On the covering radius of lattice polytopes and its relation to view-obstructions and densities of lattice arrangements

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> > based on joint work with

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For a convex body K in \mathbb{R}^n and a lattice $\Lambda = A\mathbb{Z}^n$, $A \in GL_n(\mathbb{R})$, we say that

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Definition

The lattice of translates $K + \Lambda$ is a *lattice covering* if $K + \Lambda = \mathbb{R}^n$.

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Appearances in the literature:

- Coin Exchange Problem of Frobenius (Kannan '92)
- Transference Theorems, Diophantine Approximation (Kannan & Lovász '88)
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Computationally difficult parameter:

- Kannan '93: Polynomial-time algorithm to compute μ(P, Λ) for rational polytopes P in fixed dimension; triple-exponential in the dimension.
- Haviv & Regev '06: It is Π₂-hard to approximate μ(Bⁿ_p, Λ) to within a factor c_p > 0 for all sufficiently large p ≥ 1.
- (Conjecture) Deciding $\mu(B_2^n, \Lambda) \leq \mu$ is NP-hard. (Guruswami et al. '05)

Definition (Kannan & Lovász '88; G. Fejes Tóth '76)

The *i*th *covering minimum* of $K \subseteq \mathbb{R}^n$ with respect to a lattice Λ is defined as

 $\mu_i(K, \Lambda) = \min\{\mu > 0 : \mu K + \Lambda \text{ intersects every } (n-i) \text{-dim. affine subspace}\}.$

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$$\mu_i(UK) = \mu_i(K)$$
, for $1 \le i \le n$ and $U \in \operatorname{GL}_n(\mathbb{Z})$

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$$\mu_i(rK) = rac{1}{r}\mu_i(K)$$
, for $1 \le i \le n$ and $r > 0$

•
$$\mu_i(AK, A\mathbb{Z}^n) = \mu_i(K, \mathbb{Z}^n)$$
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Lemma (Kannan & Lovász '88)

 $\mu_i(K, \Lambda) = \max\{\mu(K|L, \Lambda|L) : L \text{ an } i\text{-dimensional subspace}\}$

• For
$$C_n = \left[-\frac{1}{2}, \frac{1}{2}\right]^n$$
, we have
 $\mu_i(C_n) = 1$ for each $i = 1, \dots, n$.

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 For C_n = [-¹/₂, ¹/₂]ⁿ, we have μ_i(C_n) = 1 for each i = 1,..., n.
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Proposition

Let $P \subseteq \mathbb{R}^n$ be a lattice polytope. Then

•
$$\mu_i(P) \leq i$$
, for every $i = 1, \ldots, n$, and

• if P is a lattice zonotope, then $\mu_i(P) \leq 1$, for every $i = 1, \ldots, n$.

We discuss two problems in which the computation / estimation of covering radii of lattice polytopes plays a crucial role:

• Towards a Covering Analog of Minkowski's 2nd Theorem

Covering analog of Minkowski's 2nd Theorem

Theorem (Minkowski 1896)

For every convex body K in \mathbb{R}^n with K = -K, we have

$$\frac{2^n}{n!} \leq \lambda_1(K) \cdot \ldots \cdot \lambda_n(K) \operatorname{vol}(K) \leq 2^n,$$

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<u>Problem</u>: Find best possible lower bound on $\mu_1(K) \cdot \ldots \cdot \mu_n(K) \operatorname{vol}(K)$, for K in \mathbb{R}^n .

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 \rightarrow Analogous to *lattice tiles*, that is, K such that $K + \mathbb{Z}^n$ is a covering and a packing.

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Theorem (González Merino & H. '16)

i) For every convex body K in \mathbb{R}^n , we have

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ii) For every convex body K in \mathbb{R}^n that is symmetric with respect to every coordinate hyperplane, we have

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Equality holds for example for the cube $C_n = [-\frac{1}{2}, \frac{1}{2}]^n$.

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Let
$$A = (a_{ij}) \in \mathbb{Z}^{n \times n}$$
 be with $a_{ij} = \begin{cases} n & , \text{ if } i = j \\ -1 & , \text{ otherwise,} \end{cases}$ and $S_1 = \{x \in \mathbb{R}^n_{\geq 0} : \mathbf{1}^\intercal x \leq 1\}.$

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• $AT_n = (n+1)S_1 - \mathbf{1}$
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• $\mu_n(T_n) = \mu_n(AT_n, A\mathbb{Z}^n) = \frac{1}{n+1}\mu_n(S_1, \Lambda_n)$

standard lattice graph LG_n^+

- vertex set \mathbb{Z}^n
- directed edge $(x, x + e_i)$, for every $x \in \mathbb{Z}^n$ and $1 \le i \le n$

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quotient lattice graph LG_n^+/Λ of a sublattice $\Lambda \subseteq \mathbb{Z}^n$

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distance in LG_n^+/Λ : For $x, y \in \mathbb{Z}^n$, let $\mathrm{d}(x + \Lambda, y + \Lambda) = \min_{z \in (y - x + \Lambda) \cap \mathbb{Z}_{\geq 0}^n} \mathbf{1}^{\mathsf{T}} z$



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diameter of LG_n^+/Λ is

$$\operatorname{diam}(\operatorname{LG}_n^+/\Lambda) = \max_{x,y \in \mathbb{Z}^n} \operatorname{d}(x + \Lambda, y + \Lambda)$$



Let $\Lambda \subseteq \mathbb{Z}^n$ be a sublattice. Then,

$$\mu_n(S_1, \Lambda) = \operatorname{diam}(\operatorname{LG}_n^+/\Lambda) + n.$$

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Hence, $\mu_n(T_n) = \frac{1}{n+1}\mu_n(S_1, \Lambda_n) = \frac{n}{2}$ if and only if diam $(LG_n^+/\Lambda_n) = \binom{n}{2}$.

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• vertices of $\mathrm{LG}_n^+/\Lambda_n$ correspond to $\{0,1,\ldots,n\}^{n-1}$

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Hence, $\mu_n(T_n) = \frac{1}{n+1}\mu_n(S_1, \Lambda_n) = \frac{n}{2}$ if and only if diam $(LG_n^+/\Lambda_n) = \binom{n}{2}$. Sketch for diam $(LG_n^+/\Lambda_n) \le \binom{n}{2}$:

- vertices of $\mathrm{LG}_n^+/\Lambda_n$ correspond to $\{0,1,\ldots,n\}^{n-1}$
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• averaging argument + elementary number theory

Problem 1

Prove or disprove an exponential lower bound on the covering product. More precisely, find some 0 < c < 1 such that

$$\mu_1(K) \cdot \ldots \cdot \mu_n(K) \operatorname{vol}(K) \geq c^n,$$

for every convex body K in \mathbb{R}^n .

Problem 2

Find a method to show that $\mu_i(T_n) = \frac{i}{2}$, for $1 \le i \le n$.

Problem 3

Extend the approach of Marklof & Strömbergsson to the computation of $\mu_i(S_1, \Lambda)$, $1 \le i \le n$, for sublattices $\Lambda \subseteq \mathbb{Z}^n$ via generalized diameters of quotient lattice graphs.

Reboot..

Let view $(s, \alpha) = s + \mathbb{R}\alpha$, with $s, \alpha \in \mathbb{R}^n$, and let $\delta \ge 0$ (obstruction parameter).

View-Obstructions and Billiard Ball Motions

View-Obstructions: (Cusick '73)

Let view $(s, \alpha) = s + \mathbb{R}\alpha$, with $s, \alpha \in \mathbb{R}^n$, and let $\delta \ge 0$ (obstruction parameter).

The view from *s* in direction α is δ -obstructed if

 $\mathsf{view}(\boldsymbol{s},\alpha) \cap \left(\left[\frac{1}{2} - \frac{1}{2}\delta, \frac{1}{2} + \frac{1}{2}\delta \right]^n + \mathbb{Z}^n \right) \neq \emptyset.$



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Billiard Ball Motions: (Schoenberg '76)

For $s \in [0,1]^n$ and $\alpha \in \mathbb{R}^n$, let $bbm(s,\alpha) \subseteq [0,1]^n$ be the trajectory of the motion starting with $s + \lambda \alpha$, $\lambda \ge 0$, and which is reflected naturally in the boundary of the cube $[0,1]^n$.

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The billiard ball motion starting at s in direction \alpha is \delta-central if bbm(s, \alpha) \cap \left[\frac{1}{2} - \frac{1}{2}\delta, \frac{1}{2} + \frac{1}{2}\delta\right]^n \neq \emptyset.
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• view (s, α) is δ -obstructed \iff bbm (s, α) is δ -central

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Extremal example:

$$s = \frac{1}{n} (0, 1, \dots, n-1)^{\mathsf{T}}$$

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If $\{\alpha_1, \ldots, \alpha_n\}$ is linearly independent over \mathbb{Q} , then bbm (s, α) is dense in $[0, 1]^n$.

The rational dimension of $\alpha \in \mathbb{R}^n$ is defined by $\dim_{\mathbb{Q}}(\alpha) = \dim(\operatorname{span}_{\mathbb{Q}}\{\alpha_1, \ldots, \alpha_n\})$.

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For every $n \in \mathbb{N}$, we have $0 = \delta(0, n) \leq \delta(1, n) \leq \ldots \leq \delta(n - 1, n) = (n - 1)/n$.

Zonotopal Interpretation I

For $\alpha \in \mathbb{R}^n$ define

$$\Lambda_{\alpha} = \{\ell \in \mathbb{Z}^n : \alpha^{\mathsf{T}} \ell = 0\}, \quad V_{\alpha} = \operatorname{span}(\Lambda_{\alpha}) \quad \text{and} \quad d = \dim(V_{\alpha}) = n - \dim_{\mathbb{Q}}(\alpha).$$

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Theorem (H. & Malikiosis '16)

Let $\delta \geq 0$, let $s, \alpha \in \mathbb{R}^n$, and let $d = n - \dim_{\mathbb{Q}}(\alpha)$. Then,

view (s, α) is δ -obstructed $\iff (\delta Z_{\alpha} + \overline{s}) \cap \mathbb{Z}^d \neq \emptyset$.

 $\mu(\mathcal{K}) = \min\{\mu \ge 0 : (\mu\mathcal{K} + t) \cap \mathbb{Z}^d \neq \emptyset, \forall t \in \mathbb{R}^d\} = \min\{\mu \ge 0 : \mu\mathcal{K} + \mathbb{Z}^d = \mathbb{R}^d\}.$

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A zonotope $Z = \sum_{i=1}^{m} [0, z_i] \subseteq \mathbb{R}^d$ is called *cubical* if any *d* of its generators are linearly independent. Every facet of a cubical zonotope is a parallelepiped.

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 α is rationally uniform \iff Z_{α} is a cubical lattice zonotope

Consequently,

$$\begin{split} \delta(k,n) &= \inf\{\delta \geq 0 : \text{every rationally uniform view}(s,\alpha) \subseteq \mathbb{R}^n \\ & \text{with } \dim_{\mathbb{Q}}(\alpha) \geq n-k \text{ is } \delta\text{-obstructed}\} \\ &= \sup\{\mu(Z) : Z \subseteq \mathbb{R}^d \text{ a cubical lattice zonotope with } n \text{ generators}, d \leq k\}. \end{split}$$

Let $Z \subseteq \mathbb{R}^d$ be a zonotope such that $int(Z + t) \cap \mathbb{Z}^d = \emptyset$, for some $t \in \mathbb{R}^d$. Then there exists $v \in \mathbb{Z}^d \setminus \{0\}$ and an absolute constant c > 0 such that

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Conjecture

For every cubical lattice zonotope $Z \subseteq \mathbb{R}^k$ with n generators holds $\mu(Z) \leq \frac{k}{n}$. (True for $k \in \{1, n-1, n\}$.)

Matthias Schymura

Problem 1

Find a zonotopal proof of Schoenberg's Theorem, that is, $\mu(Z) \leq \frac{n}{n+1}$, for every cubical lattice zonotope $Z \subseteq \mathbb{R}^n$ with n+1 generators.

Problem 2

Identify examples of cubical lattice zonotopes in \mathbb{R}^k with *n* generators and $\mu(Z) = \frac{k}{n}$.

Problem 3

Is there a theory to relate the covering radius of lattice parallelepipeds to certain graph parameters of quotient lattice graphs (analogous to Marklof & Strömbergsson for lattice simplices)?

Some literature

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