

# Shapes of euclidean polyhedra and hyperbolic geometry

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<http://arxiv.org/abs/1310.1560>

## **Second ERC Workshop**

Delaunay Geometry: Polytopes, Triangulations, and Spheres

Berlin, October 9, 2013

## Main result

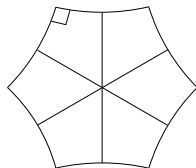
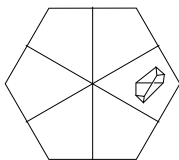
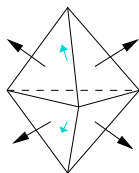
$V :=$  a (positively spanning) configuration of  $n$  unit vectors in  $\mathbb{R}^d$ .

$M(V) :=$  the space of (homothety classes of) polytopes with outer facet normals  $V$ .

## Theorem

*The space  $M(V)$  is a polyhedral ball of dimension  $n - d - 1$ .*

*Each cell of  $M(V)$  carries a natural hyperbolic metric.*



## Example

6 vectors in  $\mathbb{R}^3$  determine a 2-dimensional complex.

Metrically this is a right-angled hyperbolic hexagon.

# Main ingredients

## Combinatorics (linear algebra):

studying the combinatorial structure of the polyhedron

$$P(h) := \{Vx \leq h\} \subset \mathbb{R}^d, \quad V \in \mathbb{R}^{n \times d} \text{ fixed}, \quad h \in \mathbb{R}^n \text{ variable}$$

Gale diagrams  $\rightsquigarrow h \in C(V)$  a subfan of the secondary fan of  $V$ .

## Geometry (bilinear algebra):

studying the second intrinsic volume (“quermassintegral”)

$$\text{vol}_2(h) = \begin{cases} \text{area}(P(h)) & \text{for } d = 2 \\ \frac{1}{2} \text{area}(\partial P(h)) & \text{for } d = 3 \\ \sum_{\dim F=2} \alpha_F(P(h)) \text{vol}_2(F) & \text{for } d \geq 4 \end{cases}$$

Alexandrov-Fenchel inequalities for mixed volumes

$\rightsquigarrow$  quadratic form of signature  $(+, -, \dots, -)$ .

## Case $d = 2$

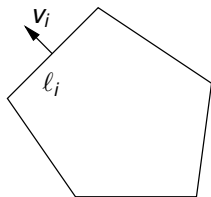
Bavard, Ghys'92:

hyperbolic metric on the space of polygons with fixed edge directions

$l_1, \dots, l_n \in C(V) \Leftrightarrow$

$$\sum_{i=1}^n l_i \mathbf{v}_i = \mathbf{0}, \quad l_i \geq 0 \forall i$$

Thus  $C(V) = \mathbb{R}_{\geq 0}^n \cap (n-2)$ -subspace

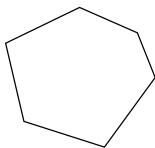


Polygons up to translation = pointed  $(n-2)$ -cone with  $\leq n$  facets.  
Polygons up to similarity =  $(n-3)$ -polytope with  $n-2$ ,  $n-1$ , or  $n$  facets.

# Facets of $C(V)$

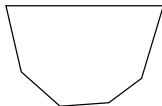
$l_i = 0 \Leftrightarrow i$ -th edge contracts to a point

$n$  facets



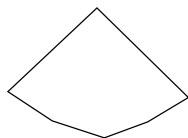
$\text{tr}^2(\Delta^{n-3})$

$n - 1$  facets

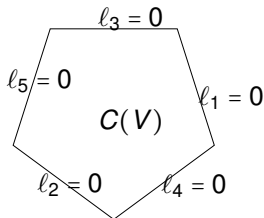
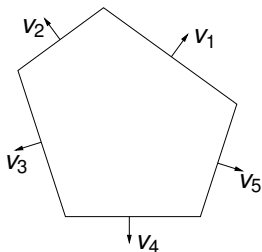


$\text{tr}(\Delta^{n-3})$

$n - 2$  facets



$\Delta^{n-3}$



## The area is a quadratic form

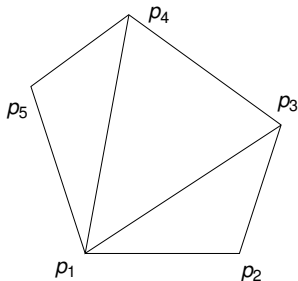
Consider the area of polygons with edge normals  $V$ :

$$C(V) \rightarrow \mathbb{R} \quad \ell \mapsto \text{area}(P(\ell))$$

**Theorem**

$$\text{area}(P(\ell)) = q(\ell)$$

where  $q$  is a quadratic form of signature  $(+, -, \dots, -)$ .

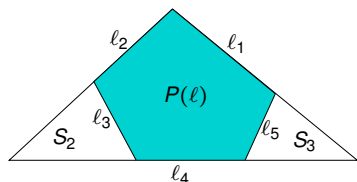


Why quadratic:

Vertex coordinates are linear functions of  $\ell$

$$\begin{aligned} \text{area}(P(\ell)) = & \frac{1}{2} \left( \det(p_2 - p_1, p_3 - p_1) \right. \\ & \left. + \det(p_3 - p_1, p_4 - p_1) + \det(p_5 - p_1, p_4 - p_1) \right) \end{aligned}$$

# The signature



$$q(\ell) = \text{area}(S_1) - \text{area}(S_2) - \text{area}(S_3)$$
$$\text{area}(S_1) = (al_2 + bl_3)^2 = (a'l_1 + b'l_5)^2$$
$$\text{area}(S_2) = (cl_3)^2, \quad \text{area}(S_3) = (dl_5)^2$$

After coordinate change

$$x_0 = al_2 + bl_3, \quad x_1 = cl_3, \quad x_2 = dl_5$$

obtain

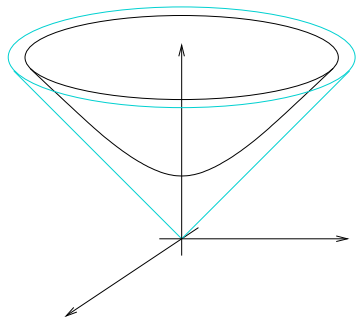
$$\text{area}(P) = x_0^2 - x_1^2 - x_2^2$$

a quadratic form of signature  $(+, -, -)$ .

# The hyperbolic space

$$q^h(x) = x_0^2 - x_1^2 - \dots - x_d^2$$

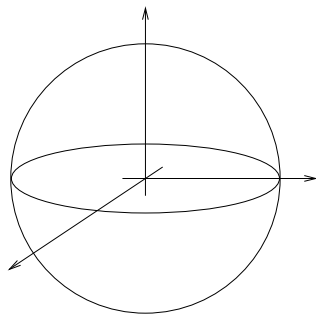
$$\mathbb{H}^d := \{x \mid q(x) = 1, x_0 > 1\}$$



Metric of constant curvature  $-1$ .

$$q^s(x) = x_0^2 + x_1^2 + \dots + x_d^2$$

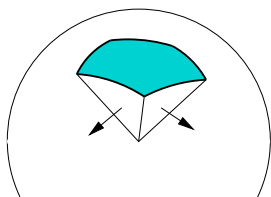
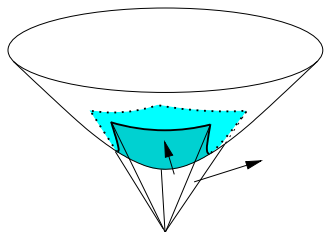
$$\mathbb{S}^d := \{x \mid q(x) = 1\}$$



Metric of constant curvature  $1$ .



# Hyperbolic polyhedra



angle of a polygon = dihedral angle of the cone =  $\arccos q(\nu_1, \nu_2)$   
(in the hyperbolic as in the spherical case)

$$q(\nu_1, \nu_2) = 0 \Leftrightarrow \text{sides form a right angle}$$

If  $q(x) = q'(x_0, \dots, x_{d-2}) - x_{d-1}^2 - x_d^2$ , then  $\{x_{d-1} = 0\} \perp \{x_d = 0\}$ .

# Space of polygons is a (truncated) orthoscheme

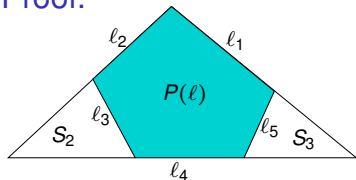
$q$  has signature  $(+, -, \dots, -)$

$\Rightarrow M(V) := C(V) \cap \{\ell \mid q(\ell) = 1\}$  is a hyperbolic polyhedron

## Theorem (Bavard, Ghys'92)

If  $|i - j| \geq 2$ , then  $F_i \perp F_j$ , where  $F_i$  is the facet of  $M(V)$  corresponding to contraction of the  $i$ -th edge.

Proof.



$$q(\ell) = x_0^2 - x_1^2 - x_2^2$$
$$F_3 = \{x_1 = 0\} \quad F_5 = \{x_2 = 0\}$$

□

## Example

The space of equiangular pentagons of area 1 is isometric to the regular right-angled hyperbolic pentagon.

# Hyperbolic orthoschemes and complex hyperbolic orbifolds

Bavard, Ghys'92 *Polygones du plan et polyédres hyperboliques*

- ▶ Realization of hyperbolic orthoschemes from the Im Hof's list.
- ▶ Complete list: Im Hof'90, Tumarkin'07, Kistler'11.

This was motivated by

Thurston'98 *Shapes of polyhedra and triangulations of the sphere*

- ▶ Complex hyperbolic structure on the space of Euclidean metrics on  $\mathbb{S}^2$  with cone angles  $\alpha_1, \dots, \alpha_n$ .
- ▶ Realization of some non-arithmetic complex Coxeter orbifolds.

We present a generalization of the Bavard-Ghys construction to higher dimensions.

## From $d = 2$ to $d > 2$

### Combinatorics

- ▶ facet normals  $V$  no more determine the combinatorial type of the polytope  $P$
- ▶  $\Rightarrow C(V)$  no more a cone, but a fan (made of type cones)

### Geometry

- ▶ What to use instead of  $\text{area}(P)$ ?
- ▶ Is there a canonical quadratic form of signature  $(+, -, \dots, -)$ ?
- ▶ Can the dihedral angles of  $M(V)$  be computed?

## Type cones

$V = (v_1, \dots, v_n)$  a positively spanning vector configuration in  $\mathbb{R}^d$   
 $C(V) :=$  translation classes of polytopes with facet normals  $V$   
 $P, P' \in C(V)$  are **normally equivalent** if they have the same normal fan:  
 $\mathcal{N}(P) = \mathcal{N}(P')$

$$C(V) = \bigsqcup_{\Delta} T(\Delta),$$

where  $T(\Delta) = \{P \mid \mathcal{N}(P) = \Delta\} / \{\text{translations}\}$ .

### Theorem

*The closure of  $C(V)$  is a pointed fan with convex support.*

### Example

*For  $V = \{\pm e_1, \dots, \pm e_d\}$  all  $P$  are normally equivalent.*

Monotypic polytopes: McMullen, Schneider, Shephard'74.

## Support numbers and Gale dual

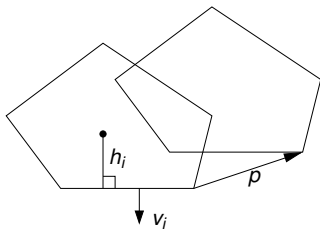
Given  $V \in \mathbb{R}^{n \times d}$  with rows  $v_i \in \mathbb{R}^d$  of norm 1, consider

$$P(h) = \{x \in \mathbb{R}^n \mid Vx \leq h\}, \quad h \in \mathbb{R}^n$$

Support numbers  $(h_i)_{i=1}^n$ . We have

$$P(h) + p = P(h + Vp)$$

Hence  $C(V) \subset \mathbb{R}^n / \text{im } V$ .



### Definition

$$\pi: \mathbb{R}^n \rightarrow \mathbb{R}^n / \text{im } V, \quad \pi(e_i) =: \bar{v}_i$$

Vector configuration  $\bar{V} = (\bar{v}_1, \dots, \bar{v}_n)$  is called **Gale dual** to  $V$ .

$$V^T \bar{V} = E_n, \quad \text{rank } V + \text{rank } \bar{V} = n$$

$V$  positively spanning  $\Leftrightarrow \bar{V}$  lies in an open half-space

# $C(V)$ lies in $\text{pos}(\bar{V})$

## Theorem

$$P(h) \neq \emptyset \Leftrightarrow \pi(h) \in \text{pos}(\bar{V}) := \left\{ \sum_i \lambda_i \bar{v}_i \mid \lambda_i \geq 0 \right\}$$

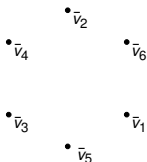
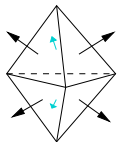
## Proof.

Note:  $0 \in P(h) \Leftrightarrow h_i \geq 0 \forall i$ .

$$\begin{aligned} P(h) \neq \emptyset &\Leftrightarrow \exists p \in P(h) \Leftrightarrow 0 \in P(h) - p = P(h - Vp) \\ &\Leftrightarrow h - Vp \in \mathbb{R}_{\geq 0}^n \Leftrightarrow \pi(h) \in \text{pos}(\bar{v}_1, \dots, \bar{v}_n) \end{aligned}$$

## Example

$V$  are normals of the triangular bipyramid  $\Rightarrow \bar{V}$  span a hexagonal cone



## $C(V)$ is the 2-core of $\bar{V}$

The  **$k$ -core** of a vector configuration  $W \subset \mathbb{R}^m$  is

$$\text{core}_k(W) = \{x \in \mathbb{R}^m \mid \forall y \text{ s.t. } \langle y, x \rangle \geq 0 \exists w_{i_1}, \dots, w_{i_k} \text{ s.t. } \langle y, w_{i_\alpha} \rangle \geq 0\}$$

$$\text{E.g. } \text{core}_1(W) = \text{pos}(W) \quad \text{core}_2(W) = \bigcap_{w \in W} \text{pos}(W \setminus \{w\})$$

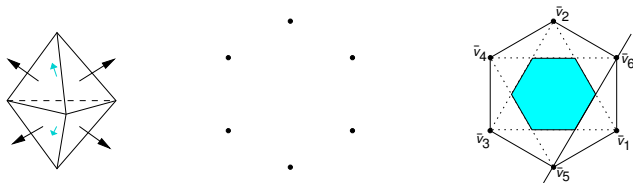
### Theorem

The closure of  $C(V)$  is  $\text{core}_2(\bar{V})$ .

### Proof.

$i$ -th facet non-empty  $\Leftrightarrow \exists p \in \mathbb{R}^n$  such that  $\langle v_i, p \rangle = h_i$  and  $\langle v_j, p \rangle < h_j$  for all  $j \neq i$ . Then use  $P(h - Vp) = P(h) - p$  etc.  $\square$

### Example





## Type cones are the chambers of the chamber fan

The **chamber fan**  $\text{Ch}(W)$  of  $W$  is the coarsest common subdivision of all cones spanned by  $W$ .

### Theorem

*Closures of type cones form the chamber fan of  $\bar{V}$ .*

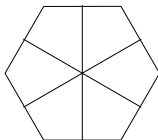
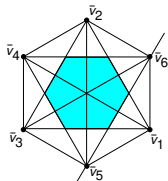
### Lemma

$\text{pos}(V_\sigma) \in \mathcal{N}(P(h)) \Leftrightarrow \pi(h) \in \text{pos}(\bar{V}_{\bar{\sigma}})$ , where  $\bar{\sigma} = \{1, \dots, n\} \setminus \sigma$ .

### Proof.

Similar to the preceding two arguments. □

### Example



# References

All the above arguments on type cones and their arrangement appeared in

- ▶ McMullen'73 *Representations of polytopes and polyhedral sets*
- ▶ Shephard'71 *Spherical complexes and radial projections of polytopes*

From this, there is only one step to the secondary polyhedron.

## Relation to the secondary polyhedron

Let  $P \in C(V)$ ,  $\mathcal{N}(P) = \Delta$ . Then its support function

$$h_P: \mathbb{R}^d \rightarrow \mathbb{R}$$

is a convex conewise (wrt  $\Delta$ ) linear function such that  $h(v_i) = h_i$ . Thus

polytopal fans  $\leftrightarrow$  regular triangulations  
support numbers  $\leftrightarrow$  weights

Why is the chamber fan polytopal? Invert the construction:

- ▶  $V$  is the Gale dual of  $\overline{V}$
- ▶ for every polytopal fan  $\Delta$  on  $V$  pick  $h^\Delta$  such that  $P(h^\Delta) \in T(\Delta)$
- ▶ then  $\mathcal{N}(\sum_{\Delta} P(h^\Delta)) = \text{Ch}(V)$

The fan  $\text{Ch}(V)$  is the normal fan of the secondary polyhedron of  $\overline{V}$ , and vice versa.

## Intrinsic volumes

$K \subset \mathbb{R}^d$  convex body,  $B \subset \mathbb{R}^d$  unit ball

$$\text{vol}_d(K + tB) = \sum_{i=0}^d W_i(K) \binom{d}{i} t^i$$

The coefficient  $W_i(K)$  is called the  $i$ -th quermassintegral; it is the **mixed volume**

$$W_i(K) = \text{vol}_d(K, \dots, K, B, \dots, B)$$

The  $i$ -th quermassintegral is proportional to the  $(d - i)$ -th **intrinsic volume**. If  $K = P$  is a polytope, then

$$\text{vol}_{d-i}(P) = \sum_{\dim F = d-i} |N_F(P)| \text{vol}_{d-i}(F),$$

where  $|N_F(P)|$  is the normalized exterior angle at  $F$ .

### Example

$$\text{vol}_{d-1}(P) = \frac{1}{2} \text{vol}_{d-1}(\partial P), \quad \text{vol}_0(P) = 1$$

## The second intrinsic volume is a quadratic form

- ▶ mixed volumes are multilinear wrt Minkowski addition and multiplication with positive scalars
- ▶ on every  $T(\Delta)$ , Minkowski addition corresponds to addition of the support numbers

Hence for every  $\Delta$  there is a quadratic form  $q_\Delta$  on  $\mathbb{R}^n$  s.t.

$$q_\Delta(h) = \text{vol}_2(P(h)) = c \text{vol}_d(P(h), P(h), B, \dots, B) \quad \text{if } \mathcal{N}(P(h)) = \Delta$$

More generally,

$$q_{\Delta, K} := \text{vol}_d(P(h), P(h), K_1, \dots, K_{d-2})$$

## The signature of $q_\Delta$

### Theorem (Alexandrov-Fenchel inequalities)

$$q_\Delta(h, h')^2 \geq q_\Delta(h, h)q_\Delta(h', h')$$

### Corollary

*The form  $q_\Delta$  has exactly one positive eigenvalue.*

### Proof.

$$q_\Delta(h, h) = \text{vol}_2(P(h)) > 0, \quad \det \begin{pmatrix} q_\Delta(h, h) & q_\Delta(h, h') \\ q_\Delta(h', h) & q_\Delta(h', h') \end{pmatrix} \leq 0$$

$\Rightarrow q_\Delta$  is indefinite on all 2-dimensional subspaces through  $h$  □

The equality case is known  $\Rightarrow$  the form has maximum possible rank.

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$\Rightarrow q_\Delta$  is indefinite on all 2-dimensional subspaces through  $h$  □

The equality case is known  $\Rightarrow$  the form has maximum possible rank.  
(For general  $q_{\Delta, K}(h)$  the rank is unknown, since no characterization of the equality case in the AF-inequality.)

## Right angles on the boundary of $M(V)$

Each facet of  $C(V)$  corresponds either to face truncation or to degeneration of  $P(h)$  (dimension falls).

### Theorem

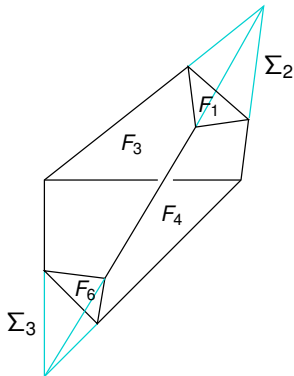
*Facets corresponding to truncation of different vertices are orthogonal to each other.*

### Proof.

$$\text{area}(\partial P(h)) = f_1^2 - f_2^2 - f_3^2$$

$$f_1^2 = \text{area}(\partial \Sigma_1) = (ah_2 + bh_3 + ch_4 + dh_5)^2$$

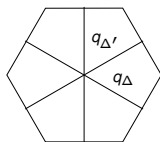
$$\begin{aligned} f_3^2 &= \text{area}(F_3(\Sigma_3)) + \text{area}(F_4(\Sigma_3)) \\ &\quad + \text{area}(F_5(\Sigma_3)) - \text{area}(F_6(\Sigma_3)) \\ &= (a'h_3 + b'h_4 + c'h_5 + d'h_6)^2 \end{aligned}$$





## From bipyramid to a right-angled hyperbolic hexagon

A priori  $q_{\Delta}(h) \neq q'_{\Delta}(h)$  and gluing 6 hyperbolic quadrilaterals yields a cone point in the center.



### Theorem

*In this case  $q_{\Delta} = q_{\Delta'}$ . Thus have a right-angled hyperbolic hexagon.*

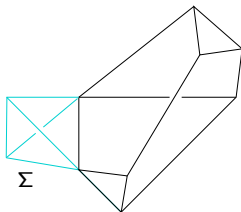
### Proof.

This is because

$$q_{\Delta'}(h) = q_{\Delta}(h) + \text{area}(F_3(\Sigma)) + \text{area}(F_5(\Sigma)) \\ - \text{area}(F_2(\Sigma)) - \text{area}(F_6(\Sigma))$$

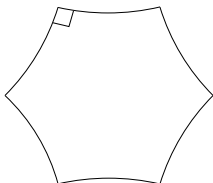
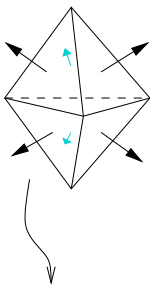
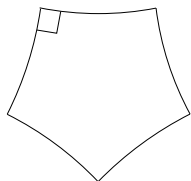
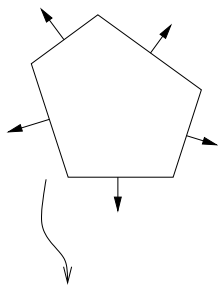
and  $\text{area}(F_3) + \text{area}(F_5) = \text{area}(F_2) + \text{area}(F_6)$  because

$$V_3 + V_5 = V_2 + V_6$$

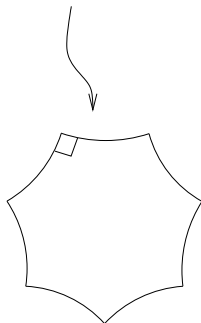


## A riddle

Continue the sequence.



?



Is “?” the polar dual of a cyclic 4-polytope on 7 vertices?