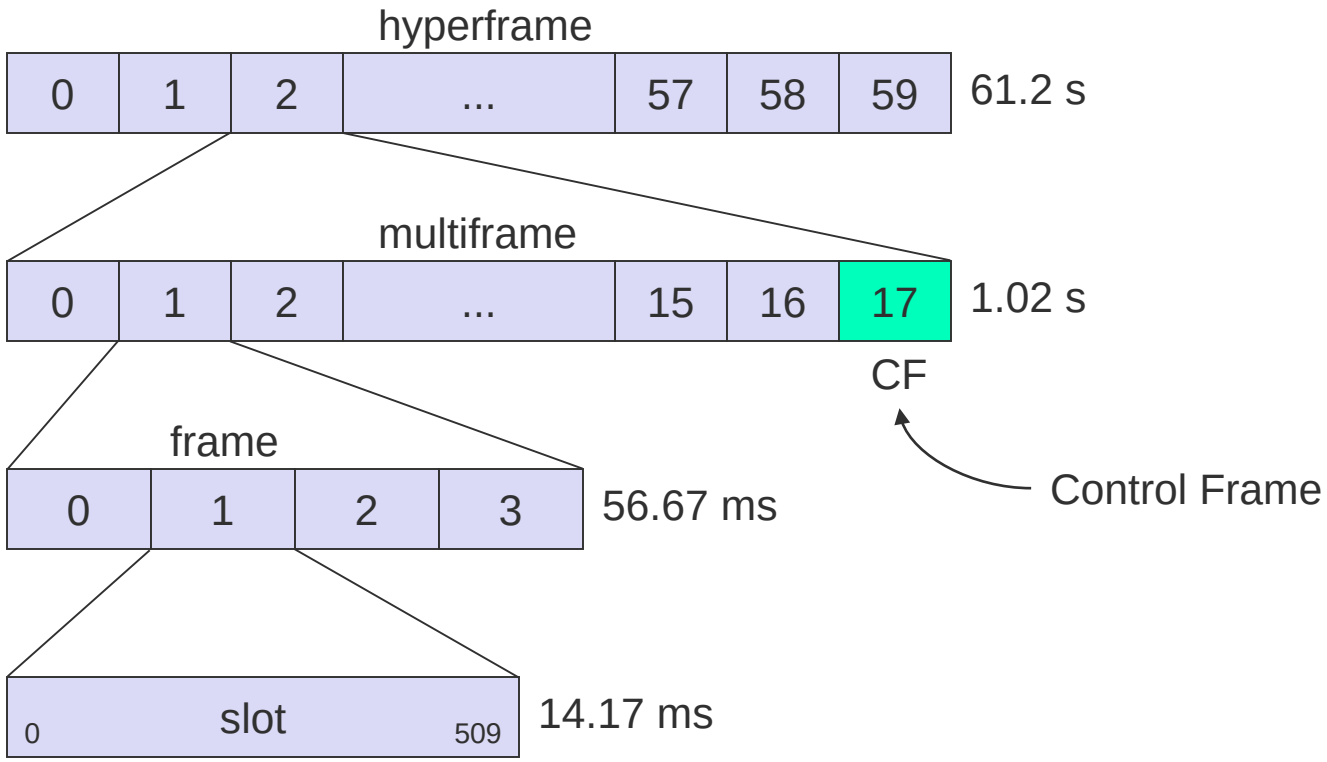


TETRA – Technology

Services
- -Voice+Data (V+D) and Packet Data Optimized (PDO)
- -Short data service (SDS)

Frequencies
- -Duplex: FDD, Modulation: DQPSK
- -Europe (in MHz, not all available yet)
  - -380-390 UL / 390-400 DL; 410-420 UL / 420-430 DL, 450-460 UL / 460-470 DL; 870-876 UL / 915-921 DL
- -Other countries
  - -380-390 UL / 390-400 DL; 410-420 UL / 420-430 DL, 806-821 UL / 851-866 DL

TDMA structure of the voice+data system



TETRA – Data Rates

Infrastructure mode, V+D in kbit/s

No. of time slots	1	2	3	4
No protection	7.2	14.4	21.6	28.8
Low protection	4.8	9.6	14.4	19.2
High protection	2.4	4.8	7.2	9.6

TETRA Release 2 – Supporting higher data rates

-TEDS (TETRA Enhanced Data Service)

-up to 100-500 kbit/s

-depends on modulation (DQPSK, D8PSK, 4/16/64QAM) and channel width (25/50/100/150 kHz)

-backward compatibility

Unclear future of TETRA

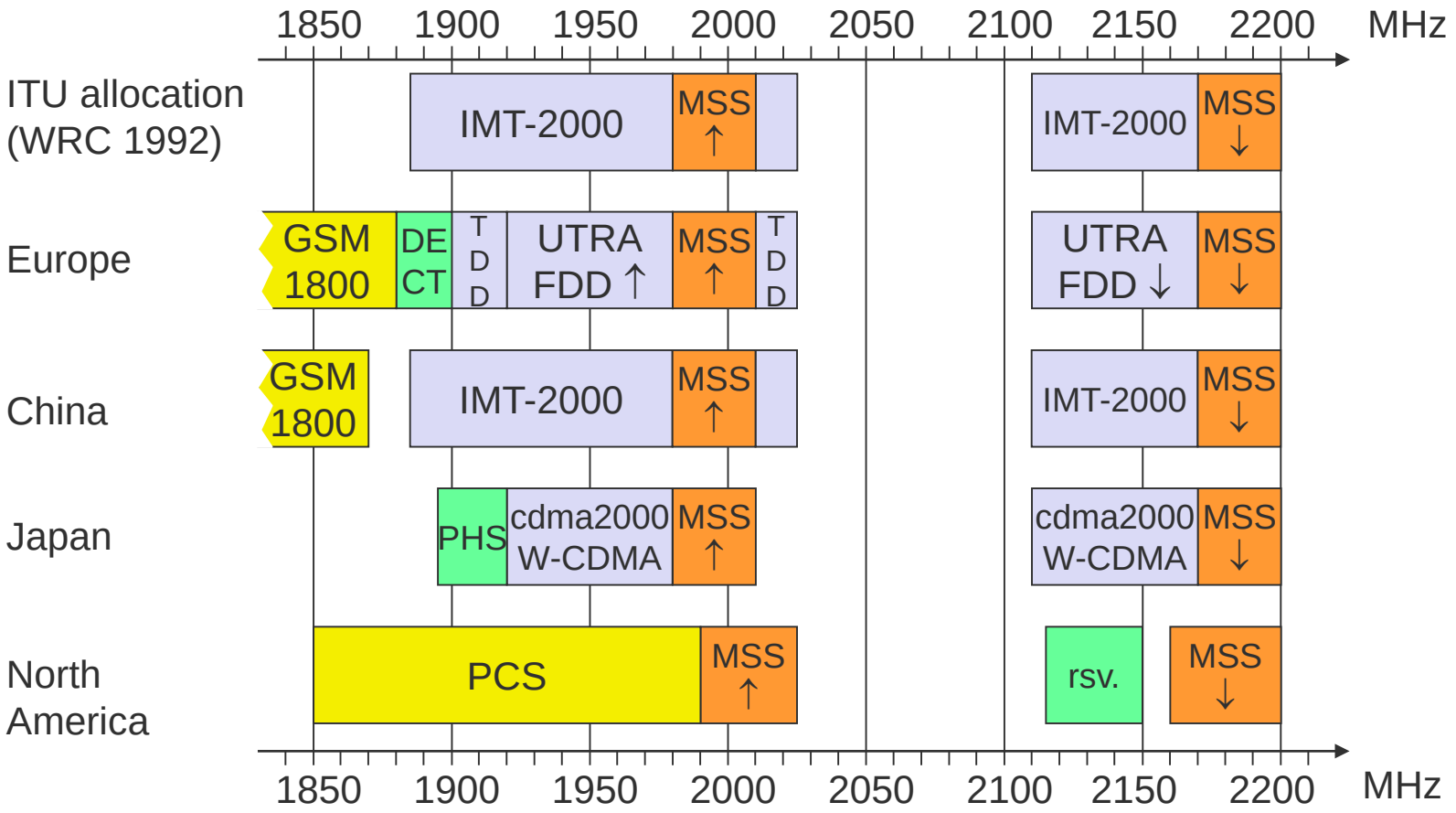
- Data rates to low compared to e.g. LTE
- Specialized devices too expensive (no COTS)

UMTS and IMT-2000

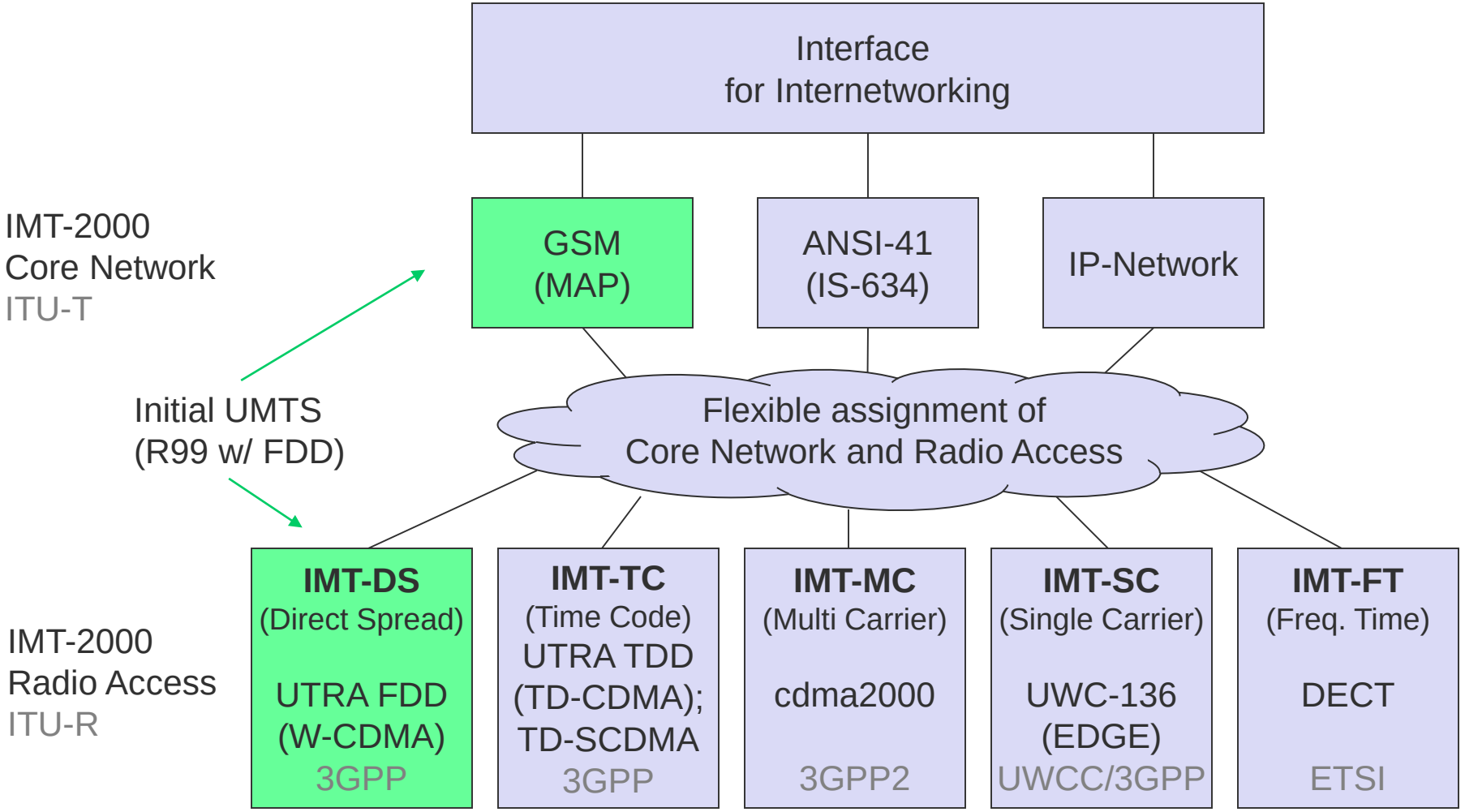
Proposals for IMT-2000 (International Mobile Telecommunications)
- UWC-136, cdma2000, WP-CDMA
- UMTS (Universal Mobile Telecommunications System) from ETSI

UMTS
- UTRA (was: UMTS, now: Universal Terrestrial Radio Access)
- enhancements of GSM
  - EDGE (Enhanced Data rates for GSM Evolution): GSM up to 384 kbit/s
  - CAMEL (Customized Application for Mobile Enhanced Logic)
  - VHE (virtual Home Environment)
- fits into GMM (Global Multimedia Mobility) initiative from ETSI
- requirements
  - min. 144 kbit/s rural (goal: 384 kbit/s)
  - min. 384 kbit/s suburban (goal: 512 kbit/s)
  - up to 2 Mbit/s urban

Frequencies for IMT-2000



IMT-2000 family



GSM, UMTS, and LTE Releases

Stages
- (0: feasibility study)
- 1: service description from a service-user's point of view
- 2: logical analysis, breaking the problem down into functional elements and the information flows amongst them
- 3: concrete implementation of the protocols between physical elements onto which the functional elements have been mapped
- (4: test specifications)

Note
- "Release 2000" was used only temporarily and was eventually replaced by "Release 4" and "Release 5"

Additional information:
- www.3gpp.org/releases

Rel	Spec version no.	Functional freeze date, indicative only
Rel-12	12.x.y	Stage 1 freeze March 2013
		Stage 2 freeze December 2013
		Stage 3 freeze June 2014, RAN: Sept. 2014
Rel-11	11.x.y	Stage 1 freeze September 2011
		Stage 2 freeze March 2012
		Stage 3 freeze September 2012
Rel-10	10.x.y	Stage 1 freeze March 2010
		Stage 2 freeze September 2010
		Stage 3 freeze March 2011
Rel-9	9.x.y	Stage 1 freeze December 2008
		Stage 2 freeze June 2009
		Stage 3 freeze December 2009
Rel-8	8.x.y	Stage 1 freeze March 2008
		Stage 2 freeze June 2008
		Stage 3 freeze December 2008
Rel-7	7.x.y	Stage 1 freeze September 2005
		Stage 2 freeze September 2006
		Stage 3 freeze December 2007
Rel-6	6.x.y	December 2004 - March 2005
Rel-5	5.x.y	March - June 2002
Rel-4	4.x.y	March 2001
R00	4.x.y	see note 1 below
	9.x.y	
R99	3.x.y	March 2000
	8.x.y	
R98	7.x.y	early 1999
R97	6.x.y	early 1998
R96	5.x.y	early 1997
Ph2	4.x.y	1995
Ph1	3.x.y	1992

Licensing Example: UMTS in Germany, 18. August 2000

UTRA-FDD:
- Uplink 1920-1980 MHz
- Downlink 2110-2170 MHz
- duplex spacing 190 MHz
- 12 channels, each 5 MHz

UTRA-TDD:
- 1900-1920 MHz,
- 2010-2025 MHz;
- 5 MHz channels

Coverage of the population
- 25% until 12/2003
- 50% until 12/2005

STAND DER LIZENZVERGABE

Versteigerung UMTS/IMT-2000-Lizenzen

Runde 173 Datum 17.08.00 Uhrzeit 15:51:26

Höchstgebote für Frequenzblöcke (mind. 2 Blöcke erforderlich für Lizenz)

Bieter	Anzahl der Frequenzblöcke 1	2	3	Lizenzgebot (TDM)	(€ in Tsd)
E-Plus Hutchison	2 x 5 MHz	2 x 5 MHz		16.418.200	8.394.492
Group 3G	2 x 5 MHz	2 x 5 MHz		16.446.000	8.408.706
Mannesmann Mobilfunk	2 x 5 MHz	2 x 5 MHz		16.473.800	8.422.920
MobilCom Multimedia	2 x 5 MHz	2 x 5 MHz		16.370.000	8.369.848
T-Mobil	2 x 5 MHz	2 x 5 MHz		16.582.200	8.478.344
VIAG Interkom	2 x 5 MHz	2 x 5 MHz		16.517.000	8.445.008
debitel Multimedia	ausgeschieden				
Lizenzsumme				98.807.200	50.519.319

RUNDENERGEBNIS

Versteigerung UMTS/IMT-2000-Frequenzen

Runde: 9

Lfd. Nr.	Umfang	Höchstbieter	Höchstgebot (TDM)	Höchstgebot* (€ in Tsd)
13	1 x 5 MHz konkret	E-Plus Hutchison	73.600	37.631
14	1 x 5 MHz	MobilCom Multimedia	121.000	61.866
15	1 x 5 MHz	T-Mobil	122.700	62.736
16	1 x 5 MHz	Mannesmann Mobilfunk	121.000	61.866
17	1 x 5 MHz	Group 3G	122.700	62.736
		Summe Höchstgebote	561.000	286.835

* Eurowerte gerundet

VIAG Interkom ausgeschieden

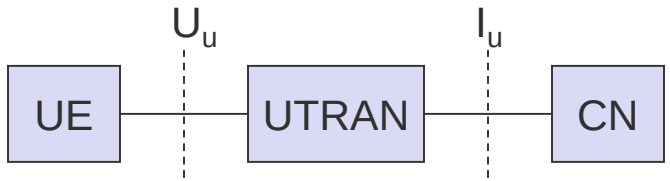
Sum: 50.81 billion €

UMTS architecture (Release 99 used here!)

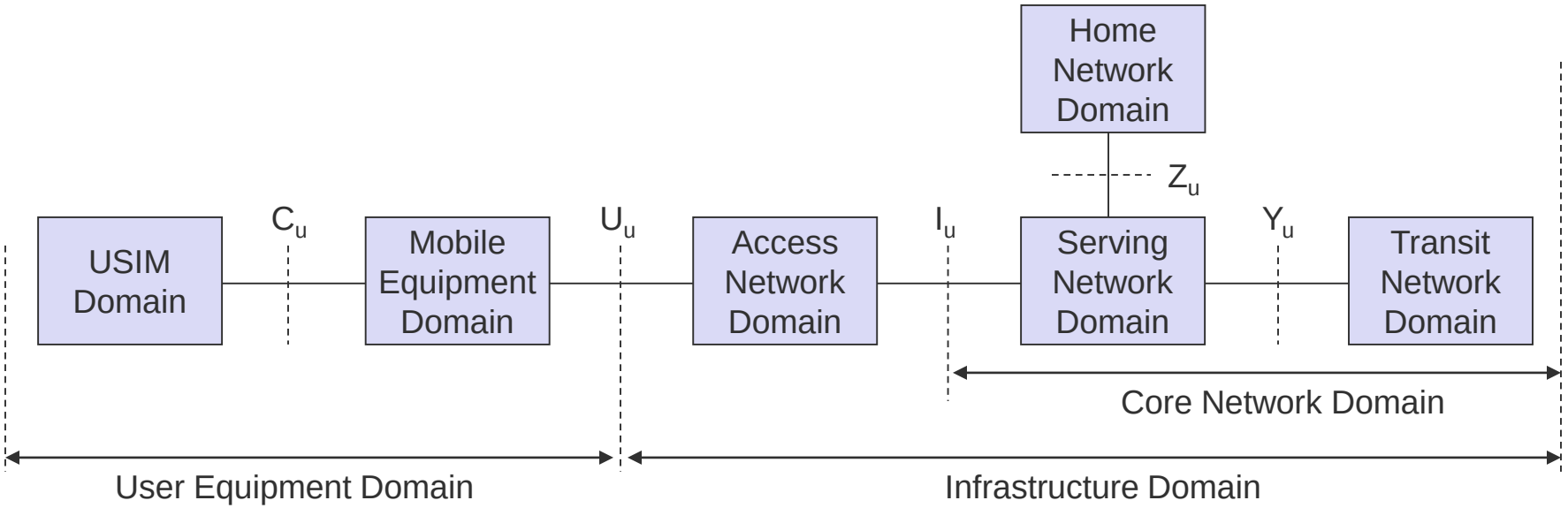
UTRAN (UTRA Network)
- Cell level mobility
- Radio Network Subsystem (RNS)
- Encapsulation of all radio specific tasks

UE (User Equipment)

CN (Core Network)
- Inter system handover
- Location management if there is no dedicated connection between UE and UTRAN



UMTS domains and interfaces I



User Equipment Domain
- Assigned to a single user in order to access UMTS services

Infrastructure Domain
- Shared among all users
- Offers UMTS services to all accepted users

UMTS domains and interfaces II

Universal Subscriber Identity Module (USIM)
- Functions for encryption and authentication of users
- Located on a SIM inserted into a mobile device

Mobile Equipment Domain
- Functions for radio transmission
- User interface for establishing/maintaining end-to-end connections

Access Network Domain
- Access network dependent functions

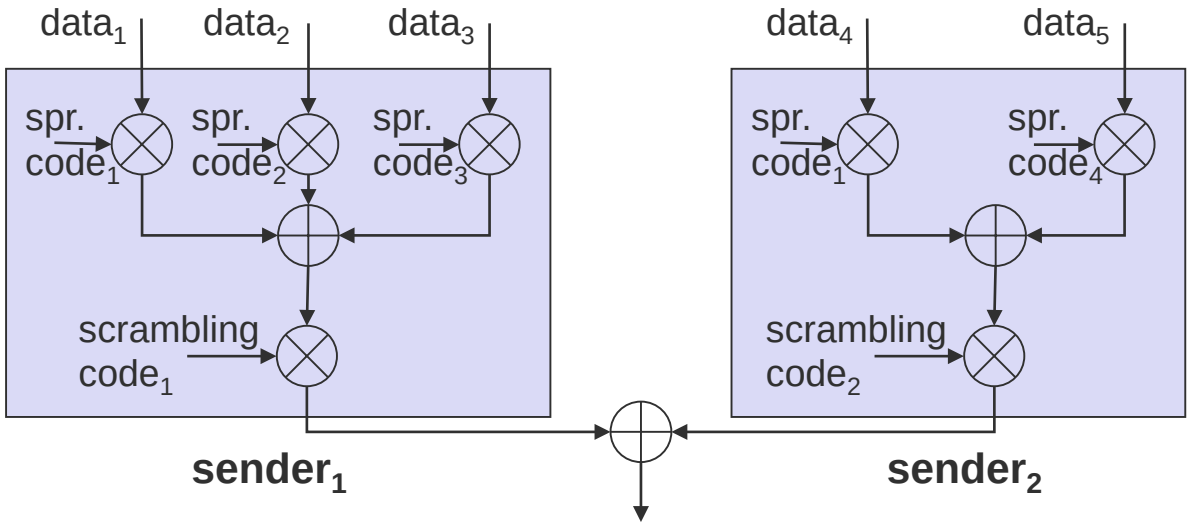
Core Network Domain
- Access network independent functions
- Serving Network Domain
  - Network currently responsible for communication
- Home Network Domain
  - Location and access network independent functions

Spreading and scrambling of user data

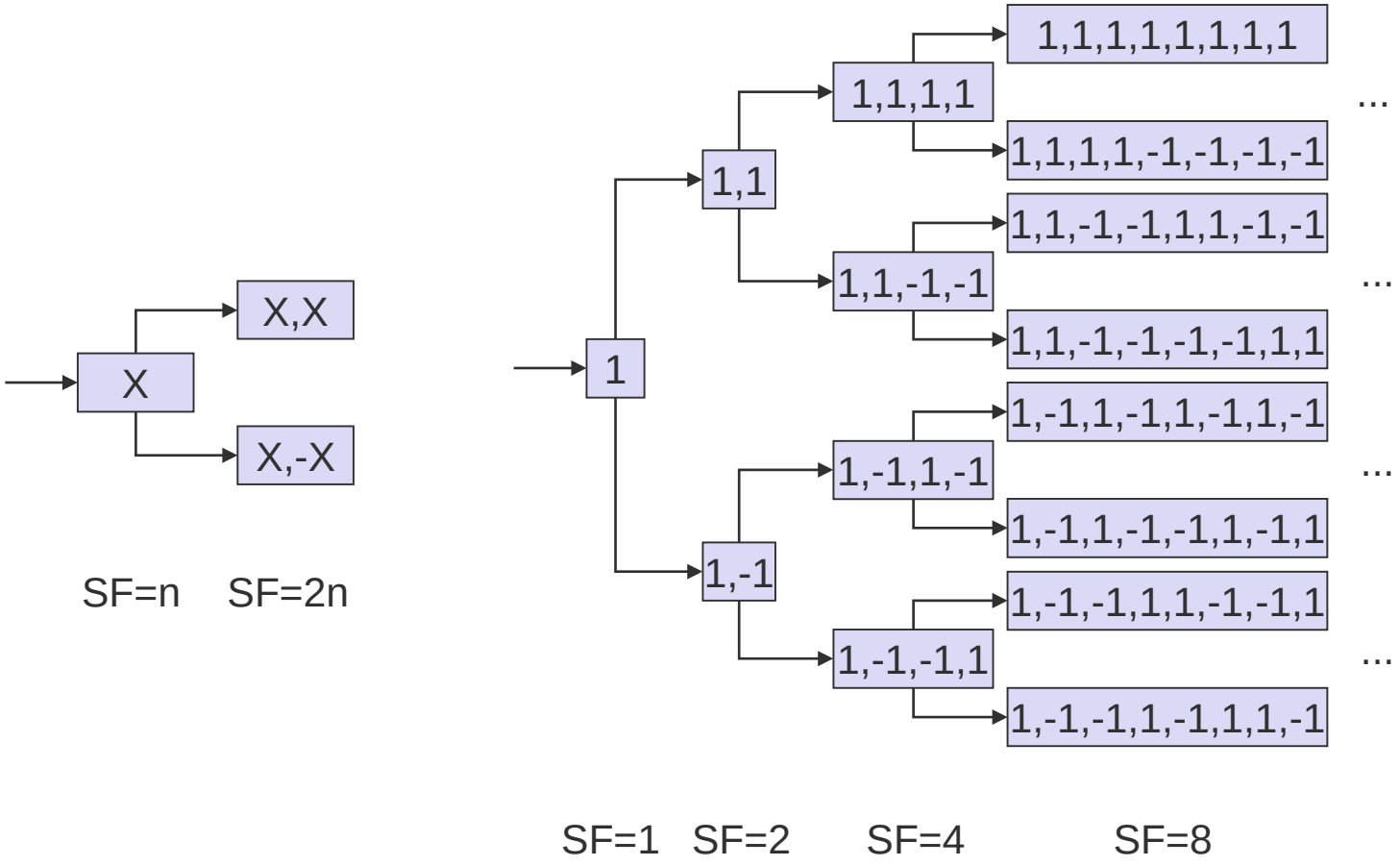
Constant chipping rate of 3.84 Mchip/s

Different user data rates supported via different spreading factors
- higher data rate: less chips per bit and vice versa

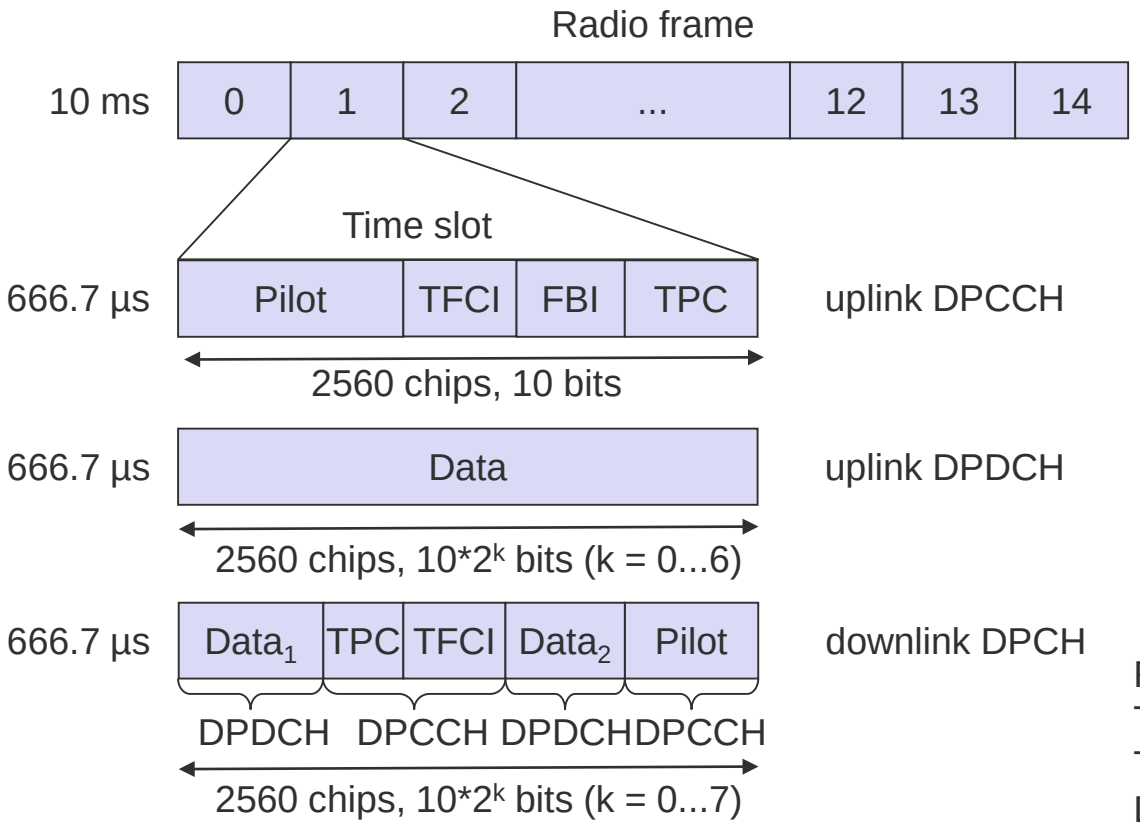
User separation via unique, quasi orthogonal scrambling codes
- users are not separated via orthogonal spreading codes
- much simpler management of codes: each station can use the same orthogonal spreading codes
- precise synchronization not necessary as the scrambling codes stay quasi-orthogonal



OVSF (Orthogonal Variable Spreading Factor) coding



UMTS FDD frame structure



Slot structure NOT for user separation but synchronization for periodic functions!

W-CDMA

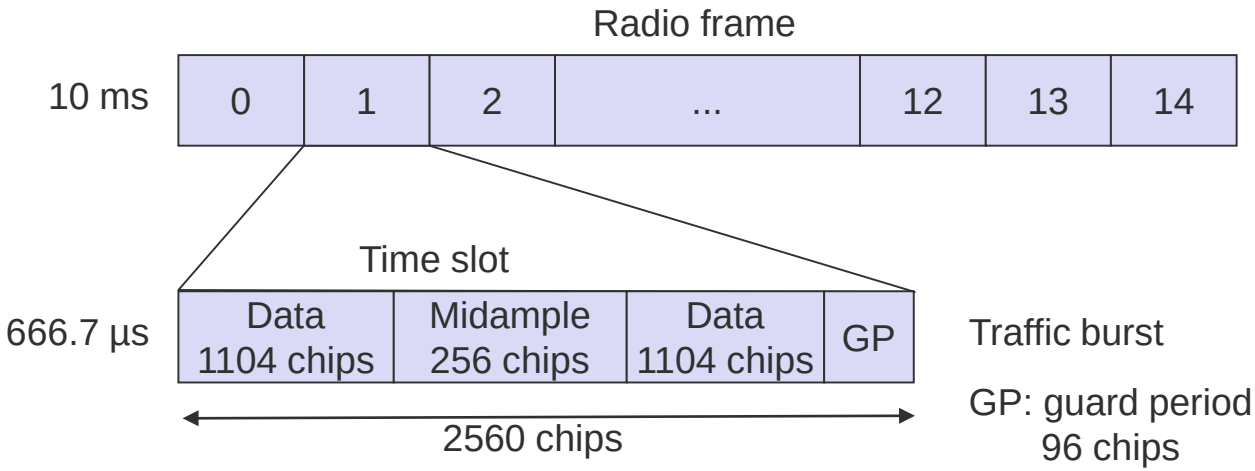
- 1920-1980 MHz uplink
- 2110-2170 MHz downlink
- chipping rate: 3.840 Mchip/s
- soft handover
- QPSK
- complex power control (1500 power control cycles/s)
- spreading: UL: 4-256; DL:4-512

FBI: Feedback Information
TPC: Transmit Power Control
TFCI: Transport Format Combination Indicator
DPCCH: Dedicated Physical Control Channel
DPDCH: Dedicated Physical Data Channel
DPCH: Dedicated Physical Channel

Typical UTRA-FDD uplink data rates

User data rate [kbit/s]	12.2 (voice)	64	144	384
DPDCH [kbit/s]	60	240	480	960
DPCCH [kbit/s]	15	15	15	15
Spreading	64	16	8	4

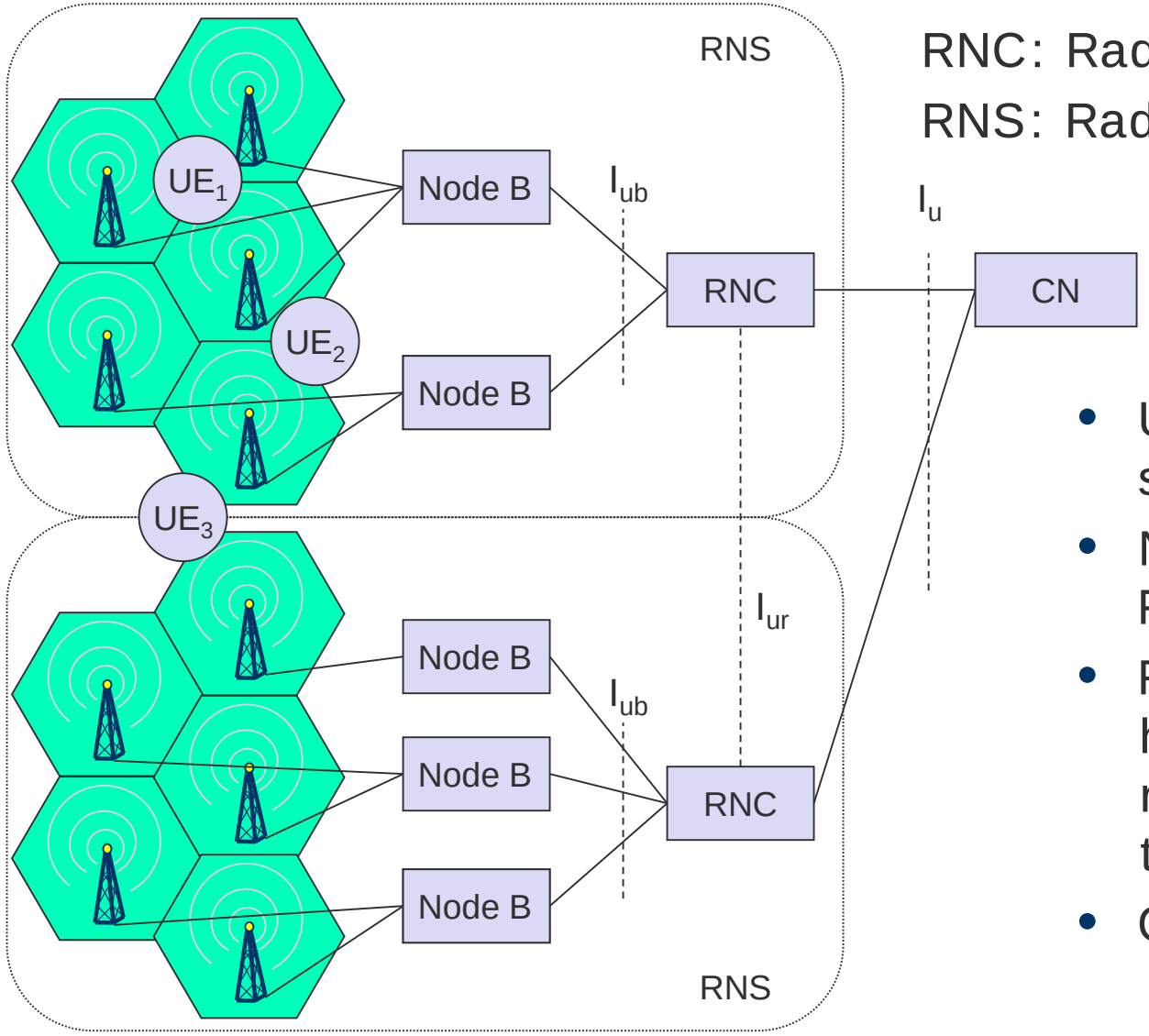
UMTS TDD frame structure (burst type 2)



TD-CDMA

- 2560 chips per slot
- spreading: 1-16
- symmetric or asymmetric slot assignment to UL/DL (min. 1 per direction)
- tight synchronization needed
- simpler power control (100-800 power control cycles/s)

UTRAN architecture



RNC: Radio Network Controller

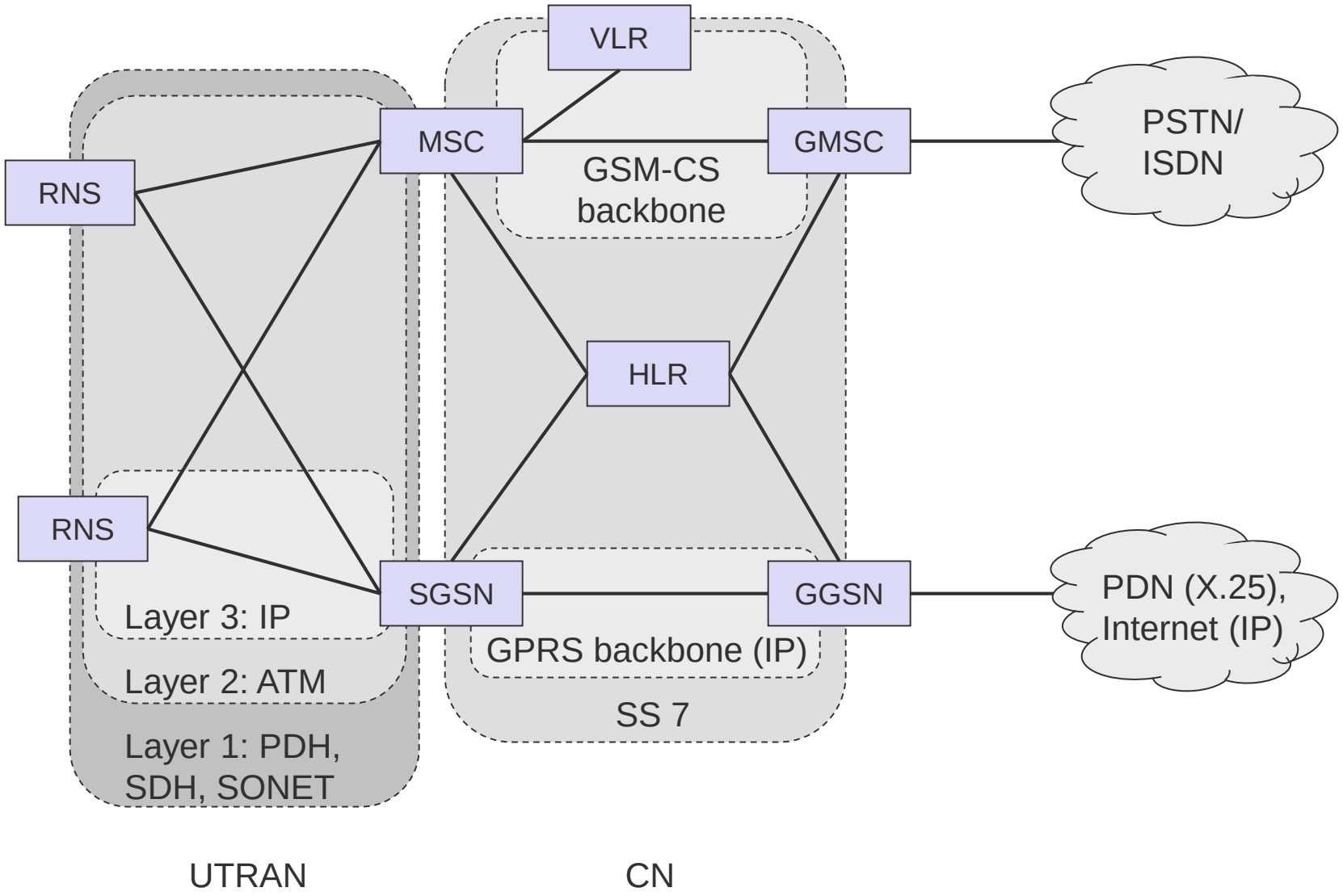
RNS: Radio Network Subsystem

- UTRAN comprises several RNSs
- Node B can support FDD or TDD or both
- RNC is responsible for handover decisions requiring signaling to the UE
- Cell offers FDD or TDD

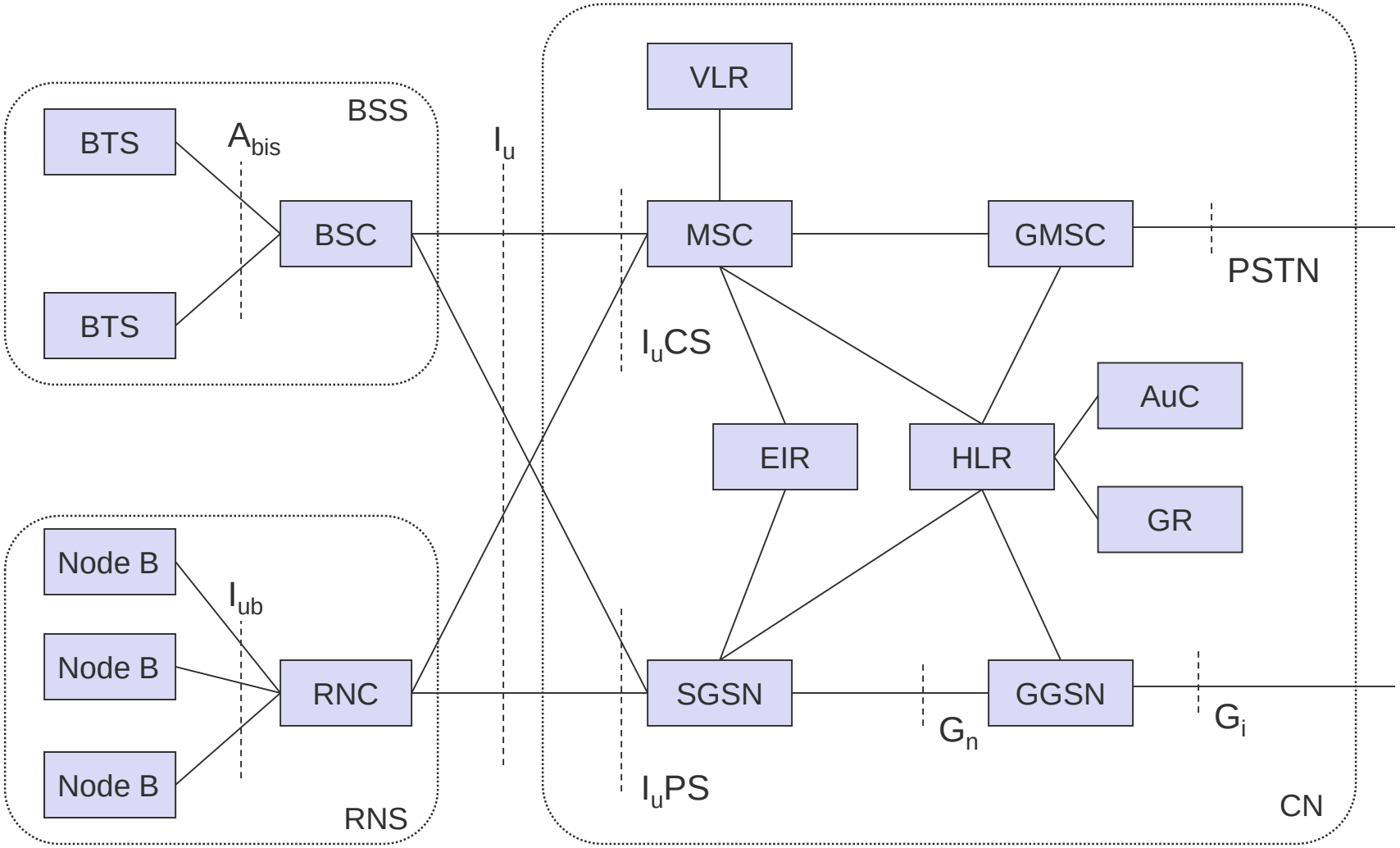
UTRAN functions

Admission control
Congestion control
System information broadcasting
Radio channel encryption
Handover
SRNS moving
Radio network configuration
Channel quality measurements
Macro diversity
Radio carrier control
Radio resource control
Data transmission over the radio interface
Outer loop power control (FDD and TDD)
Channel coding
Access control

Core network: protocols



Core network: architecture



Core network

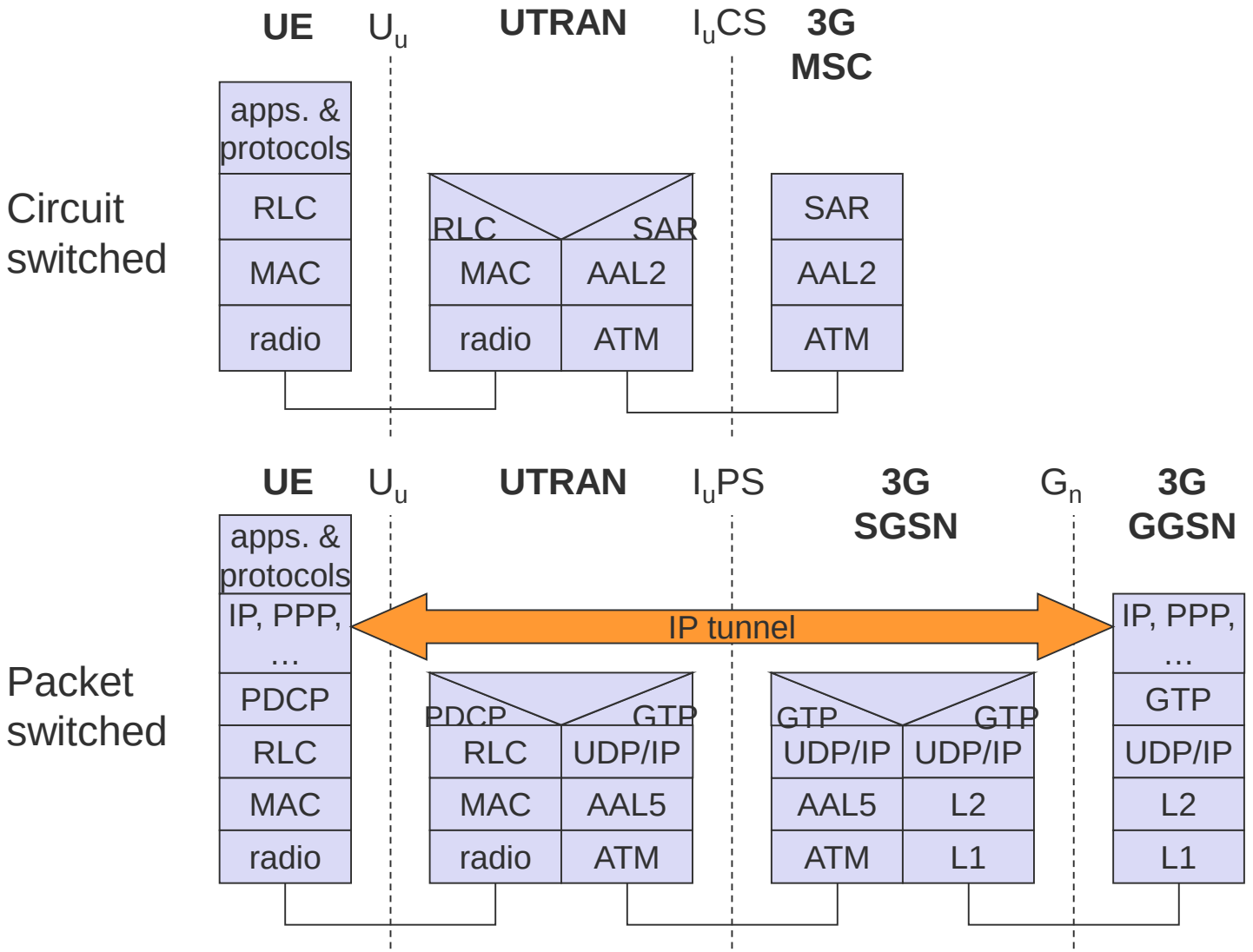
The Core Network (CN) and thus the Interface I_u, too, are separated into two logical domains:

Circuit Switched Domain (CSD)
- Circuit switched service incl. signaling
- Resource reservation at connection setup
- GSM components (MSC, GMSC, VLR)
- I_uCS

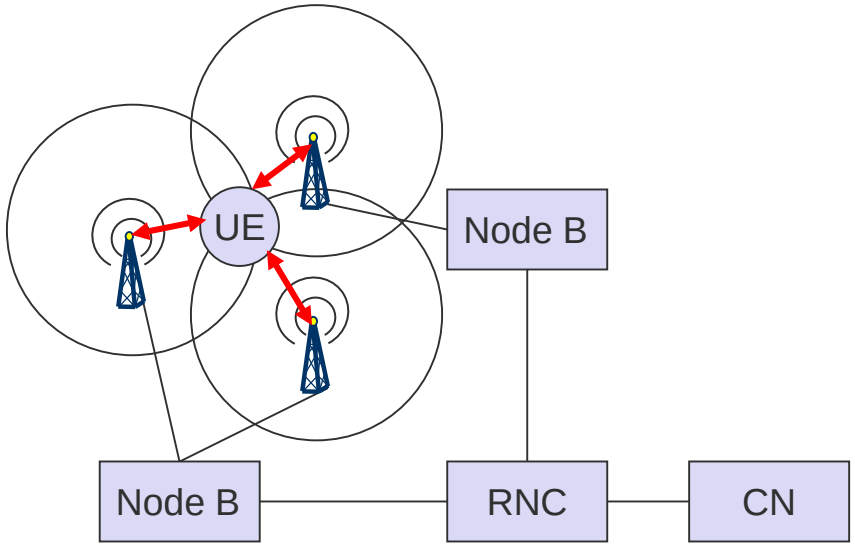
Packet Switched Domain (PSD)
- GPRS components (SGSN, GGSN)
- I_uPS

Release 99 uses the GSM/GPRS network and adds a new radio access!
- Helps to save a lot of money …
- Much faster deployment
- Not as flexible as newer releases (5, 6, … 12, 13, 14, …)

UMTS protocol stacks (user plane)



Support of mobility: macro diversity



Multicasting of data via several physical channels

- Enables soft handover
- FDD mode only

Uplink

- simultaneous reception of UE data at several Node Bs
- Reconstruction of data at Node B, SRNC or DRNC

Downlink

- Simultaneous transmission of data via different cells
- Different spreading codes in different cells

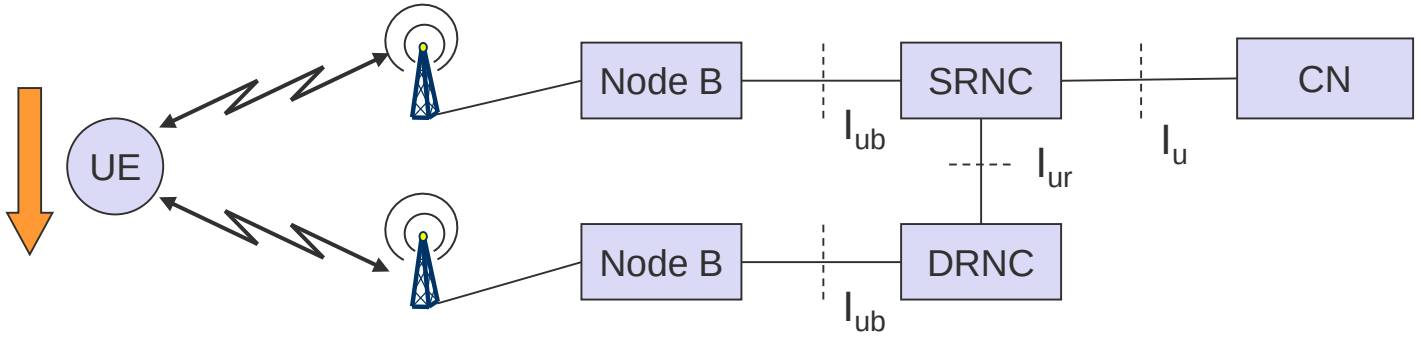
Support of mobility: handover

From and to other systems (e.g., UMTS to GSM)
- This is a must as UMTS coverage is/was poor in the beginning

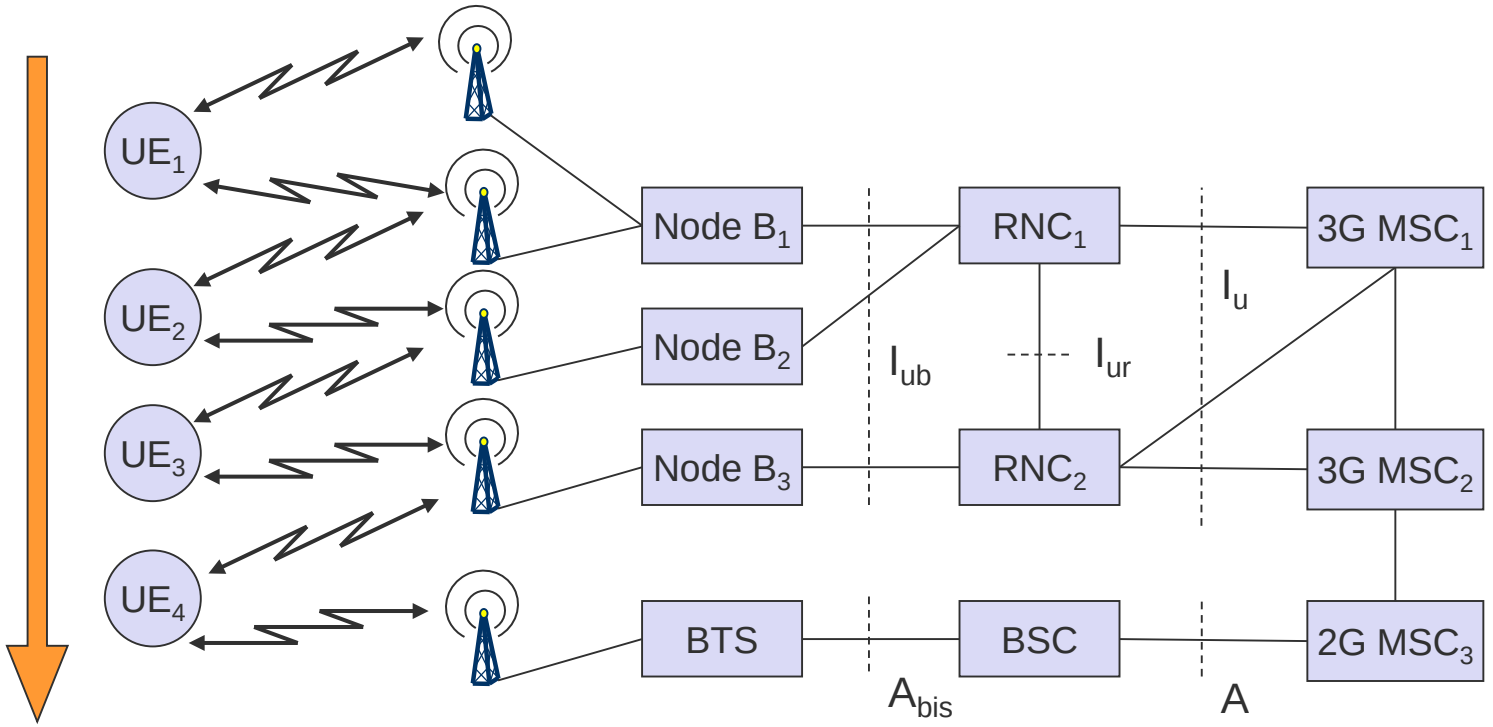
RNS controlling the connection is called SRNS (Serving RNS)

RNS offering additional resources (e.g., for soft handover) is called Drift RNS (DRNS)

End-to-end connections between UE and CN only via I_u at the SRNS
- Change of SRNS requires change of I_u
- Initiated by the SRNS
- Controlled by the RNC and CN



Example handover types in UMTS/GSM



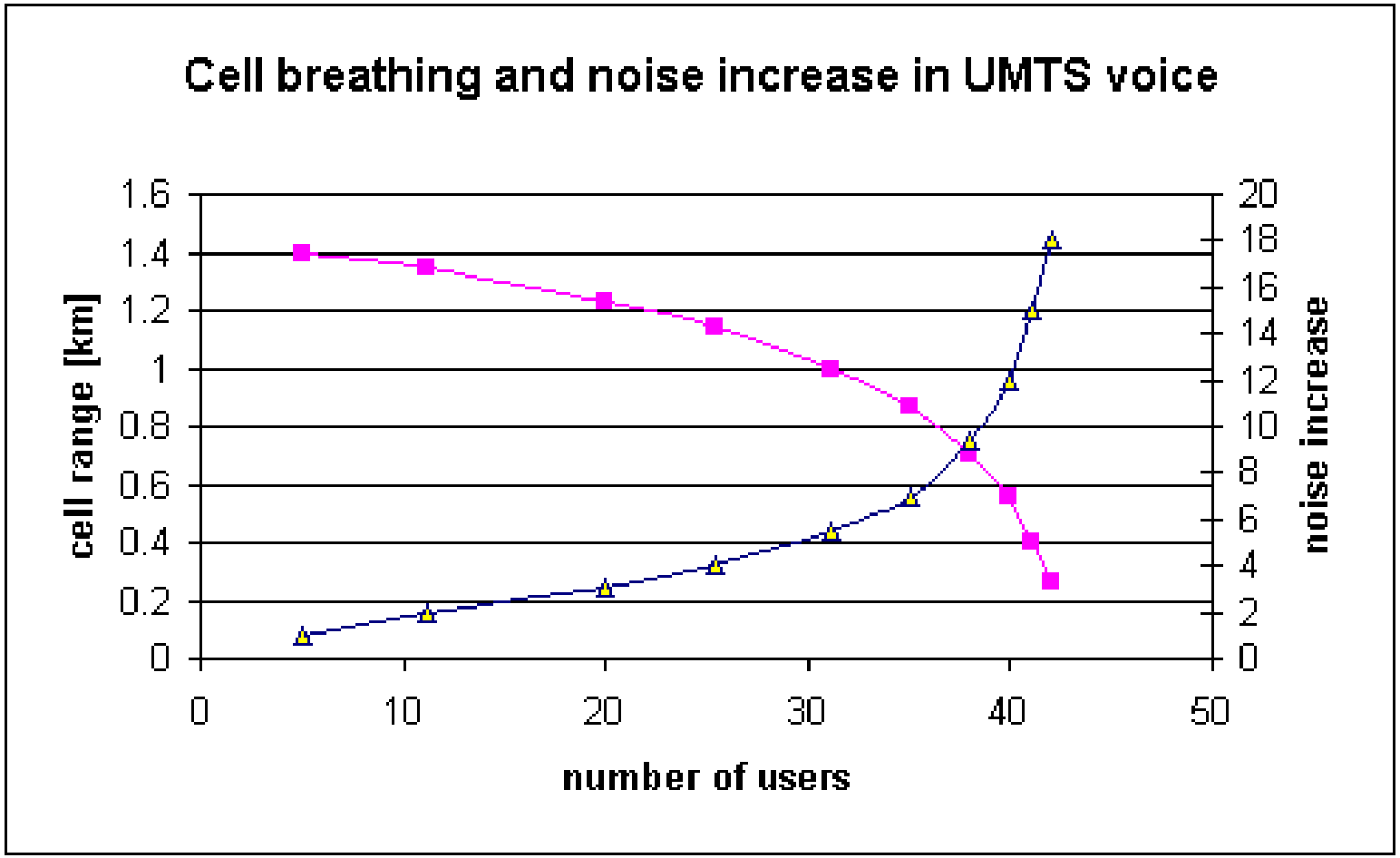
Breathing Cells

GSM
- Mobile device gets exclusive signal from the base station
- Number of devices in a cell does not influence cell size

UMTS
- Cell size is closely correlated to the cell capacity
- Signal-to-nose ratio determines cell capacity
- Noise is generated by interference from
  - other cells
  - other users of the same cell
- Interference increases noise level
- Devices at the edge of a cell cannot further increase their output power (max. power limit) and thus drop out of the cell
  ⇨ no more communication possible
- Limitation of the max. number of users within a cell required

- Cell breathing complicates network planning

Breathing Cells: Example



UMTS services (originally)

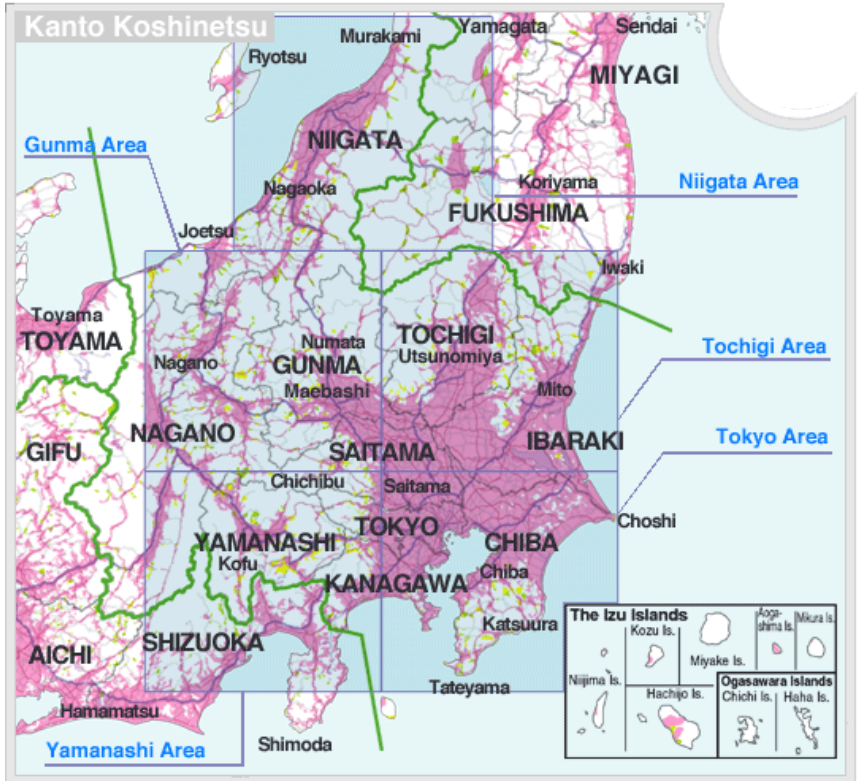
Data transmission service profiles

Service Profile	Bandwidth	Transport mode	
High Interactive MM	128 kbit/s	Circuit switched	Bidirectional, video telephone
High MM	2 Mbit/s	Packet switched	Low coverage, max. 6 km/h
Medium MM	384 kbit/s	Circuit switched	asymmetrical, MM, downloads
Switched Data	14.4 kbit/s	Circuit switched	
Simple Messaging	14.4 kbit/s	Packet switched	SMS successor, E-Mail
Voice	16 kbit/s	Circuit switched	

Virtual Home Environment (VHE)

- Enables access to personalized data independent of location, access network, and device
- Network operators may offer new services without changing the network
- Service providers may offer services based on components which allow the automatic adaptation to new networks and devices
- Integration of existing IN services

Early 3G Networks: Japan



FOMA (Freedom Of Mobile multimedia Access) in Japan

With Videophone you can enjoy conversations while facing each other.

Examples for FOMA phones

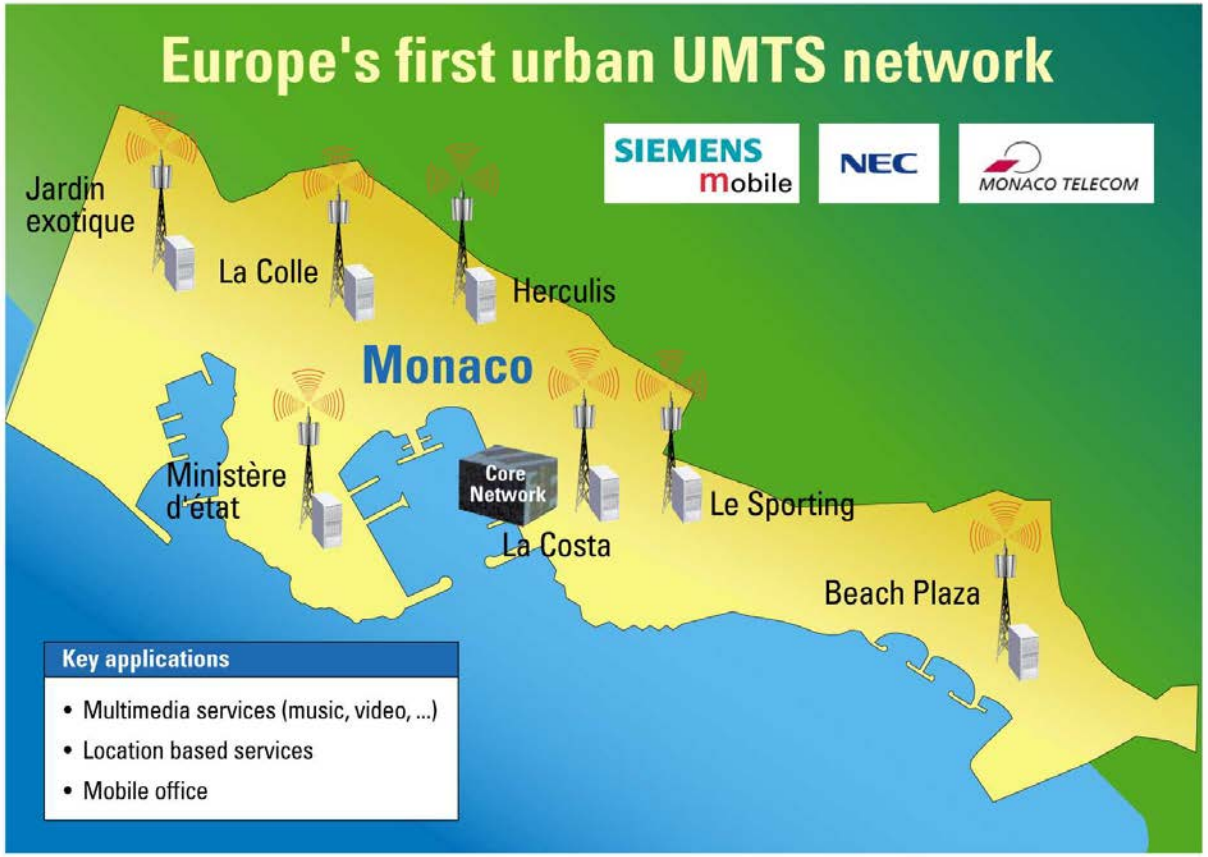
Early 3G networks: Australia

cdma2000 1xEV-DO in Melbourne/Australia

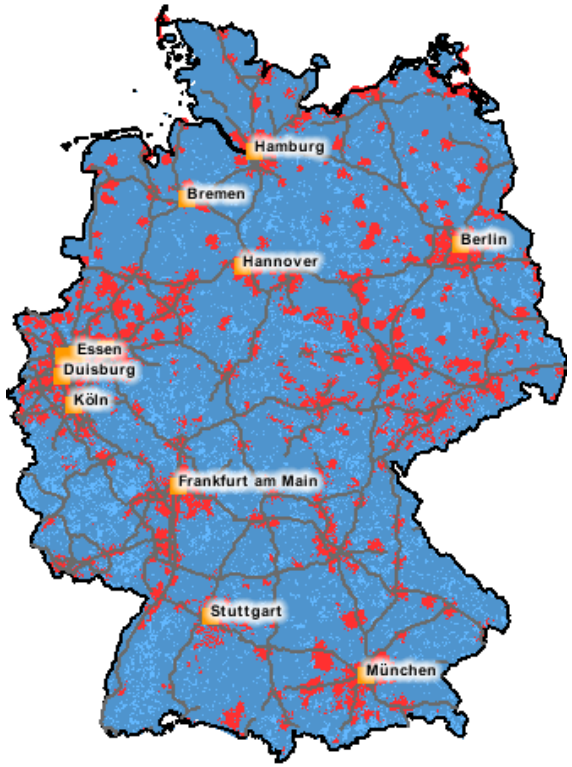
Examples for 1xEV-DO devices

Isle of Man – Start of UMTS in Europe as Test

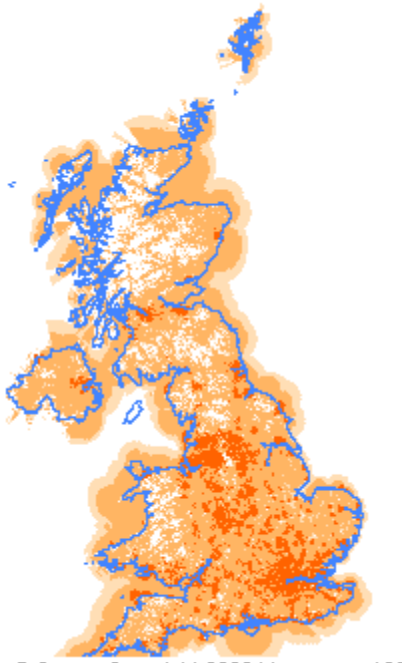
UMTS in Monaco



Early UMTS in Europe



Vodafone/Germany



Orange/UK

Some current GSM enhancements

EMS/MMS
- EMS: 760 characters possible by chaining SMS, animated icons, ring tones, was soon replaced by MMS (or simply skipped)
- MMS: transmission of images, video clips, audio
  - see WAP 2.0 – not really successful, typically substituted by email with attached multimedia content
- Today, more and more IP-based messaging used, less specialized services offered by the network

EDGE (Enhanced Data Rates for Global [was: GSM] Evolution)
- 8-PSK instead of GMSK, up to 384 kbit/s
- new modulation and coding schemes for GPRS ➔ EGPRS
  - MCS-1 to MCS-4 uses GMSK at rates 8.8/11.2/14.8/17.6 kbit/s
  - MCS-5 to MCS-9 uses 8-PSK at rates 22.4/29.6/44.8/54.4/59.2 kbit/s

Some current UMTS enhancements

HSDPA (High-Speed Downlink Packet Access)
- initially up to 10 Mbit/s for the downlink, later > 20 Mbit/s using MIMO- (Multiple Input Multiple Output-) antennas
- can use 16-QAM instead of QPSK (ideally > 13 Mbit/s)
- user rates e.g. 3.6 or 7.2 Mbit/s

HSUPA (High-Speed Uplink Packet Access)
- initially up to 5 Mbit/s for the uplink
- user rates e.g. 1.45 Mbit/s

HSPA+ (Evolved HSPA)
- Rel-7/Rel-8/Rel-9/…
- Downlink 28/42/84/> 100 Mbit/s
- Uplink 11/23/>23 Mbit/s
- 2x2 MIMO, 64 QAM

Dual-/Multi-Carrier HSPA (DC-/MC-HSPA)
- Connect 2 (Rel-8/9) or more carriers (Rel-11) e.g. of two cells offering up to 672 Mbit/s (4x4 MIMO)

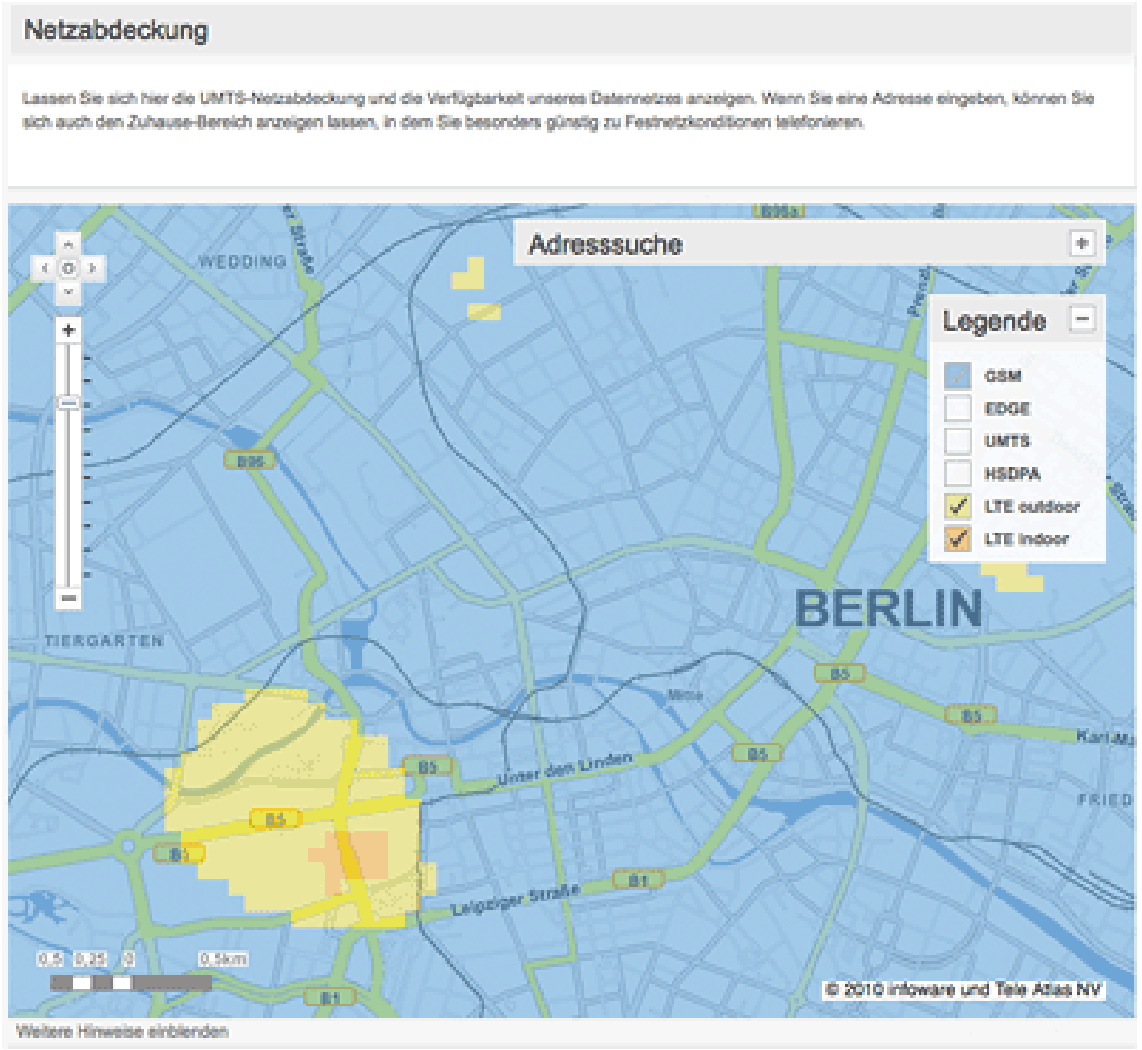
Long Term Evolution (LTE)

Initiated in 2004 by NTT DoCoMo,
focus on enhancing the Universal
Terrestrial Radio Access (UTRA) and
optimizing 3GPP's radio access architecture

Targets: Downlink 100 Mbit/s, uplink 50 Mbit/s, RTT<10ms
2007: E UTRA progressed from the feasibility study stage to the first issue of approved Technical Specifications
2008: stable for commercial implementation
2009: first public LTE service available (Stockholm and Oslo)
2010: LTE starts in Germany

LTE is not 4G – sometimes called 3.9G
- Does not fulfill all requirements for IMT advanced

May 2011, Berlin gets LTE



Key LTE features

Simplified network architecture compared to GSM/UMTS
- Flat IP-based network replacing the GPRS core, optimized for the IP-Multimedia Subsystem (IMS), no more circuit switching

Network should be in parts self-organizing

Scheme for soft frequency reuse between cells
- Inner part uses all subbands with less power
- Outer part uses pre-served subbands with higher power

Much higher data throughput supported by multiple antennas

Much higher flexibility in terms of spectrum, bandwidth, data rates

Much lower RTT – good for interactive traffic and gaming

Smooth transition from W-CDMA/HSPA, TD-SCDMA and cdma2000 1x EV-DO – but completely different radio!

Large step towards 4G – IMT advanced

See www.3gpp.org for all specs, tables, figures etc.!

High flexibility

E-UTRA (Evolved Universal Terrestrial Radio Access)
- Operating bands 700-2700MHz
- Channel bandwidth 1.4, 3, 5, 10, 15, or 20 MHz
- TDD and FDD

Modulation
- QPSK, 16QAM, 64QAM

Multiple Access
- OFDMA (DL), SC-FDMA (UL)

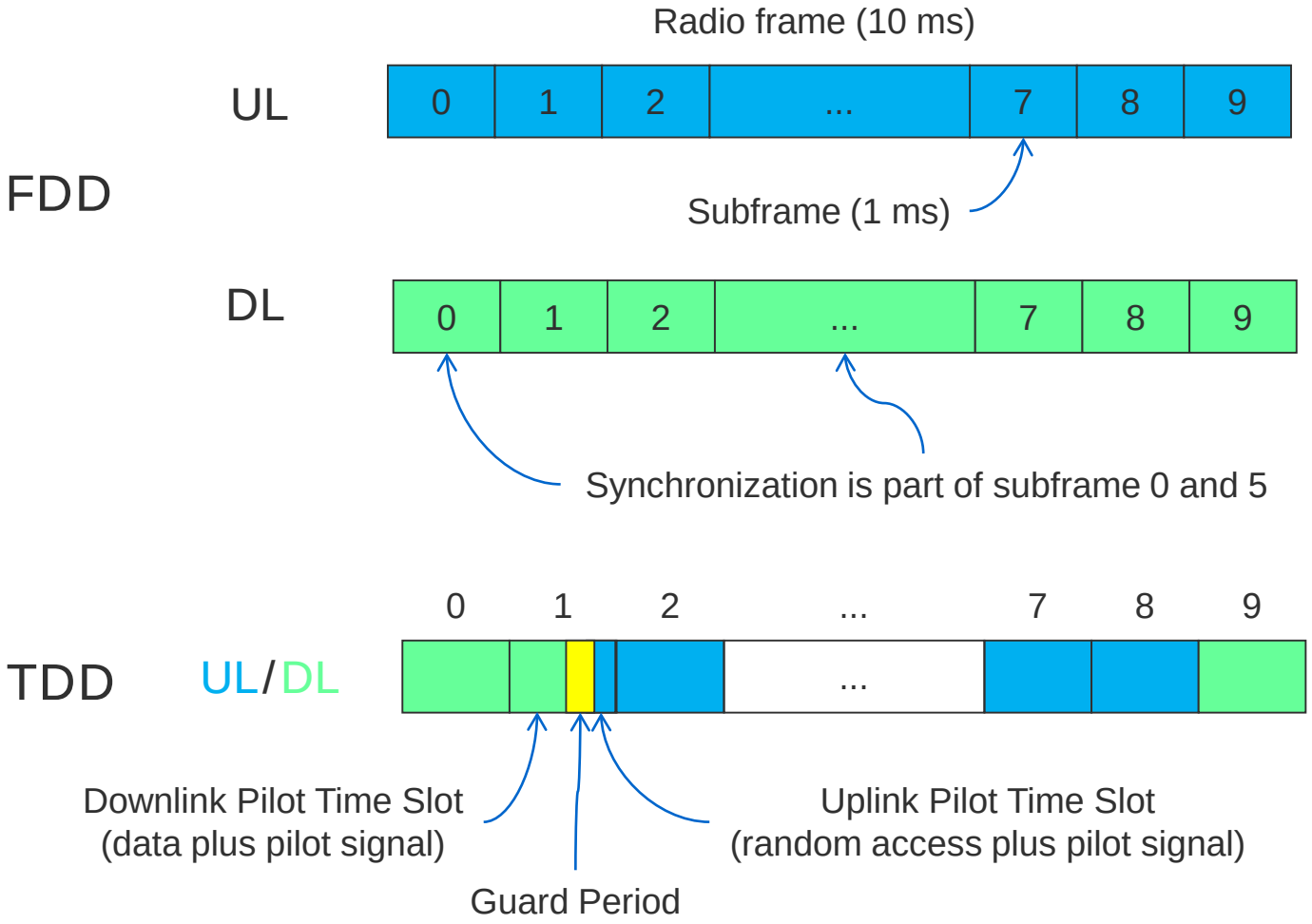
Peak data rates
- 300 Mbit/s DL
- 75 Mbit/s UL
- Depends on UE category

Cell radius
- From <1km to 100km

E-UTRA Operating Band	Uplink (UL) operating band BS receive UE transmit F_{UL_low} – F_{UL_high}	Downlink (DL) operating band BS transmit UE receive F_{DL_low} – F_{DL_high}	Duplex Mode
1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
4	1710 MHz – 1755 MHz	2110 MHz – 2155 MHz	FDD
5	824 MHz – 849 MHz	869 MHz – 894MHz	FDD
6[1]	830 MHz – 840 MHz	875 MHz – 885 MHz	FDD
7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
9	1749.9 MHz – 1784.9 MHz	1844.9 MHz – 1879.9 MHz	FDD
10	1710 MHz – 1770 MHz	2110 MHz – 2170 MHz	FDD
11	1427.9 MHz – 1447.9 MHz	1475.9 MHz – 1495.9 MHz	FDD
12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
13	777 MHz – 787 MHz	746 MHz – 756 MHz	FDD
14	788 MHz – 798 MHz	758 MHz – 768 MHz	FDD
15	Reserved	Reserved	FDD
16	Reserved	Reserved	FDD
17	704 MHz – 716 MHz	734 MHz – 746 MHz	FDD
18	815 MHz – 830 MHz	860 MHz – 875 MHz	FDD
19	830 MHz – 845 MHz	875 MHz – 890 MHz	FDD
20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
21	1447.9 MHz – 1462.9 MHz	1495.9 MHz – 1510.9 MHz	FDD
...			
33	1900 MHz – 1920 MHz	1900 MHz – 1920 MHz	TDD
34	2010 MHz – 2025 MHz	2010 MHz – 2025 MHz	TDD
35	1850 MHz – 1910 MHz	1850 MHz – 1910 MHz	TDD
36	1930 MHz – 1990 MHz	1930 MHz – 1990 MHz	TDD
37	1910 MHz – 1930 MHz	1910 MHz – 1930 MHz	TDD
38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD

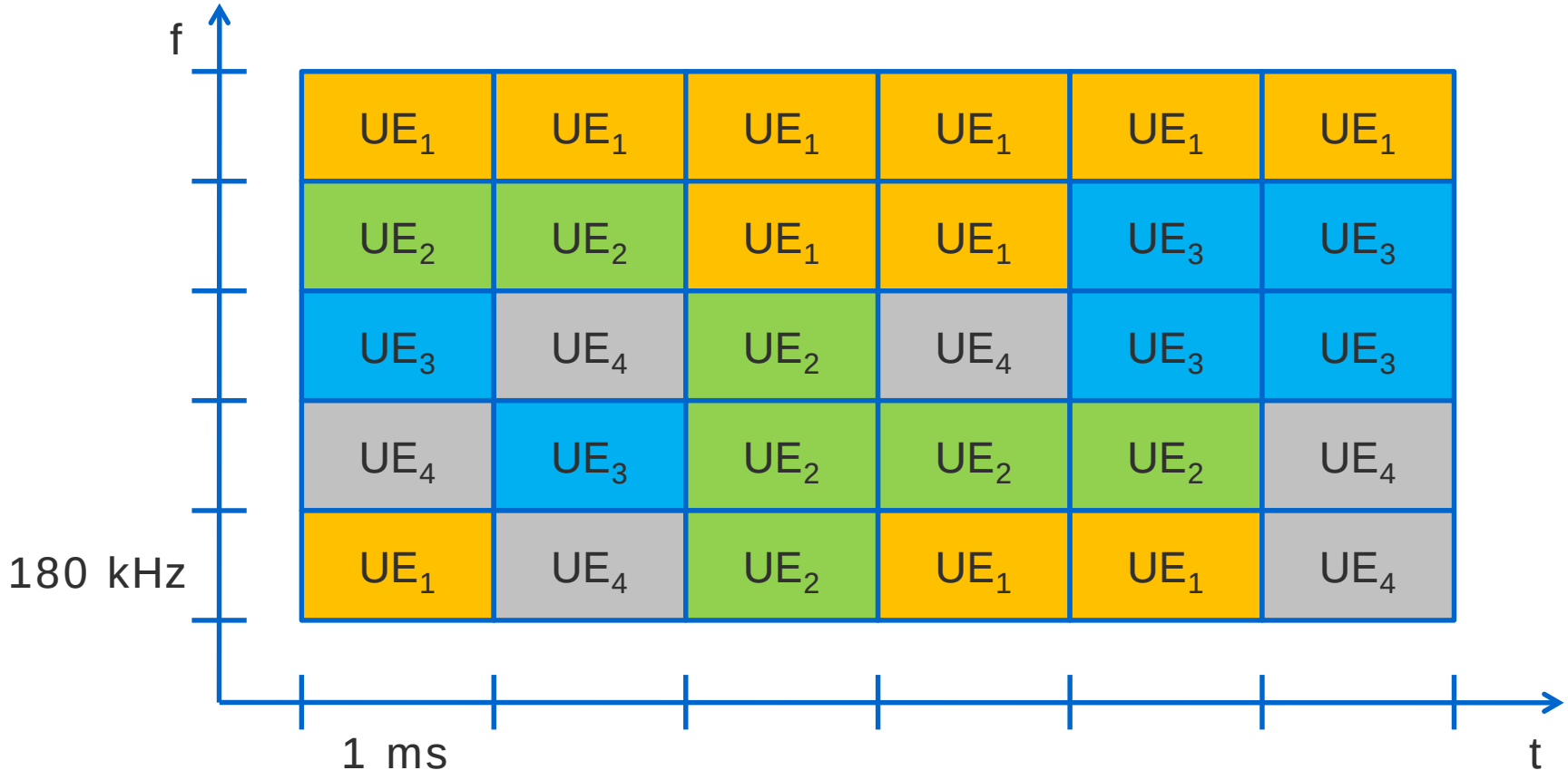
Note 1: Band 6 is not applicable

LTE frame structure

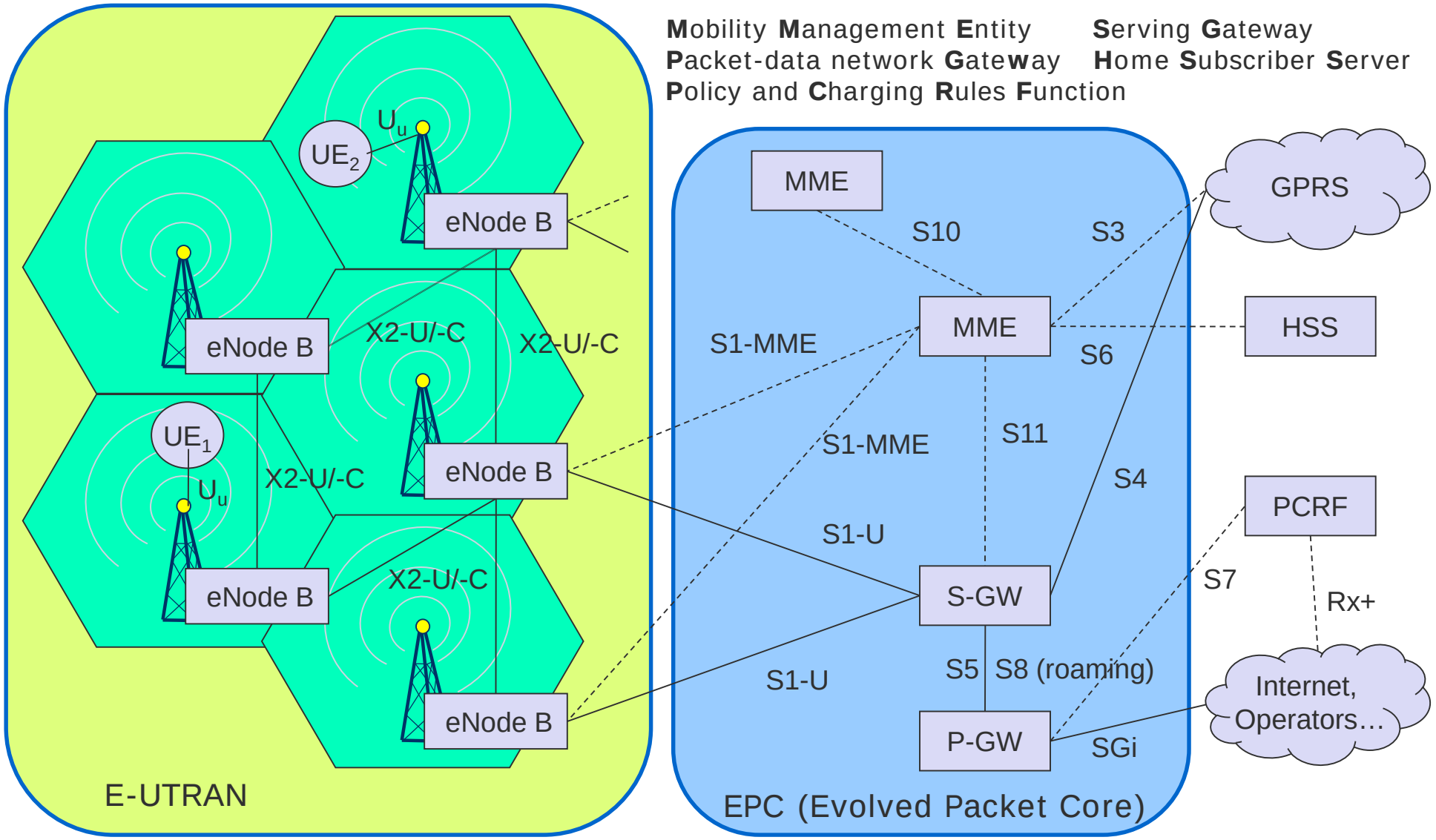


LTE multiple access

Scheduling of UEs in time and frequency (simplified)



LTE architecture



IMT Advanced – from www.itu.int

Key features of ´IMT-Advanced´:

- a high degree of commonality of functionality worldwide while retaining the flexibility to support a wide range of services and applications in a cost efficient manner;
- compatibility of services within IMT and with fixed networks;
- capability of interworking with other radio access systems;
- high quality mobile services;
- user equipment suitable for worldwide use;
- user-friendly applications, services and equipment;
- worldwide roaming capability; and,
- enhanced peak data rates to support advanced services and applications (100 Mbit/s for high and 1 Gbit/s for low mobility were established as targets for research).

These features enable IMT-Advanced to address evolving user needs and the capabilities of IMT-Advanced systems are being continuously enhanced in line with user trends and technology developments.

LTE advanced

GSM – UMTS - LTE
- LTE advanced as candidate for IMT-advanced

Worldwide functionality & roaming

Compatibility of services

Interworking with other radio access systems

Enhanced peak data rates to support advanced services and applications (100 Mbit/s for high and 1 Gbit/s for low mobility)

3GPP will be contributing to the ITU-R towards the development of IMT-Advanced via its proposal for LTE-Advanced.

Relay Nodes to increase coverage

100 MHz bandwidth (5x LTE with 20 MHz)